AO-10
Rev. 1/91

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics Reform Act of 1989, Pub. L. No. 101-194, November 30, 1989 (5 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial)	2. Court or Organization	3. Date of Report
MELLOY, MICHAEL J.	U.S. BANKRUPTCY COURT, NORTHERN DISTRICT OF IOWA. NOMINATED FOR U.S. DISTRICT COURT, NORTHERN DIST.	APRIL 13, 1992
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5. Report Type (check appropriate type)	6. Reporting Period
CURRENTLY U.S. BANKRUPTCY JUDGE; NOMINATED FOR U.S. DISTRICT COURT JUDGE	X Nomination, Date April 9, 1992 __ Initial __ Annual __ Final	1/1/92 TO 4/13/92
7. Chambers or Office Address		
P.O. BOX 74890 CEDAR RAPIDS, IOWA 52407		

IMPORTANT NOTE: *The instructions accompanying this form must be followed.* Complete all parts, checking the NONE box for each section where you have no reportable information. *Sign on last page.*

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
[X]	NONE (No reportable positions)	

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

	DATE	PARTIES AND TERMS
[X]	NONE (No reportable agreements)	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

	DATE (Honoraria only)	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
[ ]	NONE (No reportable non-investment income)		
1		ST. JUDE'S SCHOOL (S)	$
2			$
3			$
4			$
5			$

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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report
MICHAEL J. MELLOY	APRIL 13, 1992

IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-18 of Instructions.)

	SOURCE	DESCRIPTION
☐	NONE (No such reportable reimbursements or gifts)	
1	Great Plains Bankruptcy Conference, Inc.	Travel, meals & lodging for speaking at bankruptcy seminar; 3/5/92-3/8/92.
2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
X	NONE (No such reportable gifts)		
1			
2			$
3			$
4			$
			$

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parentheticals "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
X	NONE (No reportable liabilities)		
1			
2			
3			
4			
5			
6			
7			

* VALUE CODES: J = $15,000 or less K = $15,001 to $50,000 L = $50,001 to $100,000 M = $100,001 to $250,000
N = $250,001 to $500,000 O = $500,001 to $1,000,000 P = More than $1,000,000

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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report
MICHAEL J. MELLOY	APRIL 13, 1992

VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period (1) Amt.[1] Code (A-H)	B. (2) Type (e.g., div., rent or int.)	C. Gross value at end of reporting period (1) Value[2] Code (J-P)	C. (2) Value Method[3] Code (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, merger, redemption)	D. If not exempt from disclosure (2) Date: Month-Day	(3) Value[2] Code (J-P)	(4) Gain[1] Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
[1] KEOGH Plan maintained by American Bar Association.	A	int.	J	T	transfer	1/91	J	A	See Sect. VIII.
[2] IBM common stock owned thru O'Connor & Thomas Profit Sharing Plan at Fist Natl Bank of Dubuque	A	div.	K	T	transfer out	3/92	J	A	Transferred to First Natl IRA See Sect. VIII.
[3] Common stock fund of First Natl Bk of Dubuque, owned thru O'Connor & Thomas Profit Sharing Plan.	A	div.	K	T	transfer out	3/92	J	A	Transfer to First Natl IRA. See Sect. VIII.
[4] IBM Stock in First Natl Bk IRA	A	div.	K	T	transfer in	3/92	J		See Sect. VIII.
[5] Stock, trust fund of First Natl Bank owned held in First Natl Bank IRA	A	div.	K	T	transfer in	3/92	J		See Sect. VIII.
[6] Firstar (formerly Merchants Natl Bank) checking accr.	A	int.	J	T					
[7] Perpetual Savings Bank, checking & savings accr.	A	int.	J	T					
[8] Northwestern Mutual Life Insurance co. - cash value of life ins. policies.	B	int.	J	T					
[9] 1st Fed. Credit Union, savings account	A	int.	J	T					
[10] IBM common stock owned thru Prudential Bache IRA	A	div.	J	T					
[11] Prudential Bache IRA ~~money market account~~	A	int.	J	T					

1 Income/Gain Codes: (See Col. B1 & D4) A=$1,000 or less; B=$1,001 to $2,500; C=$2,501 to 5,000; D=$5,001 to $15,000; E=$15,001 to $50,000; F=$50,001 to $100,000; G=$100,001 to $1,000,000; H=more than $1,000,000
2 Value Codes: (See Col. C1 & D3) J=$15,000 or less; K=$15,001 to $50,000; L=$50,001 to $100,000; M=$100,001 to $250,000; N=$250,001 to $500,000; O=$500,001 to $1,000,000; P=more than $1,000,000
3 Value Method Codes: (See Col. C2) Q=Appraisal; R=Cost (real estate only); S=Assessment; T=Cash/Market; U=Book Value; V=Other; W=Estimated

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FINANCIAL DISCLOSURE REPORT (cont'd)	Name of Person Reporting	Date of Report
	MICHAEL J. MELLOY	APRIL 13, 1992

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

SEE ATTACHED.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature Michael J. Melloy Date April 13, 1992

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.)

Section VII. Lines 1-5.

I explained in my financial disclosure report for the period ending December 31, 1991, that my former law firm had terminated the KEOGH plan maintained with the American Bar Association. One of the Guaranteed Rate Accounts held by the KEOGH plan matured in January, 1992. Upon maturity, the proceeds from the GRA were paid to the O'Connor & Thomas, P.C., Profit Sharing Plan, maintained at the First National Bank of Dubuque, Iowa. There still remains one GRA with the ABA KEOGH Plan which will mature in January, 1994.

I made arrangements to transfer all of the assets held in the O'Connor & Thomas, P.C., Profit Sharing Plan to an Individual Retirement Account at First National Bank of Dubuque. This transfer was made subsequent to the receipt of the proceeds by the profit sharing plan from the maturity of the GRA held in the ABA KEOGH Plan. The transfer from the Profit Sharing Plan to the IRA occurred within First National Bank of Dubuque, and there was no change in the asset allocation. That is, the money is in the IRA continued to be invested in IBM stock and the Bank's common stock trust fund. Section VII. of my report shows the transfer which occurred in March, 1992, and the fact that the investments are now held in an IRA at First National Bank of Dubuque.

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Rev. 1-92

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics Reform Act of 1989, Pub. L. No. 101-194, November 30, 1989 (5 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial)	2. Court or Organization	3. Date of Report
MELLOY, MICHAEL J.	U.S. BANKRUPTCY COURT NORTHERN DISTRICT OF IOWA	APRIL 13, 1992
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5. Report Type (check appropriate type) ___ Nomination. Date _____ ___ Initial X Annual ___ Final	6. Reporting Period 1/1/91 to 12/31/91
7. Chambers or Office Address P.O. BOX 74890 CEDAR RAPIDS, IOWA 52407		

IMPORTANT NOTES: *The instructions accompanying this form must be followed.* **Complete all parts, checking the NONE box for each section where you have no reportable information.** *Sign on last page.*

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
X	NONE (No reportable positions)	

II. AGREEMENTS. (Reporting individual only; see pp. 8-9 of Instructions.)

	DATE	PARTIES AND TERMS
X	NONE (No reportable agreements)	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

	DATE (Honoraria only)	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
		NONE (No reportable non-investment income)	
1		ST. JUDE'S SCHOOL (S)	$
2			$
3			$
4			$
			$

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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report
MICHAEL J. MELLOY	APRIL 13, 1992

IV. REIMBURSEMENTS and GIFTS—transportation, lodging, food. entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 12-14 of Instructions.)

	SOURCE	DESCRIPTION
[ ]	NONE (No such reportable reimbursements or gifts)	
1	FEDERAL BAR ASSOCIATION	Food & lodging to speak at Federal Bar Assn. Bankruptcy Seminar in Des Moines, Iowa; 10/25/91-10/26/91.
2	BANKRUPTCY SEMINARS OF IOWA, INC.	Travel, food & lodging to speak at bankruptcy seminar in Waterloo, Iowa; 8/1/91-8/3/91.
3		
4		
5		

V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
[X]	NONE (No such reportable gifts)		
1			$
2			$
3			$
4			$

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-17 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
[X]	NONE (No reportable liabilities)		
1			
2			
3			
4			
5			

* VALUE CODES: J = $15,000 or less; K = $15,001 to $50,000; L = $50,001 to $100,000; M = $100,001 to $250,000; N = $250,001 to $500,000; O = $500,001 to $1,000,000; P = More than $1,000,000

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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report
MICHAEL J. MELLOY	APRIL 13, 1992

VII. INVESTMENTS and TRUSTS—income, value, transactions. (Includes those of spouse and dependent children: see pp. 18-26 of Instructions.)

A. Description of Assets (including trust assets). Indicate, where applicable, owner of the asset by using (the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period (1) Amt. Code[1] (A-H)	B. (2) Type (e.g., div., rent or int.)	C. Gross value at end of reporting period (1) Value Code[2] (J-P)	C. (2) Value Method Code[3] (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, merger, redemption)	D. If not exempt from disclosure (2) Date: Month-Day	D. (3) Value Code[2] (J-P)	D. (4) Gain Code[1] (A-H)	D. (5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
[1] KEOGH plan maintained by American Bar Association	B	int.	K	T	transfer	10/91	K	D	See Sect. VIII.
[2] IBM common stock owned through O'Connor & Thomas Profit Sharing Plan									See Sect. VIII.
[3] at First Natl Bank of Dubuque	A		K	T	Buy	10/91	K		
[4] Stock, trust fund of 1st Natl Bk of Dubuque, owned thru O'Connor & Thomas Profit Sharing Plan	A	div.	K	T	Buy	10/91	K		
[5] Firstar (formerly Merchants Natl Bank) checking acct.	A	int.	J	T					
[6] Perpetual Savings Bank, checking & savings acct.	A	int.	J	T					
[7] IBM common stock owned thru Prudential Bache IRA	A	div.	J	T	Buy	10/91	K		
[8] Prudential Bache IRA money market account.	A	int.	J	T					
[9] Northwestern Mutual Life Insurance Co. - cash	B	int.	J	T					See Sect. VIII.
[10] Value of Life Ins. policies									
[11] First Federal Credit Union - savings acct.	A	int.	J	T	opened new acct.	3/91			
[12]									
[13]									
[14]									
[15]									
[16]									
[17]									
[18]									
[19]									

1 Income/Gain Codes: (See Col. B1 & D4) A=$1,000 or less; B=$1,001 to $2,500; C=$2,501 to $5,000; D=$5,001 to $15,000; E=$15,001 to $50,000; F=$50,001 to $100,000; G=$100,001 to $1,000,000; H=More than $1,000,000

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	MICHAEL J. MELLOY	APRIL 13, 1992

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

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IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature Michael J. Melloy Date April 13, 1992

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

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