

FINANCIAL DISCLOSURE REPORT
Nomination Report

Report Required by the Ethics
Reform Act of 1989, Pub L No.
101-194, November 30, 1989
(5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial) BUCHWALD, NAOMI R.		2. Court or Organization SOUTHERN DISTRICT OF NEW YORK	3. Date of Report 02/17/1999
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE NOMINEE		5. Report Type (check type) X Nomination, Date 02/12/1999 Initial Annual Final	6. Reporting Period 01/01/1999 to 02/14/1999
7. Chambers or Office Address UNITED STATES COURTHOUSE 500 PEARL STREET NEW YORK, NEW YORK 10007		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.			

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION / ENTITY
☒ NONE (No reportable positions.)	
1	
2	
3	

II. AGREEMENTS (Reporting individual only; see pp.14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1	
2	
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
1	Spouse's income from law partnership (S)	
2		
3		
4		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

	SOURCE	DESCRIPTION
X	NONE (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

V. GIFTS

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
X	NONE (No such reportable gifts.)		
1			
2			
3			

VI. LIABILITIES

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
	NONE (No reportable liabilities.)		
1	Quick & Reilly (U.S. Clearing Corp.)	Margin Debt Balance	J
2			
3			
4			
5			
6			

* VAL CODES:J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
<i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i>		(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
							(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)										
1 Insured Money Rate Accounts Citibank, Larchmont, NY (J)		A	Interest	J	T					
2 Savings & Checking Accounts Citibank, Larchmont, NY (J)		A	Interest	M	T					
3 Insured Money Rate & Savings Accounts Citibank, (DC)		A	Interest	M	T					
4 Individual Retirement Account Citibank Larchmont, NY		A	Interest	K	T					
5 Individual Retirement Account Citibank, Larchmont, NY (S)		A	Interest	J	T					
6 Keogh, Citibank, Larchmont, NY (S)		C	Interest							
7		A	Dividend	P1	T					
8 Money Market Account, Republic National Bank (J)		A	Interest	J	T					
9 Smith Barney Money Funds (J)		A	Dividend	L	T					
10 Smith Barney Money Funds (DC)		A	Dividend	J	T					
11 Insured Money Rate a/c - CD Chase Manhattan Bank (J)		A	Interest	J	T					
12 Galaxy Prime Reserve, Quick & Reilly (J)		A	Dividend	K	T					
13 Galaxy Prime Reserve, Quick & Reilly (DC)		A	Dividend	M	T					
14 Tweedy Brown Global Funds (DC)			None	J	T					
15 J.P. Morgan U.S. Equity Fund (DC)			None	J	T					
16 Blount Class A (S)		B	Dividend	J	T					
17 Blount Class B (S)		A	Dividend	J	T					
1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000		
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more		
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value		R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market				

VII. Page 2 INVESTMENTS and TRUSTS — income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
<i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i>		(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
							(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)										
18 Kinark Common Stock (DC)			None	J	T					
19 Intel Common Stock (J) (S-Keo) (DC)		A	Dividend	P1	T					
20 Cisco Systems Common Stock			None	N	T					
21 Compac Computer Common Stock (J) (S-Keo)		A	Dividend	N	T					
22 Earthweb.com Common Stock (S-Keo) (DC)			None	K	T					
23 Hancock Fabrics Common Stock (DC)		A	Dividend	J	T					
24 Internet America, Inc. Common Stock (S-Keo)			None	J	T					
25 Maytag Common Stock		A	Dividend	M	T					
26 Mediware Common Stock (S)			None	K	T					
27 Netscape Common Stock (J)			None	M	T					
28 Ubid Common Stock (S-Keo) (S-IRA)			None	L	T					
29 America on Line Common Stock			None							
30 Broadcast Common Stock			None							
31 Excite Common Stock			None							
32 Infoseek Common Stock			None							
33 Inprise Common Stock			None							
34 Lycos Common Stock			None							
1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000		
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more		
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value		R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market				

VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
35 Merrill Lynch Common Stock	A	Dividend							
36 The Globe.com Common Stock		None							
37 Yahoo Common Stock		None							
38 Zoom.com Common Stock		None							
39									
40									
41									
42									
43									
44									
45									
46									
47									
48									
49									
50									
51									
1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 H2=\$5,000,001 or more E=\$15,001-\$50,000									
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3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value R=Cost (real estate only) V=Other S=Assessment W=Estimated T=Cash/Market									

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.

Date of Report
02/17/1999

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Indicate part of report.)

* Item 7C1. Value Code "P1" includes stock in spouse's KEOGH brokerage account listed thereafter ("Keo")

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.

Date of Report
02/17/1999

IX. CERTIFICATION

In compliance with the provisions of 28 U.S.C. 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature

Naomi R. Buchwald

Date

2/17/99

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT
Calendar Year 1998

Report Required by the Ethics
Reform Act of 1989, Pub L No.
101-194, November 30, 1989
(5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial) BUCHWALD, NAOMI R.		2. Court or Organization SOUTHERN DISTRICT OF NEW YORK		3. Date of Report 02/17/1999	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE FULL-TIME		5. Report Type (check type) Nomination, Date / / Initial X Annual Final		6. Reporting Period 01/01/1998 to 12/31/1998	
7. Chambers or Office Address UNITED STATES COURTHOUSE 500 PEARL STREET NEW YORK, NEW YORK 10007		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.					

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION / ENTITY
☒ NONE (No reportable positions.)	
1	
2	
3	

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1	
2	
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
1	Spouse's income from law partnership (S)	
2		
3		
4		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

	SOURCE	DESCRIPTION
X 1	NONE (No such reportable reimbursements.)	
2		
3		
4		
5		
6		
7		

V. GIFTS

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
X 1	NONE (No such reportable gifts.)		
2			
3			

VI. LIABILITIES

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
1	NONE (No reportable liabilities.)	Quick & Reilly (U.S. Clearing Corp.)	Margin Debt BalanceJ
2			
3			
4			
5			
6			

* VAL CODES:J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.

Date of Report
02/17/1999

VII. Page 1 INVESTMENTS and TRUSTS— income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
<i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
1 Insured Money Rate Accounts Citibank, Larchmont, NY (J)	A	Interest	J	T					
2 Savings & Checking Accounts Citibank, Larchmont, NY (J)	C	Interest	M	T					
3 Insured Money Rate & Savings Accounts Citibank, (DC)	C	Interest	L	T					
4 Individual Retirement Account Citibank Larchmont, NY	B	Interest	K	T					
5 Individual Retirement Account Citibank, Larchmont, NY (S)	A	Interest	J	T					
6 Keogh, Citibank, Larchmont, NY (S)	D	Interest							
7	E	Dividend	P1	T					
8 Money Market Account, Republic National Bank (J)	A	Interest	J	T					
9 Smith Barney Money Funds (J)	C	Dividend	L	T					
10 Smith Barney Money Funds (DC)	A	Dividend	J	T					
11 Insured Money Rate a/c - CD Chase Manhattan Bank (J)	A	Interest	J	T					
12 Galaxy Prime Reserve, Quick & Reilly (J)	D	Dividend	K	T					
13 Galaxy Prime Reserve, Quick & Reilly (DC)	D	Dividend	M	T					
14 Dryfuss - General Money Market (Hampshire Securities)	A	Dividend			B(5)	5/15	K		
15 Tweedy Brown Global Funds (DC)	A	Dividend	J	T					
16 J.P. Morgan U.S. Equity Fund (DC)	A	Dividend	J	T					
17 Blount Class A (S)	B	Dividend	J	T	B(S-Keo)	1/2	J		

1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
(Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

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(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
(Col. C2) U=Book Value V=Other W=Estimated

Name of Person Reporting BUCHWALD, NAOMI R.	Date of Report 02/17/1999
--	------------------------------

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
18					S(S-Keo)	4/2	J	A	
19					B(DC)	4/14	L		
20					S(DC)	4/15	L	B	
21					B(S-Keo)	4/17	L		
22					S(DC)	6/17	M	C	
23					S(S-Keo)	8/6	L		
24 Blount Class B (S)	A	Dividend	J	T					
25 Kinark Common Stock (DC)		None	J	T					
26 Andrea Electronics Common Stock		None			S(DC)	2/2	K	D	
27 Ben & Jerry's Ice Cream Common Stock		None			S(J)	3/19	K	C	
28 Intel Common Stock (J) (S-Keo) (DC)	A	Dividend	O	T	B(S-Keo)	4/7	L		
29					S(S-Keo)	4/22	M	D	
30					B(S-Keo)	12/21	L		
31					B(DC)	12/23	M		
32 International Rectifier Common Stock		None			B(S-Keo)	1/2	M		
33					S(S-Keo)	2/9	M	E	
34					B(S-Keo)	4/15	L		

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 H2=\$5,000,001 or more E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000 K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000 L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000 M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000 N=\$250,001-\$500,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value R=Cost (real estate only) V=Other S=Assessment W=Estimated T=Cash/Market

Name of Person Reporting	Date of Report
BUCHWALD, NAOMI R.	02/17/1999

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period								
						(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
											(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)														
35						B(S-Keo)	4/17/	L						
36						B(J)	4/22	K						
37						B(S-Keo)	5/7	L						
38						B(S-Keo)	6/9	L						
39						B(S-Keo)	6/26	K						
40						S(DC)	7/13	K						
41						S(S-Keo)	8/5	M						
42						S(J)	8/5	L						
43						S(S-Keo)	8/7	K						
44						B(S-IRA)	10/6	J						
45						S(S-IRA)	10/13	J	A					
46	Intel Warrants		None			S(DC)	2/20	M	E					
47	Ogden Common Stock	D	Dividend			B(DC)	3/11	L						
48						B(S-Keo)	4/15	L						
49						S(S-Keo)	4/20	L	A					
50						B(S-Keo)	4/29/	M						
51						S(S-Keo)	4/29	M	C					

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000	
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more	
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value		R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market			

Name of Person Reporting	Date of Report
BUCHWALD, NAOMI R.	02/17/1999

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
							(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)										
52						S (S-Keo)	5/1	M	F	
53						S (S-Keo)	5/4	M	E	
54						B (S-Keo)	5/5	L		
55						B (S-Keo)	5/20	L		
56						B (S-Keo)	5/22	L		
57						S (S-Keo)	5/26	L	B	
58						S (DC)	6/2	L	B	
59						S (S-Keo)	6/3	L	B	
60						B (S-Keo)	6/9	M		
61						B (S-Keo)	6/10	L		
62						B (S-Keo)	6/17	J		
63						B (S-Keo)	7/7	L		
64						B (S-Keo)	7/10	K		
65						S (S-Keo)	8/5	N		
66	Fleet Bank Common Stock (Quick & Reilly taken over by Fleet)	C	Dividend			B (J)	2/2	M		
67						B (S-Keo)	2/6	M		
68						B (J)	2/25	N		

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 H2=\$5,000,001 or more E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 (Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market (Col. C2) U=Book Value V=Other W=Estimated

Name of Person Reporting BUCHWALD, NAOMI R.	Date of Report 02/17/1999
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(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
69					B (S-Keo)	2/27	L		
70					S (J)	3/5	N		
71					S (S-Keo)	3/19	N	G	
72					B (J)	5/6	L		
73					B (S-Keo)	5/7	M		
74					S (S-Keo)	5/14	M	C	
75					B (S-Keo)	5/19	N		
76					S (S-Keo)	5/20	N	D	
77					S (J)	5/20	L	B	
78					S (J)	8/6	M	D	
79					B (DC)	8/18	M		
80					S (DC)	8/28	M		
81					B (S-Keo)	9/3	L		
82					S (S-Keo)	9/17	L	D	
83					B (S-Keo)	9/28	L		
84					B (S-Keo)	10/2	L		
85					S (S-Keo)	10/12	M		

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 H2=\$5,000,001 or more E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000 K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000 L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000 M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000 N=\$250,001-\$500,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value R=Cost (real estate only) V=Other S=Assessment W=Estimated T=Cash/Market

Name of Person Reporting
BUCHWALD, NAOMI R.

Date of Report
02/17/1999

VII. Page 6 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
<i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
86					B(S-Keo)	10/19	L		
87					S(S-Keo)	11/4	L	A	
88 Visigenic Software Common Stock (Merged into Borland)		None							
89 Compaq Computer Common Stock (J) (S-Keo)	A	Dividend	M	T	B(J)	2/5	M		
90					S(J)	2/5	M		
91					B(J)	6/26	L		
92					B(J)	7/7	K		
93					S(J)	7/7	K	A	
94					S(J)	7/8	L	B	
95					B(DC)	7/8	M		
96					S(DC)	7/13	M	D	
97					B(J)	7/21	L		
98					S(J)	8/4	L		
99					B(S-Keo)	9/3	L		
100					S(S-Keo)	9/14	L	C	
101					B(DC)	9/21	L		
102					S(DC)	9/22	L	A	

1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
(Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
(Col. C2) U=Book Value V=Other W=Estimated

Name of Person Reporting BUCHWALD, NAOMI R.	Date of Report 02/17/1999
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(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
<i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (I-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
103					B(DC)	10/2	L		
104					S(DC)	10/2	L	A	
105					B(DC)	10/20	L		
106					S(DC)	10/22	L	B	
107					B(J)	11/23	M		
108					S(J)	12/4	M	D	
109					B(S-Keo)	12/21	L		
110 Earthweb.com Common Stock (S-Keo) (DC)		None	K	T	B(S-Keo)	11/13	K		
111					B(S-Keo)	11/16	K		
112					S(S-Keo)	11/16	K	C	
113					S(S-Keo)	11/19	K		
114					B(S-Keo)	12/21	K		
115					B(DC)	12/24	K		
116 Excite Common Stock (DC) (S-Keo)		None	M	T	B(DC)	7/21	L		
117					S(DC)	7/21	M	D	
118					B(DC)	7/22	M		
119					S(DC)	7/28	M		

1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
(Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
(Col. C2) U=Book Value V=Other W=Estimated

VII. Page 8 INVESTMENTS and TRUSTS – income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income,assets, or transactions.)									
120					B (DC)	9/9	J		
121					B (S-Keo)	9/28	L		
122					B (S-Keo)	10/27	L		
123					B (S-Keo)	11/6	M		
124					B (DC)	11/6	L		
125					S (DC)	11/9	L	D	
126					B (S-Keo)	11/10	L		
127					S (S-Keo)	11/10	L	B	
128					B (S-Keo)	11/11	M		
129					S (S-Keo)	11/11	M	D	
130					S (S-Keo)	11/12	L	D	
131					B (S-Keo)	11/16	M		
132					S (S-Keo)	11/17	L	B	
133					S (S-Keo)	11/18	L	C	
134					B (S-Keo)	11/18	M		
135					S (S-Keo)	11/19	L	C	
136					S (S-Keo)	11/24	L	D	
1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 H2=\$5,000,001 or more E=\$15,001-\$50,000									
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000 K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000 L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000 M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000 N=\$250,001-\$500,000 P4=\$50,000,001 or more									
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value R=Cost (real estate only) V=Other S=Assessment W=Estimated T=Cash/Market									

Name of Person Reporting BUCHWALD, NAOMI R.	Date of Report 02/17/1999
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(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (I-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
154 Hancock Fabrics Common Stock (DC)		None	J	T	B (DC)	11/2	J		
155 Infoseek Common Stock (S-Keo)		None	L	T	B (S-Keo)	12/16	L		
156					S (S-Keo)	12/18	L	A	
157					B (S-Keo)	12/21	L		
158					B (S-Keo)	12/22	K		
159					S (S-Keo)	12/28	M	D	
160					B (S-Keo)	12/28	L		
161 Internet America, Inc. Common Stock (S-Keo)		None	J	T	B (S-Keo)	12/28	K		
162 Lycos Common Stock (S-Keo)		None	L	T	B (DC)	7/27	L		
163					S (DC)	7/29	L		
164					B (DC)	9/25	L		
165					S (DC)	9/28	K	B	
166					S (DC)	10/27	K	B	
167					B (S-Keo)	10/27	L		
168					S (S-Keo)	11/3	L	D	
169					B (S-Keo)	12/28	L		
170 Maytag Common Stock (DC)	A	Dividend	L	T	B (S-Keo)	9/9	L		

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3)	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
3 Val Mth Codes: Q=Appraisal (Col. C2)	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

Name of Person Reporting	Date of Report
BUCHWALD, NAOMI R.	02/17/1999

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
<i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
171					S(S-Keo)	9/17	M	D	
172					B(S-Keo)	9/21	L		
173					S(S-Keo)	9/22	K	A	
174					B(DC)	9/25	K		
175					B(S-Keo)	10/19	L		
176					B(S-Keo)	11/3	M		
177					S(S-Keo)	11/3	M	B	
178					S(S-Keo)	11/5	M	D	
179 Mediware Common Stock (S)		None	K	T	B(S-Keo)	2/27	K		
180					B(S)	3/2	K		
181					S(S-Keo)	7/8	J		
182 Merrill Lynch Common Stock (DC)	A	Dividend	L	T	B(S-Keo)	4/7	L		
183					S(S-Keo)	7/2	L	B	
184					B(DC)	9/14	L		
185					B(J)	9/24	M		
186					S(J)	10/20	M	C	
187					B(J)	10/20	M		

1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
(Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
(Col. C2) U=Book Value V=Other W=Estimated

Name of Person Reporting BUCHWALD, NAOMI R.	Date of Report 02/17/1999
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(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period								
						(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
											(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income,assets, or transactions.)														
188						S (J)	11/3	M	D					
189						B (DC)	12/1	L						
190						S (DC)	12/2	L	B					
191						B (DC)	12/2	L						
192						B (S-Keo)	12/9	L						
193						S (DC)	12/18	L						
194						S (S-Keo)	12/18	L						
195	Netscape Common Stock (J)		None	M	T	B (J)	2/6	K						
196						B (S-Keo)	7/2	K						
197						S (S-Keo)	7/2	K	A					
198						B (S-Keo)	7/6	L						
199						B (DC)	7/14	L						
200						B (S-Keo)	7/21	K						
201						B (S-Keo)	8/4	K						
202						B (DC)	11/10	L						
203						S (DC)	11/18	K	D					
204						S (S-Keo)	11/18	K	D					
1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000						
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more						
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value		R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market								

Name of Person Reporting BUCHWALD, NAOMI R.	Date of Report 02/17/1999
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VII. Page 13 INVESTMENTS and TRUSTS— income, value, transactions

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)		B=\$1,001-\$2,500 F=\$50,001-\$100,000	C=\$2,501-\$5,000 G=\$100,001-\$1,000,000	D=\$5,001-\$15,000 H1=\$1,000,001-\$5,000,000	E=\$15,001-\$50,000 H2=\$5,000,001 or more
2 Val Codes: J=\$15,000 or less (Col. C1, D3)		K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more
3 Val Mth Codes: Q=Appraisal (Col. C2)		R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

Name of Person Reporting BUCHWALD, NAOMI R.	Date of Report 02/17/1999
--	------------------------------

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period								
						(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
											(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)														
222						S (S-Keo)	12/18	M	D					
223	Apple Computer Common Stock		None			B (S-Keo)	1/2	L						
224						S (S-Keo)	3/5	L	E					
225	Applied Materials Common Stock		None			B (DC)	2/5	M						
226						B (S-Keo)	4/24	L						
227						S (S-Keo)	5/13	L	D					
228						B (S-Keo)	5/22	L						
229						B (S-Keo)	6/2	L						
230						B (DC)	6/12	L						
231						S (DC)	6/17	L	D					
232						B (S-Keo)	6/17	L						
233						S (S-Keo)	6/24	L	C					
234						S (DC)	7/13	L						
235						S (S-Keo)	7/21	L	C					
236						B (S-Keo)	7/23	K						
237						S (S-Keo)	7/29	M	A					
238						B (S-Keo)	8/31	L						
1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000						
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more						
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value		R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market								

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.

Date of Report
02/17/1999

VII. Page 15 INVESTMENTS and TRUSTS— income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
239					S (S-Keo)	10/22	L	D	
240 Bear Sterns		None			B (S-Keo)	10/22	L		
241					S (S-Keo)	11/4	L	B	
242 Broadcast Common Stock		None			B (S-Keo)	9/28	L		
243					B (S-Keo)	11/2	K		
244					S (S-Keo)	11/2	L	B	
245					S (S-Keo)	11/19	M	D	
246					B (S-Keo)	12/3	K		
247					B (S-Keo)	12/4	K		
248					S (S-Keo)	12/4	J	A	
249					S (S-Keo)	12/9	L	D	
250 Chase Manhattan Bank Common Stock	A	Dividend			B (S-Keo)	9/7	L		
251					S (S-Keo)	9/14	L	B	
252					B (S-Keo)	9/21	L		
253					S (S-Keo)	9/23	L	C	
254					B (J)	9/25	L		
255					B (S-Keo)	10/2	L		

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 H2=\$5,000,001 or more E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more (Col. C1, D3)

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market (Col. C2) U=Book Value V=Other W=Estimated

Date of Report
02/17/1999

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
256					B (S-Keo)	10/14	L		
257					S (S-Keo)	10/15	L	C	
258					S (S-Keo)	10/19	M	E	
259					S (J)	10/19	M	D	
260 Cisco Systems Common Stock		None			B (J)	11/3	L		
261					S (J)	11/5	L	D	
262					B (S-Keo)	11/9	M		
263					S (S-Keo)	11/17	M	B	
264					S (S-Keo)	11/18	M	D	
265 Citibank Common Stock		None			B (S-Keo)	9/7	L		
266					S (S-Keo)	9/14	M	C	
267					B (S-Keo)	9/17	L		
268					S (S-Keo)	9/18	L	A	
269					B (S-Keo)	9/21	L		
270					S (S-Keo)	9/22	L	C	
271					B (DC)	9/30	L		
272					B (S-Keo)	10/19	L		

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3)	O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
3 Val Mth Codes: Q=Appraisal (Col. C2)	U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

Date of Report
02/17/1999

(Includes those of spouse and dependent children. See pp. 3

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
273					S (DC)	10/20	M	E	
274					B (DC)	10/20	M		
275					S (DC)	10/21	M	C	
276					B (DC)	10/21	N		
277					S (DC)	10/22	M	B	
278					S (S-Keo)	10/23	L	D	
279					B (S-Keo)	10/27	L		
280					S (S-Keo)	11/17	L		
281 Crystal Systems Solutions Common Stock		None			B (S-Keo)	2/27	K		
282					S (S-Keo)	4/20	K	C	
283 Dell Computer Common Stock		None			B (S-Keo)	6/1	L		
284					S (S-Keo)	6/3	L	C	
285					B (S-Keo)	12/21	L		
286					S (S-Keo)	12/29	L	B	
287 Gateway Computer Common Stock		None			B (DC)	6/17	L		
288					S (DC)	6/25	L	C	
289 Inprise Common Stock		None			B (S-Keo)	1/2	L		

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 H2=\$5,000,001 or more E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more (Col. C1, D3)

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market (Col. C2) U=Book Value V=Other W=Estimated

Name of Person Reporting BUCHWALD, NAOMI R.	Date of Report 02/17/1999
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(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
<i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
290					S(S-Keo)	4/20	L	E	
291					B(S-Keo)	4/24	L		
292					B(S-Keo)	4/29	K		
293					S(S-Keo)	8/5	L		
294					S(J)	8/7	K		
295 Loral Space & Communications Common Stock		None			B(S-Keo)	3/11	L		
296					B(S-Keo)	4/1	L		
297					S(S-Keo)	4/2	M	D	
298					S(S-Keo)	4/13	M	D	
299					B(S-Keo)	4/24	L		
300					S(S-Keo)	5/15	L	C	
301					B(S-Keo)	5/18	L		
302					S(S-Keo)	5/18	L	B	
303					B(S-Keo)	5/20	L		
304					B(S-Keo)	5/22	L		
305					S(S-Keo)	5/22	M	C	
306					B(S-Keo)	5/26	L		

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 H2=\$5,000,001 or more E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more (Col. C1, D3)

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market U=Book Value V=Other W=Estimated (Col. C2)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.
Date of Report
02/17/1999

VII. Page 19 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
307					S (S-Keo)	6/9	L	C	
308					B (S-Keo)	9/15	L		
309					S (S-Keo)	9/17	L	D	
310					B (S-Keo)	10/15	L		
311					S (S-Keo)	10/20	L	D	
312 Mellon Bank Common Stock		None			B (DC)	5/27	L		
313					S (DC)	5/29	L	B	
314 Rockwell Medical Common Stock		None			B (S-IRA)	2/6	J		
315					S (S-IRA)	6/2	J		
316 Sunquest Information Common Stock		None			B (DC)	2/5	K		
317					S (DC)	7/27	K	A	
318 Whirlpool Common Stock		None			B (S-Keo)	9/16	L		
319					S (S-Keo)	9/18	L	A	
320 Yahoo Common Stock		None			B (S-Keo)	12/7	L		
321					B (S-Keo)	12/9	L		
322					S (S-Keo)	12/9	M	C	
323					S (S-Keo)	12/10	L	C	

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500	G=\$100,001-\$1,000,000	C=\$2,501-\$5,000	H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000	H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3)	O=\$500,001-\$1,000,000	K=\$15,001-\$50,000	P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000	P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000	P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000
	P4=\$50,000,001 or more							
3 Val Mth Codes: Q=Appraisal (Col. C2)	U=Book Value	R=Cost (real estate only)	V=Other	S=Assessment	W=Estimated	T=Cash/Market		

VII. Page 20 INVESTMENTS and TRUSTS – income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
324					B (S-Keo)	12/11	L		
325					S (S-Keo)	12/11	L	A	
326					B (S-Keo)	12/16	M		
327					S (S-Keo)	12/18	N	D	
328									
329									
330									
331									
332									
333									
334									
335									
336									
337									
338									
339									
340									
1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000 F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more									
2 Val Codes: J=\$15,000 or less (Col. C1, D3) K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more									
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value R=Cost (real estate only) V=Other S=Assessment W=Estimated T=Cash/Market									

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.

Date of Report
02/17/1999

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Indicate part of report.)

- Item 7C1. Value Code P1 includes stock in spouse's KEOGH brokerage and listed thereafter ("Keo")
- Line 66: Fleet Bank Common Stock (Quick & Reilly taken over by Fleet Bank on 1/30/98)
- Line 88: Visigenic Software Common Stock (Merged into Borland which changed its name to Inprise)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.

Date of Report
02/17/1999

IX. CERTIFICATION

In compliance with the provisions of 28 U.S.C. 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature Naomi Reid Buchwald Date 2/17/99

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT

Calendar Year 1997

Report Required by the Ethics
Reform Act of 1989, Pub L No.
101-194, November 30, 1989
(5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial) BUCHWALD, NAOMI R.		2. Court or Organization SOUTHERN DISTRICT OF NEW YORK		3. Date of Report 05/14/1998	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE FULL-TIME		5. Report Type (check type) Nomination, Date / / Initial ☒ Annual ☐ Final ☐		6. Reporting Period 01/01/1997 to 12/31/1997	
7. Chambers or Office Address UNITED STATES COURTHOUSE 500 PEARL STREET NEW YORK, NEW YORK 10007		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.					

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION / ENTITY
☒ NONE (No reportable positions.)	
1	
2	
3	

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1	
2	
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
1	Spouse's income from law partnership (S)	
2		
3		
4		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.

Date of Report
02/20/1998

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements received by spouse and dependent children, respectively. See pp. 25-28 of Instructions.)

	SOURCE	DESCRIPTION
X 1	NONE (No such reportable reimbursements.)	
2		
3		
4		
5		
6		
7		

V. GIFTS

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate gifts received by spouse and dependent children, respectively. See pp. 29-32 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
X 1	NONE (No such reportable gifts.)		
2			
3			

VI. LIABILITIES

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 33-35 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
1	NONE (No reportable liabilities.)		
2	Quick & Reilly (U.S. Clearing Corp.)	Margin Debt Balance	None
3			
4			
5			
6			

* VAL CODES J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.Date of Report
05/14/1998

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and
dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for sep- arate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Insured Money Rate Accounts Citibank, Larchmont, NY (J)	A	Interest	J	T					
2 Savings & Checking Accounts Citibank, Larchmont, NY (J)	C	Interest	M	T					
3 Insured Money Rate & Savings Accounts Citibank, (DC)	C	Interest	L	T					
4 Individual Retirement Account Citibank Larchmont, NY	B	Interest	K	T					
5 Individual Retirement Account Citibank, Larchmont, NY (S)	A	Interest	J	T					
6 Keogh, Citibank, Larchmont, NY (S)	D	Interest							
7	D	Dividend	O	T					
8 Money Market Account, Republic National Bank (J)	A	Interest	J	T					
9 Smith Barney Daily Dividend (J)	C	Dividend	L	T					
10 Smith Barney Daily Dividend (DC)	A	Dividend	J	T					
11 Insured Money Rate a/c - CD Chase Manhattan Bank (J)	A	Interest	J	T					
12 Alliance Money Reserve, Quick & Reilly (J)	C	Dividend	M	T					
13 Alliance Money Reserve, Quick & Reilly (DC)	D	Dividend	M	T					
14 Dryfus - General Money Market (Hampshire Securities)	A	Dividend	K	T					
15 Blount Class A (S) (DC)	A	Dividend	M	T	B (DC)	12/5	M		
16 Blount Class B (S)	A	Dividend	J	T					
17 Kinark Common Stock (DC)		None	J	T					

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500	G=\$100,001-\$1,000,000	C=\$2,501-\$5,000	H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000	H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3)	O=\$500,001-\$1,000,000	K=\$15,001-\$50,000	P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000	P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000	P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000
3 Val Mth Codes: Q=Appraisal (Col. C2)	U=Book Value	R=Cost (real estate only)	V=Other	S=Assessment	W=Estimated	T=Cash/Market		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.

Date of Report
05/14/1998

VII. Page 2 INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
18 Maytag Common Stock		None			S (DC)	1/23	L	C	
19					B (DC)	1/31	L		
20					S (DC)	2/3	L	B	
21 Hancock Fabrics Common Stock	A	Dividend			S (J)	2/10	M	D	
22					B (J)	8/7	K		
23					S (J)	10/1	J	A	
24					S (J)	10/17	J	A	
25 Andrea Electronics Common Stock (DC)		None	K	T	B (DC)	4/7	L		
26					S (DC)	8/18	M	F	
27					S (S-Keo)	8/18	K	E	
28					S (S-Keo)	8/20	K	D	
29					B (J)	8/27	M		
30					S (J)	9/15	L	D	
31					S (J)	9/18	J	C	
32					S (J)	9/22	K	C	
33					S (J)	10/14	L	C	
34					B (DC)	10/31	K		

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000	
2 Val Codes (Col. C1, D3)		J=\$15,000 or less O=\$500,001-\$1,000,000		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	
3 Val Mth Codes (Col. C2)		Q=Appraisal U=Book Value		R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.Date of Report
05/14/1998VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
35					S (DC)	11/4	K	B	
36					B (DC)	11/7	K		
37					S (DC)	11/17	K	A	
38 Ben & Jerry's Ice Cream Common Stock (J)		None	K	T					
39 Borland Common Stock		None			S (DC)	2/26	K	A	
40					S (DC)	2/26	K		
41					B (DC)	9/22	K		
42					S (DC)	11/5	K	C	
43					S (S Keo)	11/12	M		
44 Intel Common Stock (J)	A	Dividend	M	T	B (DC)	2/6	M		
45					S (DC)	2/10	M	C	
46					B (DC)	2/10	M		
47					S (DC)	2/13	M	C	
48					B (DC)	2/19	M		
49					S (DC)	3/24	M		
50 Dell Common Stock		None			S (J)	1/9	M		
51					S (DC)	1/15	L	D	

1 Inc/Gain Codes (Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes (Col. C1, D3)	J=\$15,000 or less O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
3 Val Mth Codes (Col. C2)	Q=Appraisal U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.

Date of Report
05/14/1998

VII. Page 4 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
52					B (DC)	1/20	L	D	
53					S (DC)	1/22	L	A	
54					B (J)	7/28	M		
55					B (J)	7/29	M		
56					S (J)	7/30	N	B	
57					B (DC)	11/6	K		
58					B (DC)	11/10	L		
59					S (DC)	11/14	M	B	
60 International Rectifier Common Stock (J) (DC)		None	M	T	S (DC)	1/9	M	B	
61					S (J)	1/9	N	B	
62					S (S Keo)	1/9	M		
63					B (DC)	2/6	M		
64					B (J)	3/10	L		
65					B (DC)	5/22	K		
66					S (DC)	5/28	L	C	
67					S (DC)	5/30	K	C	
68					B (J)	6/17	M		

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000

B=\$1,001-\$2,500 G=\$100,001-\$1,000,000

C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000

D=\$5,001-\$15,000 H2=\$5,000,001 or more

E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000

K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000

L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000

M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000

N=\$250,001-\$500,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value

R=Cost (real estate only) V=Other

S=Assessment W=Estimated

T=Cash/Market

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.Date of Report
05/14/1998

VII. Page 5 INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
							(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐	NONE (No reportable income, assets, or transactions.)									
69					S (J)	6/30	L	D		
70					B (DC)	7/1	L			
71					S (DC)	7/18	L	D		
72					S (DC)	7/25	K	D		
73					B (DC)	10/10	K			
74					S (DC)	12/31	K			
75					S (J)	12/31	L			
76	Amre Common Stock		None		S (DC)	1/7	J			
77	America On Line Common Stock		None		B (J)	1/30	L			
78					S (J)	2/3	L	A		
79	Apple Computer Common Stock		None		B (J)	8/11	L			
80					B (DC)	11/10	K			
81					S (J)	12/26	K			
82					S (DC)	12/31	K			
83	Bell Sports Common Stock		None		B (S Keo)	1/2	K			
84					B (DC)	1/2	K			
85					S (DC)	1/23	K	A		

1 Inc/Gain Codes: A=\$1,000 or less (Col B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 H2=\$5,000,001 or more E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less (Col C1, D3) O=\$500,001-\$1,000,000 K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal (Col C2) U=Book Value R=Cost (real estate only) V=Other S=Assessment W=Estimated T=Cash/Market

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.Date of Report
05/14/1998VII. Page 6 INVESTMENTS and TRUSTS — income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
86					S (S K)	5/6	K	B	
87 Compaq Computer Common Stock		None			B (J)	7/29	M		
88					S (J)	7/30	M	D	
89 Computer Associates Common Stock		None			B (J)	1/2	L		
90					B (J)	1/6	L		
91					S (J)	1/6	L		
92					S (J)	1/8	L	D	
93					B (J)	1/27	L		
94					S (J)	1/27	L	A	
95 Crystal Systems Common Stock		None			B (S)	1/31	J		
96					S (S)	5/9	K	D	
97 Gateway Common Stock		None			B (S Keo)	1/2	M		
98					B (J)	1/3	M		
99					S (J)	1/6	M	D	
100					B (J)	1/7	M		
101					B (S Keo)	1/9	M		
102					B (J)	1/17	M		

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)		B=\$1,001-\$2,500	C=\$2,501-\$5,000	D=\$5,001-\$15,000	E=\$15,001-\$50,000
F=\$50,001-\$100,000		G=\$100,001-\$1,000,000	H1=\$1,000,001-\$5,000,000	H2=\$5,000,001 or more	
2 Val Codes: J=\$15,000 or less (Col. C1, D3)		K=\$15,001-\$50,000	L=\$50,001-\$100,000	M=\$100,001-\$250,000	N=\$250,001-\$500,000
O=\$500,001-\$1,000,000		P1=\$1,000,001-\$5,000,000	P2=\$5,000,001-\$25,000,000	P3=\$25,000,001-\$50,000,000	P4=\$50,000,001 or more
3 Val Mth Codes Q=Appraisal (Col. C2)		R=Cost (real estate only)	S=Assessment	T=Cash/Market	
U=Book Value		V=Other	W=Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.Date of Report
05/14/1998

VII. Page 7 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
103					S(J)	1/17	N	D	
104					B(J)	1/21	M		
105					S(J)	1/22	M		
106					S(S Keo)	1/22	M	E	
107					B(J)	2/4	M		
108					S(J)	2/6	M	C	
109					B(S Keo)	3/24	L		
110					S(S Keo)	3/24	L	A	
111					B(S Keo)	3/26	N		
112					S(S Keo)	4/4	N	E	
113					B(S Keo)	4/8	M		
114					S(S Keo)	4/8	M	B	
115					B(S Keo)	4/18	M		
116					B(S Keo)	4/23	M		
117					S(S Keo)	4/24	M	D	
118					B(S Keo)	4/28	M		
119 eo					S(S Keo)	4/29	M	C	

1 Inc/Gain Codes: A=\$1,000 or less
(Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 H2=\$5,000,001 or more E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
(Col. C2) U=Book Value V=Other W=Estimated

VII. Page 8 INVESTMENTS and TRUSTS — income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
120					S (DC)	5/14	K	D	
121					B (J)	7/23	L		
122					B (J)	7/24	N		
123					S (J)	7/24	M	D	
124					S (J)	7/25	M		
125 Intel Warrants (DC)		None	L	T	B (S Keo)	5/6	M		
126					B (J)	6/17	M		
127					S (J)	7/15	M	E	
128					S (S Keo)	7/18	N	E	
129					B (J)	8/7	M		
130					B (J)	8/21	N		
131					S (J)	8/22	N		
132					B (J)	11/4	M		
133					S (J)	11/14	M	D	
134					B (DC)	11/24	M		
135					B (DC)	12/1	M		
136					B (J)	12/2	M		

1 Inc/Gain Codes: A=\$1,000 or less
(Col. B1, D4) F=\$50,001-\$100,000

B=\$1,001-\$2,500
G=\$100,001-\$1,000,000

C=\$2,501-\$5,000
H1=\$1,000,001-\$5,000,000

D=\$5,001-\$15,000
H2=\$5,000,001 or more

E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less
(Col. C1, D3) O=\$500,001-\$1,000,000

K=\$15,001-\$50,000
P1=\$1,000,001-\$5,000,000

L=\$50,001-\$100,000
P2=\$5,000,001-\$25,000,000

M=\$100,001-\$250,000
P3=\$25,000,001-\$50,000,000

N=\$250,001-\$500,000
P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal
(Col. C2) U=Book Value

R=Cost (real estate only)
V=Other

S=Assessment
W=Estimated

T=Cash/Market

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.Date of Report
05/14/1998

VII. Page 9 INVESTMENTS and TRUSTS — income, value, transactions

(Includes those of spouse and
dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for sep- arate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
137					S (DC)	12/31	L		
138					S (J)	12/31	L		
139 Kelloggs	A	Dividend			B (DC)	8/25	M		
140					S (DC)	11/11	M		
141 Living Centers of America		None			B (S Keo)	5/1	L		
142					S (S Keo)	5/8	L	C	
143 Loral Common Stock		None			B (J)	1/2	M		
144					S (J)	1/3	M	C	
145					B (J)	1/21	J		
146					B (J)	2/10	K		
147					B (J)	2/11	K		
148					S (J)	5/30	L	C	
149					B (J)	7/16	K		
150					S (J)	7/22	K	A	
151 Mediware Information Systems Common Stock		None			B (J)	8/7	J		
152					S (J)	10/14	K	C	
153 MER TeleManagement Common Stock		None			B (DC)	5/21	K		

1 Inc/Gain Codes: A=\$1,000 or less

B=\$1,001-\$2,500

C=\$2,501-\$5,000

D=\$5,001-\$15,000

E=\$15,001-\$50,000

(Col. B1, D4) F=\$50,001-\$100,000

G=\$100,001-\$1,000,000

H1=\$1,000,001-\$5,000,000

H2=\$5,000,001 or more

2 Val Codes: J=\$15,000 or less

K=\$15,001-\$50,000

L=\$50,001-\$100,000

M=\$100,001-\$250,000

N=\$250,001-\$500,000

(Col. C1, D3) O=\$500,001-\$1,000,000

P1=\$1,000,001-\$5,000,000

P2=\$5,000,001-\$25,000,000

P3=\$25,000,001-\$50,000,000

P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal

R=Cost (real estate only)

S=Assessment

T=Cash/Market

(Col. C2) U=Book Value

V=Other

W=Estimated

FINANCIAL DISCLOSURE REPORT

VII. Page 10 INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
154					B (S)	5/21	J		
155					S (DC)	6/17	K	B	
156					S (S)	7/25	J	A	
157 Netscape Common Stock		None			B (J)	1/30	L		B
158					B (J)	2/27	L		
159					B (J)	3/26	L		
160					B (DC)	5/7	L		
161					S (DC)	5/12	L	D	
162					B (J)	5/14	L		
163					S (J)	5/14	L		
164					B (J)	5/15	L		
165					B (DC)	5/21	L		
166					S (DC)	6/6	L	C	
167					S (J)	6/12	M		
168					S (J)	6/13	M	C	
169					B (J)	12/15	L		
170					S (J)	12/17	L		

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)

B=\$1,001-\$2,500
G=\$100,001-\$1,000,000

C=\$2,501-\$5,000
H1=\$1,000,001-\$5,000,000

D=\$5,001-\$15,000
H2=\$5,000,001 or more

E=\$15,001-\$50,000

2 Val Codes: I=\$15,000 or less (Col. C1, D3)

J=\$15,001-\$50,000
K=\$15,001-\$50,000
L=\$50,001-\$100,000
M=\$100,001-\$250,000
N=\$250,001-\$500,000
O=\$500,001-\$1,000,000
P1=\$1,000,001-\$5,000,000
P2=\$5,000,001-\$25,000,000
P3=\$25,000,001-\$50,000,000
P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal (Col. C2)

R=Cost (real estate only)
V=Other

S=Assessment
W=Estimated

T=Cash/Market

VII. Page11 INVESTMENTS and TRUSTS – income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
171 Ogden Common Stock (S-Keo)	D	Dividend	N	T	B (S-Keo)	1/2	K		
172					B (S-Keo)	8/19	N		
173 Quick & Reilly Common Stock Common Stock (S-Keo) (DC)	A	Dividend	N	T	B (S-Keo)	5/13	M		
174					B (DC)	8/12	M		
175					S (DC)	8/18	M	D	
176 Sun Microsystems Common Stock		None			B (J)	1/21	M		
177					S (J)	1/22	M		
178 Visigenic Software		None	K	T	B (J)	12/1	K		
179									
180									
181									
182									
183									
184									
185									
186									
187									

1 Inc/Gain Codes: A=\$1,000 or less
(Col. B1, D4) F=\$50,001-\$100,000

B=\$1,001-\$2,500
G=\$100,001-\$1,000,000

C=\$2,501-\$5,000
H1=\$1,000,001-\$5,000,000

D=\$5,001-\$15,000
H2=\$5,000,001 or more

E=\$15,001-\$50,000

2 Val Codes: J=\$15,000 or less
(Col. C1, D3) O=\$500,001-\$1,000,000

K=\$15,001-\$50,000
P1=\$1,000,001-\$5,000,000

L=\$50,001-\$100,000
P2=\$5,000,001-\$25,000,000

M=\$100,001-\$250,000
P3=\$25,000,001-\$50,000,000

N=\$250,001-\$500,000
P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal
(Col. C2) U=Book Value

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.

Date of Report
05/14/1998

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Indicate part of report.)

* Item 7C1. Value Code "0" includes stock in spouse's KEOGH brokerage account listed thereafter ("Keo")

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.

Date of Report
05/14/1998

IX. CERTIFICATION

In compliance with the provisions of 28 U.S.C. 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature

Naomi R. Buchwald

Date

5/14/98

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

AMENDED

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
BUCHWALD, NAOMI R.

Date of Report
05/14/1998

VII. Page 1 INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets <i>Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child.</i> <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Insured Money Rate Accounts Citibank, Larchmont, NY (J)	A	Interest	J	T					
2 Savings & Checking Accounts Citibank, Larchmont, NY (J)	C	Interest	M	T					
3 Insured Money Rate & Savings Accounts Citibank, (DC)	C	Interest	L	T					
4 Individual Retirement Account Citibank Larchmont, NY	B	Interest	K	T					
5 Individual Retirement Account Citibank, Larchmont, NY (S)	A	Interest	J	T					
6 Keogh, Citibank, Larchmont, NY (S)	D	Interest							
7	D	Dividend	O	T					
8 Money Market Account, Republic National Bank (J)	A	Interest	J	T					
9 Smith Barney Daily Dividend (J)	C	Dividend	L	T					
10 Smith Barney Daily Dividend (DC)	A	Dividend	J	T					
11 Insured Money Rate a/c - CD Chase Manhattan Bank (J)	A	Interest	J	T					
12 Alliance Money Reserve, Quick & Reilly (J)	C	Dividend	M	T					
13 Alliance Money Reserve, Quick & Reilly (DC)	D	Dividend	M	T					
14 Dryfus - General Money Market (Hampshire Securities)	A	Dividend	K	T	B(S)	5/15	K		
15 Blount Class A (S) (DC)	A	Dividend	M	T	B(DC)	12/5	M		
16 Blount Class B (S)	A	Dividend	J	T					
17 Kinark Common Stock (DC)		None	J	T					
1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 G=\$100,001-\$1,000,000 C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000 F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more									
2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 (Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more									
3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market (Col. C2) U=Book Value V=Other W=Estimated									

FINANCIAL STATEMENT

BUCHWALD FAMILY

NET WORTH

(As of 2/14/99)

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	749,	215	.00	Notes payable to banks—secured			
U.S. Government securities—add schedule				Notes payable to banks—unsecured			
Listed securities—add schedule *	865,	502	.75	Notes payable to relatives			
Unlisted securities—add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid tax and interest			
Doubtful				Real estate mortgages payable—add schedule			
Real estate owned—add schedule				Chattel mortgages and other liens payable			
Residence	600,	000	.00	Other debts—itemize:			
Real estate mortgages receivable							
Automobile and other personal property (est)	100,	000	.00				
Cash value—life insurance							
Other assets—itemize:							
IRA	24,	209	.88				
Spouse's IRA **	3,	520	.35				
Spouse's Keogh **	1,392,	270	.19	Total Liabilities	562,	320	.17
				Net Worth	3,172,	398	.00
Total Assets	3,734,	718	.17	Total Liabilities and net worth	3,734,	718	.17
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, cosigner or guarantor	None			Are any assets pledged? (Add schedule.)	No		
On leases or contracts	None			Are you defendant in any suits or legal actions?	No		
Legal Claims	None			Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax ***	562,	320	.17				
Other special debt	None						

* Excluding securities in Spouse's Keogh (see schedule attached)

** Including securities (see schedule attached)

*** Assumes 39.6% tax rate on retirement funds (Keogh and IRA's)