

FD-278
Rev. 1/79

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Recovery Act of 1994, Pub. L. No.
103-184, November 29, 1994
(5 U.S.C.A. App. 5, §§106-112)

1. Person Reporting (Last name, first, middle initial)	2. Court or Organization	3. Date of Report
Shanahan, Thomas M.	United States District Court for the District of Nebraska	8/9/93
4. Title (Article III Judges indicate active or senior status; Legislative judges indicate full- or part-time)	5. Report Type (check appropriate type) ☒ Election, Date 8/6/93 ___ Initial ___ Annual ___ Final	6. Reporting Period 1/1/92-8/9/93
Nominee, U.S. Dist. Judge, Dist. of Nebraska		
7. Chamber or Office Address Room 2207, Nebraska Supreme Court State Capitol Bldg Lincoln, NE 68503-8910	8. In the face of the information contained in this report, is it, in my opinion, in compliance with applicable law and regulations ___	
	Reporting Officer Signature _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 7-8 of instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☒ NONE (No reportable positions)

II. AGREEMENTS. (Reporting individual only; see p. 9-9 of instructions.)

DATE

PARTIES AND TERMS

☒ NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of instructions.)

DATE
(Spouse only)

SOURCE AND TYPE

GROSS INCOME
(year, not spouse's)
☐ NONE (No reportable non-investment income)

1992	Wages: State of Nebraska (Judge, Nebraska Sup. Ct.)	\$75,696.00
1993 (to date)	Wages: State of Nebraska (Judge, Nebraska Sup. Ct.)	\$51,425.00
1992 (s)	Wages: substitute teacher: Lincoln Pub. Schools	\$
	5901 "O" Street	
	Lincoln, NE 68510	\$
		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Date of Report

Shanahan, Thomas M.

8/9/93

IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.
 (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.12-15 of instructions.)

SOURCE**DESCRIPTION**☐

NONE (No cash reportable reimbursements or gifts)

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See Part VIII re:

West Publishing Co.

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V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.12-16 of instructions.)

SOURCE**DESCRIPTION****VALUE**☒

NONE (No cash reportable gifts)

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* VALUE CODES: V = \$15,000

* VALUE CODES: H = \$250,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

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Shanahan, Thomas M.

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VI. INVESTMENTS AND TRUSTS - Income, value, transactions. (Includes those of spouse and dependent children; see pp. 15-37 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset. To satisfy the requirement of (J), list ownership of reporting individual and spouse, (PC) for separate ownership by spouse, (DC) for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Act: (A-4)	(2) Type (210-1) or Int. (210-2)	(1) Value (210-3)	(2) Value Method (210-4)	(1) Type (210-1) or Int. (210-2)	If not exempt from disclosure			
					(2) Date (210-5)	(3) Value (210-3)	(4) Gain/Loss (210-4)	(5) Ident. of other/similar transaction	
☐ NONE (No reportable income, assets, or transactions)									
1. Proctor & Gamble (com.) (J)	A	Div.	J.	T		NONE			
2. Nebraska Judges' Retirement System (See Part VIII)		NONE	L	T		NONE			
3. IRA Custodial Account (See Part VIII)		NONE	L	T		NONE			
4. Deferred Compensation Plan (See Part VIII)		NONE	K	T		NONE			
5. Bank Accounts (J)	C	Int.	L	T		NONE			
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Income/Value Codes: \$0-\$1,000 or less (See Col. II A 1-5) \$1,001 to \$10,000 \$10,001 to \$100,000 \$100,001 to \$1,000,000 Over \$1,000,000
 Value Codes: \$0-\$1,000 or less \$1,001 to \$10,000 \$10,001 to \$100,000 \$100,001 to \$1,000,000 Over \$1,000,000
 (See Col. II A 1-5) (See Col. II A 1-5) (See Col. II A 1-5) (See Col. II A 1-5) (See Col. II A 1-5)
 Value Codes: \$0-\$1,000 or less \$1,001 to \$10,000 \$10,001 to \$100,000 \$100,001 to \$1,000,000 Over \$1,000,000
 (See Col. II A 1-5) (See Col. II A 1-5) (See Col. II A 1-5) (See Col. II A 1-5) (See Col. II A 1-5)

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III. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

Part IV: West Publishing Co. of St. Paul, MN gave me complimentary copies of some of the Northwestern Reporter (Second Series) which I thereafter donated to the Nebraska State Library.

Part VII: The Nebraska Judges' Retirement System is administered by the Nebraska Public Employees Retirement Systems, P.O. Box 94816, Lincoln, NE 68509. My IRA custodial account is managed by Kirkpatrick, Pettis, Polian, Smith, Inc., 10250 Regency Circle, Omaha, NE 68114. My Deferred Compensation Plan (The Hartford) is administered by Geis Investments & Insurance, 2631 "O" Street, Lincoln, NE 68510. My bank accounts are located at FirstTier Bank, 13th & M Street, Lincoln, NE 68508.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date August 9, 1993

Thomas M. Shanahan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trust, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	68,568		Notes payable to banks-secured	None	
U.S. Government securities--add schedule	None		Notes payable to banks-unsecured	None	
Listed securities--add schedule	74,246		Notes payable to relatives	None	
Unlisted securities--add schedule	None		Notes payable to others	None	
Accounts and notes receivable:	None		Accounts and bills due	None	
Due from relatives and friends	None		Unpaid income tax	None	
Due from others	None		Other unpaid tax and interest	None	
Doubtful	None		Real estate mortgages payable--schedule	None	
Real estate owned--add schedule			Chattel mortgages and other liens payable	None	
Residence*	122,250		Other debts--itemize:		
Real estate mortgages receivable	None				
Autos and other personal property	12,500		Auto Repairs	185	00
Cash value--life insurance	56,866		Misc. Hsehold.	103	00
Other assets--itemize:					
Judges' Retirement Sys.	58,216				
Hartford (Def. Inc. Plan)	31,792		Total liabilities	288	00
			Net Worth	424,150	00
Total Assets	424,438		Total liabilities and net worth	424,438	00
CONTINGENT LIABILITIES	None		GENERAL INFORMATION		
As endorser, cosigner or guarantor			Are any assets pledged? (Add schedule)	NO	
On leases or contracts			Are you defendant in any suits or legal actions?	NO	
Legal Claims			Have you ever taken bankruptcy?	NO	
Provision for Federal Income Tax					
Other special debt					

*Address: 3531 South Hope Court
Lincoln, NE

Thomas M. Shanahan

Dated: August 12, 1993