

AO-10
Rev. 1/93

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C.A. App. 5, §§101-112)

1. Person Reporting (Last name, first, middle initial) Chin, Denny	2. Court or Organization U.S. District Court Southern Dist. of New York	3. Date of Report 3/28/94
4. Title (Article III Judges indicate active or senior status; Magistrate Judges indicate full- or part-time) nominee for U.S. District Judge	5. Report Type (check appropriate type) ☒ Nomination, Date <u>3/24/94</u> — Initial — Annual — Final	6. Reporting Period January 1, 1992 to March 18, 1994
7. Chambers or Office Address c/o Vladeck, Waldman, Elias & Engelhard, 1501 Broadway New York, NY 10036	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations _____ Reviewing Officer's Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

1/1/92 to present

Partner

Vladeck, Waldman, Elias & Engelhard, NY, NY

see Schedule A for others

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☒

NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

(Honoraria only)

1992 and 1993

(yours, not spouse's)

☐

NONE (No reportable non-investment income)

1992	Vladeck, Waldman, et al., wages & other comp.	\$ 131,064
1993	Vladeck, Waldman, et al., wages & other comp.	\$ 156,382
1992	Fordham Law School, wages for teaching	\$ 4,875
1993	Fordham Law School, wages for teaching	\$ 5,500
see Schedule B for others		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Chin, DennyDate of Report
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IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1 EXEMPT

2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1 EXEMPT

2		\$
3		\$
4		\$
		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☐

NONE (No reportable liabilities)

1/1/93 to 3/18/94

1	Eastbank, NA, NY, NY (J)	personal loan	J
2	Bank of New York, NY, NY (J)	personal loan	K
3	Citibank, N.A. (J)	revolving credit line	J
4			
5			
6			
7			

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Chin, Denny

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VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (J), for joint ownership of reporting individual and spouse, (S), for separate ownership by spouse, (DC), for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code (A-D)	(2) Type (div., int., etc.)	(1) Value Code (J-S)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, margin, redemption)	If not exempt from disclosure			
					(2) Date: Month Day	(3) Value Code (J-S)	(4) Gain Code (A-D)	(5) Identity of buyer/seller (if private transaction)	
☐ NONE (No reportable income, assets, or transactions)		1993	1/1/93-3/18/94						
1 Eastbank, NA (J) bank accounts	B	int.	K	T					
2 Chemical Bank (J) bank accounts	A	int.	K	T					
3 Bank of New York (J) checking account		none	J	T					
4 Vanguard Funds (S) 401(k) & HR 10 plans	C	div.	L	T					
5 Fidelity Invest. (S) Puritan Fund (IRA)	B	div.	K	T					
6 Fidelity Invest. (J) Govt. Reserves Fund	A	div.	J	T					
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									

1 Income/Gain Codes: A=\$1,000 or less (See Col. B1 & B4) B=\$1,001 to \$2,500 C=\$2,501 to 5,000 D=\$5,001 to \$15,000
 E=\$15,001 to \$50,000 F=\$50,001 to \$100,000 G=\$100,001 to \$1,000,000 H=\$1,000,001 to \$5,000,000
 I=\$5,000,001 or more
 2 Value Codes: J=\$15,000 or less K=\$15,001 to \$50,000 L=\$50,001 to \$100,000 M=\$100,001 to \$250,000
 N=\$250,001 to \$500,000 O=\$500,001 to \$1,000,000 P=\$1,000,001 to \$5,000,000 Q=\$5,000,001 or more
 3 Value Method Codes: Q=Appraisal R=Cost (Real estate only) S=Assessment T=Cash/Market
 U=Book Value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT (cont'd)

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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

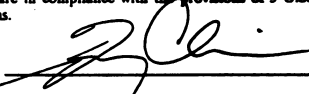
IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

3/28/94

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544