

FINANCIAL DISCLOSURE REPORT
Nomination Report

Report Required by the Ethics
Reform Act of 1989, Pub L. No.
101-194, November 30, 1989
(5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial) Berzon, Marsha S.	2. Court or Organization Nominee, US Ct. App. 9th Cir.	3. Date of Report 01/19/1998
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5. Report Type (check type) ☒ Nomination, Date 01/27/1998 Initial Annual Final	6. Reporting Period 01/01/1997 to 01/19/1998
7. Chambers or Office Address Altshuler, Berzon, et. al 177 Post St. Suite 300 San Francisco, CA 94108	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.		

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions)

POSITION	NAME OF ORGANIZATION / ENTITY
☐ NONE (No reportable positions.)	
¹ Partner	Altshuler, Berzon, Nussbaum, Berzon & Rubin
²	
³	

II. AGREEMENTS (Reporting individual only; see pp.14-17 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
¹	
²	
³	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 18-25 of Instructions.)

DATE	PARTIES AND TERMS	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
¹ 1998	Altshuler, Berzon, Nussbaum, Berzon & Rubin	\$ 5,625.00
² 1997	Altshuler, Berzon, Nussbaum, Berzon, & Rubin	\$ 383,074.00
³ 1996	Altshuler, Berzon, Nussbaum, Berzon & Rubin	\$ 292,157.00
⁴ 1998	Altshuler, Berzon, Nussbaum, Berzon & Rubin (S)	
⁵ 1997	Altshuler, Berzon, Nussbaum, Berzon & Rubin (S)	

IV. REIMBURSEMENTS and GIFTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 26-29 of Instructions.)

	SOURCE	DESCRIPTION
X	NONE (No such reportable reimbursements or gifts)	
1		
2		
3		
4		
5		
6		
7		

V. OTHER GIFTS

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp. 30-33 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
X	NONE (No such reportable gifts)		
1			
2			
3			
4			

VI. LIABILITIES

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 34-36 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
X	NONE (No reportable liabilities)		
1			
2			
3			
4			
5			
6			
7			

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

VII. Page 1 INVESTMENTS and TRUSTS

- income, value, transactions (includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (I-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (I-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (no reportable income, assets, or transactions)									
1 Bank of America, San Francisco, CA - checking account	A	Interest	J	T					
2 Coca Cola Common Stock (J)	A	Dividend	L	T					
3 Galileo Common Stock (J)		None	K	T					
4 John H. Harland Common Stock (J)	A	Dividend	K	T					
5 Mattel Common Stock (J)	A	Dividend	J	T					
6 Quaker Oats Common Stock (J)	A	Dividend	J	T					
7 California State Anticipation Notes (J)	D	None		T					
8 Dreyfus Appreciation Fund (J)	A	Dividend	K	T					
9 Dreyfus Emerging Leader Fund (J)	C	Dividend	K	T					
10 Dreyfus New Leaders Fund (J)	D	Dividend	L	T					
11 Jurika & Voyles Mini Cap Fund (J)	F	Dividend	N	T					
12 Robertson Stephens Growth & Income Fund	E	Dividend	M	T					
13 Van Wagoner Emerging Growth Fund (J)		None	K	T					
14 Van Wagoner Micro Cap Fund (J)		None	K	T					
15 Fidelity Spartan California Muni Money Market Fund (J)	E	Dividend	O	T					
16 Schwab California Muni Money Fund (J)	B	Dividend	L	T					
17 Schwab Cal. Muni Money Fund Value Advantage Shares (J)	C	Dividend	M	T					

1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
(Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
(Col. C2) U=Book Value V=Other W=Estimated

-- income, value, transactions (includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

[illegible]