

AO-10
Rev. 1/93**FINANCIAL DISCLOSURE REPORT**Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C.A. App. 5, §§101-112)

1. Person Reporting (last name, first, middle initial) Hamilton, David F.	Court or Organization Southern District of Indiana	3. Date of Report 6/10/94
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) Nominee for district judge (active).	5. Report Type (check appropriate type) ☒ Nomination, Date <u>6/8/94</u> ____ Initial ____ Annual ____ Final	6. Reporting Period 1/1/93 - 5/31/94
7. Chambers or Office Address 11 South Meridian Street Indianapolis, Indiana 46204	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations _____ Reviewing Officer Signature _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)**POSITION****NAME OF ORGANIZATION/ENTITY**☐

NONE (No reportable positions)

Partner Barnes & ThornburgPartner BT Building Company

(Continued in Part VIII, on page 4)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)**DATE****PARTIES AND TERMS**☐

NONE (No reportable agreements)

See attachment 1.

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)**DATE****SOURCE AND TYPE****GROSS INCOME**

(Honoraria only)

(your, not spouse's)

☐

NONE (No reportable non-investment income)

1/1/94-5/31/94 Barnes & Thornburg - law partnership \$ 55,9001/1/93-12/31/93 Barnes & Thornburg - law partnership \$ 164,6761/1/93-12/31/92 Barnes & Thornburg - law partnership \$ 148,745

\$ _____

\$ _____

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Hamilton, David F.Date of Report
6/10/94

IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1	EXEMPT	
2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1	EXEMPT		\$
2			\$
3			\$
4			\$
			\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(D)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☐

NONE (No reportable liabilities)

1	Student Loan Marketing Association	Student Loans	J
2			
3			
4			
5			
6			
7			

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Hamilton, David F.

Date of Report

6/10/94

VII. INVESTMENTS and TRUSTS - income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of (1) asset by using the parenthetical (S), (J), or (C) for jointly, (H) for individual and spouse, (D) for decedent estate and estate of, (B) for beneficiary of trust, and (P) for partnership or partnership skill. Place "X" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross Value at end of reporting period		D. Transactions during reporting period				
	(1) Type of Income	(2) Type of Asset	(3) Value	(4) Value	(1) Date Acquired	(2) Date Sold	(3) Value	(4) Value	(5) Date Acquired
	(1) (B-1)	(2) (B-2)	(3) (C-3)	(4) (C-4)	(1) (D-1)	(2) (D-2)	(3) (D-3)	(4) (D-4)	(5) (D-5)
☐ NONE (No reportable income, assets, or transactions)									
1 NBD Bank, Indianapolis	B	int.	K	T					
2 Barnes & Thornburg Partnership Capital Account	A	int.	K	T					
3 Barnes & Thornburg Retirement Plans	B	div/int.	K	T					
4 Z-Seven Fund (mutual fund)	A	div.	J	T					
5 Eli Lilly & Co. (common stock)	A	div.	J	T					
6 IBM (common stock)	A	div.	J	T					
7 Northwestern Mutual Life insurance policies on	A	div/int.	K	T					
8 D.F. Hamilton & S. Hamilton									
9 Smith Barney Shearson New Brunswick, NJ	A	int.	J	T					
10 custodial accounts for daughters									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									

1 Income/Value Codes:	1-\$1,000 or less	2-\$1,001 to \$2,500	3-\$2,501 to \$5,000	4-\$5,001 to \$10,000	5-\$10,001 to \$25,000
2 Value Codes:	1-\$1,000 or less	2-\$1,001 to \$25,000	3-\$25,001 to \$50,000	4-\$50,001 to \$100,000	5-\$100,001 to \$250,000
3 Value Method Codes:	1-Appraisal	2-Book Value	3-Other	4-Other	5-Other

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting Hamilton, David F.	Date of Report 6/10/94
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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

Continued response to Part I

Director	William E. Schmidt Foundation, Inc.
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Chair	Indiana State Ethics Commission
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Chair	Marion County Traffic Safety Partnership Advisory Board
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IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

David F. Hamilton

Date

6/10/94

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

PART II. AGREEMENTS

- 1/1/89 Barnes & Thornburg Money Purchase Pension Plan and Trust, between Barnes & Thornburg and trustee 1st Source Bank: Defined contribution retirement plan based on percentage of income earned, with self-directed investments. Benefits are fully vested. Upon termination of my partnership in Barnes & Thornburg, there would be one final contribution, but I may elect to maintain my account with the plan until age 65.**
- 1/1/89 Barnes & Thornburg Profit Sharing Plan and Trust, between Barnes & Thornburg and trustee Society Bank: Defined contribution retirement plan based on percentage of income earned, with self-directed investments. Benefits are fully vested. Upon termination of my partnership in Barnes & Thornburg, there would be one final contribution, but I may elect to maintain my account with the plan until age 65.**

DAVID FRANK HAMILTON AND BRIGID HAMILTON

FINANCIAL STATEMENT

May 31, 1994

ASSETS

Cash on hand and in banks	\$18,900
U.S. Gov't securities - Custodial accounts for daughters with wife as custodian	\$9,528
Listed securities Eli Lilly & Co. (50 shares) Z-Seven Fund (200 shares) IBM (32 shares) - Custodial account for daughter	\$2,750 \$3,400 \$1,984
Unlisted securities	None
Accounts and notes receivable	None
Real estate owned 9405 East State Road 32 Zionsville, Indiana (est.)	\$300,000
Real estate mortgages receivable	None
Autos and other personal property (est.)	\$35,000
Retirement plans with Barnes & Thornburg	\$42,250
Net capital account with Barnes & Thornburg (est.)	\$47,000
Cash value of life insurance	\$19,452
Total assets	\$480,264