

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004

1. Person Reporting (Last name, first, middle initial) Gorsuch, Neil M.	2. Court or Organization Tenth Circuit Court of Appeals	3. Date of Report May 12, 2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge- Nominee	5. Report Type (check appropriate type) _X_ Nomination, Date May 10, 2005 ___ Initial ___ Annual ___ Final	6. Reporting Period January 1, 2005 - May 12, 2006
7. Chambers or Office Address U.S. Department of Justice, Room 5706 950 Pennsylvania Ave., N.W. Washington, D.C. 20530	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐ NONE (No reportable positions.)

1	Partner	Kellogg, Huber, Hansen, Todd, Evans & Figel, PLLC (resigned May 2005)
2		
3		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☐ NONE (No reportable agreements.)

1	2005	Kellogg Huber - I retain an interest in certain contingency cases in recognition of legal services rendered and will be compensated if and when the firm obtains recoveries.
2		

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

1	2004	Kellogg Huber	\$ 1,419,050
2	2005	Kellogg Huber	\$ 1,144,538
3	2006	Kellogg Huber	\$ 277,662

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☒ NONE (No reportable non-investment income.)

1		
2		

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment. (Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	SOURCE	DESCRIPTION
☐	NONE (No such reportable reimbursements.)	
1	Exempt	
2		
3		
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☐	NONE (No such reportable gifts.)		
1	Exempt		\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☒	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

*Value Codes:	J=\$15,000 or less	K=\$15,001-\$50,000	L=\$50,001-\$100,000	M=\$100,001-\$250,000
	N=\$250,001-\$500,000	O=\$500,001-\$1,000,000	P1=\$1,000,001-\$5,000,000	P2=\$5,000,001-\$25,000,000
	P3=\$25,000,001-\$50,000,000	P4=\$50,000,001-\$100,000,000	P5=\$100,000,001-\$250,000,000	P6=\$250,000,001-\$500,000,000

19	IRA - USAA GNMA Fund	A	Dividend							
20	IRA - USAA Growth & Income Fund	A	Dividend	J	T					
21	IRA - USAA High Yield Fund	A	Dividend	J	T					
22	IRA - USAA Total Return Fund	A	Dividend	J	T					
23	IRA - USAA World Growth Fund		none	J	T					
24	Spouse IRA - USAA GNMA Fund	A	Dividend	J	T					
25	Spouse IRA - USAA Growth & Income Fund	A	Dividend	J	T					
26	Spouse IRA - USAA High Yield Fund	A	Dividend	J	T					
27	Spouse IRA - USAA Total Return Fund	A	Dividend	J	T					
28	Spouse IRA - World Growth Fund		none	J	T					
29	US Senate Credit Union Checking	A	Dividend	J	T					
30	Spouse 403B Plan - TIAA CREF Growth Fund		none	J	T					
31	Thrift Savings Plan		none	J	T					
32										
33										
34										
35										
36										
37										
38										
39										
40										
41										
42										

1	Income/Gain Codes (See Col. B1, B4)	A=\$1,000 or less F=\$10,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	E=\$5,001-\$15,000 I=\$15,000,001-\$50,000,000	D=\$15,001-\$50,000 J=\$50,000,001-\$500,000,000
2	Value Codes (See Col. C1, D3)	1=\$0-\$5,000 2=\$5,001-\$15,000 3=\$15,001-\$50,000 4=\$50,001-\$100,000 5=\$100,001-\$500,000	6=\$100,001-\$1,000,000 7=\$1,000,001-\$5,000,000 8=\$5,000,001-\$10,000,000 9=\$10,000,001-\$50,000,000 0=\$50,000,001-\$500,000,000	10=\$500,001-\$1,000,000 11=\$1,000,001-\$5,000,000 12=\$5,000,001-\$10,000,000 13=\$10,000,001-\$50,000,000 14=\$50,000,001-\$500,000,000	15=\$500,001-\$1,000,000 16=\$1,000,001-\$5,000,000 17=\$5,000,001-\$10,000,000 18=\$10,000,001-\$50,000,000 19=\$50,000,001-\$500,000,000	20=\$500,001-\$1,000,000 21=\$1,000,001-\$5,000,000 22=\$5,000,001-\$10,000,000 23=\$10,000,001-\$50,000,000 24=\$50,000,001-\$500,000,000
3	Value Method/Code (See Col. C2)	0=Original cost B=Book value	1=Cost (real estate only) V=Other	S=Apparent W=Estimated	Y=Cash/Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Notes to Part III.A:

1. Income from Kellogg Huber for 2005 represents income for a partial year before I joined the government, as well as a return of capital.
2. Income from Kellogg Huber for 2006 represents a payment of contingency fee income pursuant to an agreement reached with the firm prior to my departure.
3. Additional non-investment income in 2005 and 2006 was received as United States government salary.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature Neil Gorsuch

Date May 12, 2006

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS	
Mail signed original and 3 additional copies to:	Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20541

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		3	000	Notes payable to banks-secured			
U.S. Government securities-add schedule				Notes payable to banks-unsecured			
Listed securities-add schedule				Notes payable to relatives			
Unlisted securities--add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule		410	000
Real estate owned-add schedule	1	050	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		60	000				
Cash value-life insurance							
Other assets itemize:							
See attached schedule	2	443	400				
				Total liabilities		410	000
				Net Worth	3	146	400
Total Assets	3	556	400	Total liabilities and net worth	3	556	400
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax		105	000				
Other special debt							