

To: Mr. Lacy

From: A Helping Hand

10-27-82 11:12a

FINANCIAL STATEMENT**NET WORTH**

ASSETS		LIABILITIES	
Cash on hand and in banks	5,500.00	Notes payable to banks-secured	None
U.S. Government securities	None	Notes payable to banks-unsecured	None
Listed securities	None	Notes payable to relatives	None
Unlisted securities	None	Notes payable to others	None
Accounts and notes receivable	None	Accounts and bills due	500.00
Due from relatives and friends	None	Unpaid income tax	None
Due from others	None	Other unpaid tax and interest	None
Doubtful	None	Real estate mortgages payable (none) ¹	25,000.00
Real estate owned (Schedule Attached)*	420,000.00	Chattel mortgages and other liens payable	None
Real estate mortgages receivable	None	Other debts-items: Credit cards	3,500.00
		Auto loans	25,000.00
Autos and other personal property	25,000.00		
Cash value-life insurance	38,500.00		
Other assets-items: Deferred income from State	30,000.00		
Total Assets	509,000.00	Total Liabilities	54,000.00
CONTINGENT LIABILITIES			
As endorser, cosigner or guarantor ²	3,000.00	Net worth	535,000.00
On leases or contracts	None	Total liabilities and net worth	509,000.00
Legal claims	None	GENERAL INFORMATION	
Provision for Federal Income Tax	None	Are any assets pledged?	No
Other special debt	None	Are you defendant in any suits or legal actions?	No
		Have you ever taken bankruptcy?	No

SCHEDULE - ASSETS*Real Estate Owned**

Home and 20 Acres, Webster, NC	150,000.00
House and 45 Acres, Glenville, NC	123,000.00

¹ Jackson County Savings Bank, 49 W. Main Street, Sylva, NC, 28779, Phone: (704) 586-2451.² Endorsed daughters note so that she could finance her car purchase.

FD-10
Rev. 1/83

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C.A. App. 5, §§101-112)

1. Person Reporting (Last name, first, middle initial) Thornburg, Lacy H.	2. Court or Organization U.S. District Court Western District of North Carolina	3. Date of Report 01/12/95
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) District Court Judge - Active	5. Report Type (check appropriate type) ☒ Nomination, Date 01/11/95 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/94 to 01/11/95
7. Chambers or Office Address 1850 M Street NW, Suite 250 Washington, DC 20036	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations _____ Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Emergency Superior Court Judge State of North Carolina, 01/93 through 08/08/94
Consultant, National Indian Gaming Commission 08/08/94 through 10/04/94
Associate Commissioner, National Indian Gaming Commission, 10/04/94 to present

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☐

NONE (No reportable agreements)

Deferred Judicial Income, managed by Great West Life and Annuity
Insurance Company, P.O. Box 1700-D659, Denver, Colorado 80201.
Three more equal annual payments of \$11,947.64 due each February.
Two previous payments of \$11,947.64 made February 1993 and 1994.

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)

(Honoraria only)

☐

NONE (No reportable non-investment income)

1	Tax year 1993	Non-Judicial wages, State of North Carolina	\$ 4,910.00
2		Emergency Judicial Wages, State of North Carolina	\$ 6,450.00
3		State Judicial and Employee Retirement, State of NC	\$ 37,201.62
4		State tax refund	\$ 268.00
5		Interest from Life Insurance policies, First Union Bank, and Employee Credit Unions, Sylva, North Carolina	\$ 1,488.00

Page 1A Addendum to page 1

Name of person

reporting: Lacy H. Thornburg Date of Report: 01/12/95

ITEM III, NON-INVESTMENT INCOME CONTINUED:

Tax year 1994	Emergency Judicial Wages, State of North Carolina	7,200.00
	American Arbitration Association Resolute Systems Arbitration and Court ordered Arbitration Hearing	3,100.00
	State Employee and Judicial Retirement	37,201.62
	Interest from Life Insurance Policies, First Union Bank and Employee Credit Union, Sylva, North Carolina	1,600.00

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Lacy H. Thornburg

Date of Report

01/12/95

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1

Exempt

2

3

4

5

6

7

8

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1

Exempt

2

3

4

5

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☒

NONE (No reportable liabilities)

1

2

3

4

5

6

7

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting Lacy H. Thornburg	Date of Report 01/12/95
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VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (S), for (Joint Ownership of Spouse), (H) for (Individual and Spouse), (D) for (Dependent Child), (P) for (Private), (C) for (Cash/Market), (E) for (Estimated). Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross Value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code (A-E)	(2) Type (S, H, D, P, C, E)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (S, H, D, P, C, E)	If not exempt from disclosure			
						(2) Date Month/Day	(3) Value Code (J-P)	(4) Gain/Loss Code (A-E)	(5) Identity of Buyer/Seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
¹ Frame House, Webster NC (S)	C	Rent	K	Q					
² CD, State Employee Credit Union, Sylva, NC					Sale	4/94	J	C	
³ Isuzu Common Stock					Sale	4/94	J	C	
⁴ Paul Revere Var. Annuity 18 Chestnut, Worcester MA(S)	A	Def. Income	J	U					
⁵ CD State Employee Credit Union, Sylva, NC (S)	A	Def. Income	J	U					
⁶ CD First Union Bank Sylva, NC	A	Def. Income	J	U					
⁷ Frame cottage Webster, NC (S)	A	Rent	K	Q					
8									
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¹ Income/Gain Codes: (See Col. B1 & B4)	A-\$1,000 or less B-\$1,001 to \$2,500 C-\$2,501 to \$5,000 D-\$5,001 to \$15,000 E-More than \$15,000	F-\$10,001 to \$25,000 G-\$25,001 to \$50,000 H-\$50,001 to \$100,000 I-More than \$100,000	J-\$100,001 to \$250,000 K-\$250,001 to \$500,000 L-\$500,001 to \$1,000,000 M-More than \$1,000,000	N-Cash/Market O-Estimated
² Value Codes: (See Col. C1 & C2)	U-Book Value V-Other	W-Cost (real estate only)	X-Assessment	

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Lacy H. Thornburg

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01/12/95

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

None

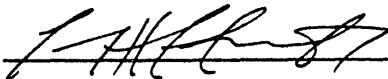
IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

1-12-95

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

1/2 Interest 44 Acres, Tuckasegee, NC	42,000.00
1/2 Interest Family Farm, Huntersville, NC	75,000.00
House and Lot (W), Webster, NC	<u>30,000.00</u>
Total	\$420,000.00