

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 1998Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C. App. 4, 101-112)

1. Person Reporting (Last name, first, middle initial) Lefkow, Joan H.	2. Court or Organization U.S. Bankruptcy Court Northern District of Illinois	3. Date of Report 5/11/99
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time bankruptcy judge	5. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final	6. Reporting Period 1/1/98 - 12/31/98
7. Chambers or Office Address 219 S. Dearborn Street, Suite 662 Chicago, IL 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☒ NONE (No reportable positions.)

1

2

3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☒ NONE (No reportable agreements.)

1

2

3

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)☐ NONE (No reportable non-investment income.)

1

1/1/98-12/31/98 Spouse's income from self-employment (attorney) (S)

\$

2

\$

3

\$

4

\$

5

\$

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
Joan H. Lefkow

Date of Report
5/11/99

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

SOURCE

DESCRIPTION

☒ NONE (No such reportable reimbursements.)

1		
2		
3		
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐ NONE (No such reportable gifts.)

1	Union League Club of Chicago	Honorary membership privileges	\$ 1,800.00
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate, where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☐ NONE (No reportable liabilities.)

1	Chase Home Mortgage Ass'n (J)	Mortgage on rental property	J
2	Tulane University	College expenses for DC	J
3	Federal Thrift Savings Board	College loan	J
4	Northwestern University	College loan	K
5			
6			

*Value Codes:	J=\$15,000 or less	K=\$15,001-\$50,000	L=\$50,001-\$100,000	M=\$100,001-\$250,000	N=\$250,001-\$500,000
	O=\$500,001-\$1,000,000	P1=\$1,000,001-\$5,000,000		P2=\$5,000,001-\$25,000,000	
	P3=\$25,000,001-\$50,000,000	P4=\$50,000,001 or more			

Date of Report

5/11/99

A. Description of Assets (including trust assets)	B. Income during reporting period	C. Gross value at end of reporting period	D. Transactions during reporting period
Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse; "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "XX" after each asset exempt from prior disclosure.	(1) Amt. Code1 (A-H)	(2) Type (e.g., div., rent or int.)	(1) (2) (1) (2) (1) If not exempt from disclosure
	(2) Value Code2 (J-P)	(2) Value Method Code3 (Q-W)	(2) Date: Month-Day (2) Value Code2 (J-P) (4) Gain Code1 (A-H) (5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)			
Single-family residence, purchased 1983, DuPage County, IL	D	Rent	L W
Vanguard Group, Windsor Fund; IRA Accounts - Mutual funds. Two acctns., self & spouse. Each acct:	A	Div., distrib. of capital gains	J T early distrib. 1/6 K
			1/27 J
Assets of private law practice, e.g., office equipment (S)		None	J W
Accounts receivable from private law practice (S)		None	U
Templeton Growth Funds, Inc., 401(k) acct (S)	C	Div., Cap gain	K T
Smith Barney money/mutual funds (401(k)) (S)	A	Div., Cap gain	J T
Federal Center Employees' Credit Union; 5 jointly-held accounts with (S); 4 jointly-held accounts with (DC)	A	Int. Div.	J T

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Joan H. Lefkow

Date of Report

5/11/99

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities and to the best of my knowledge after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature Joan H. Lefkow

Date May 11, 1999

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App. 4, § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT

Calendar Year 1999

Report required by the Ethics in Government Act of 1978, as amended (5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial) Now, Joan H.		2. Court or Organization N. District Ill., E. Div.	3. Date of Report 05/15/2000
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge (Full-time)		5. Report Type (check type) _____ Nomination, Date ____ / ____ / ____ _____ Initial ☒ Annual _____ Final	6. Reporting Period 01/01/1999 to 12/31/1999
7. Chambers or Office Address 219 South Dearborn Street Suite 662 Chicago, IL 60604		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the **NONE** box for each section where you have no reportable information. Sign on the last page.

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION / ENTITY
☒ NONE (No reportable positions.)	
1	
2	
3	

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1	
2	
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
1	Income from self-employment (\$)	
2		
3		
4		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

	SOURCE	DESCRIPTION
☐	NONE (No such reportable reimbursements.)	
1	American Bankruptcy Institute	Transportation on April 16, 1999 to Annual Meeting when I was a panelist on education program
2		
3		
4		
5		
6		
7		

V. GIFTS
(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☒	NONE (No such reportable gifts.)		
2			
3			

VI. LIABILITIES
(Includes those of spouse and dependent children. See pp 33-35 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☐	NONE (No reportable liabilities.)		
1	Chase Home Mortgage Assn	Mortgage on Rental Property	J
2	Northern Trust Bank	Home equity line	J
3	Northwestern University	Parent-student loan	K
4			
5			

* VAL CODES:J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
0=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

Name of Person Reporting
Lefkow, Joan H.

Date of Report
05/15/2000

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

[illegible]

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Lefkow, Joan H.	05/15/2000

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Indicate part of report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
Lefkow, Joan H.

Date of Report
05/15/2000

IX. CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature Joan H. Lefkow

Date May 16, 2000

Note: Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E. -
Suite 2-301
Washington, D.C. 20544

Joan H. Lefkow and Michael F. Lefkow

STATEMENT OF NET WORTH

March 22, 2000

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS	VALUE	LIABILITIES	AMOUNT
Cash on hand and in banks	\$ 8,933	Notes payable to banks - secured	\$ 0
U.S. Govt securities—add schedule - See schedule	173	Notes payable to banks - unsecured	7,485
Listed securities - See schedule	251,064	Notes payable to relatives	0
Unlisted securities	0	Notes payable to others	0
Accounts and notes receivable	286,524	Accounts and bills due—schedule	0
Due from relatives & friends	0	Unpaid income tax (due 4/15/00)	4,795
Due from others	58,256	Other unpaid tax and interest state tax due 4/15/2000	446
Doubtful	232,756	Real estate mortgages payable-- see schedule	304,145
Real estate owned—see schedule	510,000	Chattel mortgages & other liens	0
Real estate mortgages receivable	0	Other debts: itemized on schedule	54,992
Autos & other personal property (est.)	40,000		
Cash value life insurance	0		
Other assets: itemize	0		
		Total liabilities	\$ 373,063
		Net worth	\$ 723,631
Total assets	\$ 1,096,694	Total liabilities and net worth	\$1,096,694
CONTINGENT LIABILITIES		GENERAL INFORMATION	
As endorser, co-maker or guarantor	0	Are any assets pledged?	Only home mortgages
On leases or contracts	1,200	Are you defendant in any suit or legal action?	No
Legal claims	0	Have you ever taken bankruptcy?	No
Other special debt	0		