

FINANCIAL DISCLOSURE REPORT
FOR NOMINEES

1. Person Reporting (Last name, first, middle initial) ROBERTS, JOHN G., JR.	2. Court or Organization U.S. COURT OF APPEALS FOR THE D.C. CIRCUIT	3. Date of Report 5/13/01
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - NOMINEE	5. Report Type (check appropriate type) ☒ Nomination, Date 5/9/01 ____ Initial ____ Annual ____ Final	6. Reporting Period 1/1/00 - 5/13/01
7. Chambers or Office Address HOGAN & HARTSON L.L.P. 555 13TH STREET N.W. WASHINGTON, D.C. 20004	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions.)	
1 PARTNER	HOGAN & HARTSON L.L.P.
2 ADVISORY BOARD	STATE & LOCAL LEGAL CENTER, GEORGETOWN UNIV.
3	LAW CENTER SUPREME COURT INSTITUTE, NATIONAL LEGAL CENTER FOR THE PUBLIC INTEREST

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements.)	
1 2001	HOGAN & HARTSON PARTNERSHIP AGREEMENT SETS FORMULA FOR PAYOUT
2	TO DEPARTING LAWYER FOR HIS OWNERSHIP INTEREST IN FIRM. RETIRE-
3	MENT AND 401(K) PLANS ARE DEFINED CONTRIBUTION, FULLY
	VESTED, AND INVESTED IN MUTUAL FUNDS SELECTED BY INDIVIDUAL

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
1 1999	HOGAN & HARTSON L.L.P.	\$ 715,598
2 2000	HOGAN & HARTSON L.L.P.	\$ 766,616
3 2001	HOGAN & HARTSON L.L.P.	\$ 111,111.57
4 1999, 2000,	SHAW PITTMAN (WIFE'S LAW FIRM)	\$
5 2001		\$

FINANCIAL DISCLOSURE REPORT

JOHN G. ROBERTS, JR.

5/13/01

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

SOURCEDESCRIPTION
☐ NONE (No such reportable reimbursements.)

EXEMPT

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

SOURCEDESCRIPTIONVALUE
☐ NONE (No such reportable gifts.)

EXEMPT

\$

\$

\$

\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

CREDITORDESCRIPTIONVALUE CODE*
☒ NONE (No reportable liabilities.)

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000
 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

JOHN G. ROBERTS, JR.

5/13/01

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets,					EXEMPT				
1 AGILENT		NONE	J	T					
2 AOL		NONE	O	T					
3 ASTRAZENECA	A	DIV	J	T					
4 AT & T	A	DIV	J	T					
5 BECTON DICKINSON	A	DIV	J	T					
6 BLOCKBUSTER	A	DIV	K	T					
7 BOEING	A	DIV	J	T					
8 CISCO		NONE	K	T					
9 CITIGROUP	A	DIV	K	T					
10 COCA COLA	A	DIV	J	T					
11 CORVIS		NONE	J	T					
12 CP	A	DIV	J	T					
13 DELL		NONE	M	T					
14 DISNEY	A	DIV	K	T					
15 FIRST VA BANKS	A	DIV	J	T					
16 FREDDIE MAC	A	DIV	K	T					
17 GILLETTE	A	DIV	J	T					

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

JOHN G. ROBERTS, JR.

5/13/01

VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets)		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
						If not exempt from disclosure				
						(1) Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
Place "(X)" after each asset exempt from prior disclosure.		(1) Amt. Code1 (A-F)	(2) Type (e.g., div., rent or int.)	(1) Value Code2 (J-P)	(2) Value Method Code (Q-W)					
☐ NONE (No reportable income, assets, or transactions)										
18	HELEN OF TROY		NONE	J	T					
19	HELLER FINANCIAL	A	DIV	J	T					
20	HEWLETT-PACKARD	A	DIV	K	T					
21	HILLENBRAND	A	DIV	K	T					
22	INTEL	A	DIV	L	T					
23	IRISH INV FUND	B	DIV	J	T					
24	JDS UNIPHASE		NONE	J	T					
25	JNJ	A	DIV	J	T					
26	LOREAL		NONE	J	T					
27	LUCENT	A	DIV	J	T					
28	MERCK	A	DIV	K	T					
29	MICROSOFT		NONE	N	T					
30	MOTOROLA	A	DIV	J	T					
31	NIKE	A	DIV	J	T					
32	NOKIA	A	DIV	L	T					
33	NOVELLUS		NONE	J	T					
34	PMC SIERRA		NONE	J	T					
35	PGO		NONE	J	T					

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

JOHN G. ROBERTS, JR.

5/13/01

VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
36 PFIZER	A	DIV	J	T					
37 PROCTOR GAMBLE	A	DIV	J	T					
38 PSNRY		NONE	J	T					
39 SCHLUMBERGER	A	DIV	J	T					
40 SCIENTIFIC ATLANTA	A	DIV	K	T					
41 STATE STREET	A	DIV	K	T					
42 TEXAS INSTRUMENTS	A	DIV	M	T					
43 TMO		NONE	K	T					
44 WCOM		NONE	J	T					
45 XMSR		NONE	L	T					
46 WASHINGTON REIT	A	DIV	K	T					
47 PARADIM INC PFD	A	DIV	J	W					
48 AMER CENT GR FUND	B	DIV	J	T					
49 DAVIS SEK REAL EST FUND	A	DIV	J	T					
50 FIDELITY CONTRAFUND	C	DIV	K	T					
51 FIDELITY FREEDOM 2010	A	DIV	J	T					
52 FIDELITY LOW PRICED	D	DIV	M	T					
53 FIDELITY MACELLAN	D	DIV	N	T					

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

JOHN G. ROBERTS, JR.

Date of Report

5/13/01

VII. Page 4 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-37 of Instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
54 FIDELITY OTC	D	DIV	K	T					
55 FIDELITY OVERSEAS	C	DIV	K	T					
56 FIDELITY SELECT ENERGY		NONE	J	T					
57 FRANKLIN MUT BEAC Z	C	DIV	J	T					
58 FRANKLIN MUT DISC Z	B	DIV	J	T					
59 GAM GLOBAL C FUND	A	DIV	J	T					
60 JANUS ENT FUND		NONE	K	T					
61 JANUS FUND	B	DIV	K	T					
62 JANUS WW FUND	C	DIV	K	T					
63 MERCURY HW INT'L FUND	C	DIV	K	T					
64 LORD ABBETT DEV GR FUND	A	DIV	K	T					
65 PUTNAM NEW OPP FUND		NONE	J	T					
66 PUTNAM VOYAGER FUND		NONE	J	T					
67 SELIGMAN OMM A FUND	C	DIV	J	T					
68 TORRAY FUND	C	DIV	L	T					
69 TR PRICE EURO STOCK	A	DIV	J	T					
70 TR PRICE SCI + TECH	C	DIV	J	T					

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

JOHN G. ROBERTS, JR.

Date of Report

5/13/01

VII. Page 5 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets,									
71 VANGUARD INT'L GR	C	DIV	K	T					
72 VANGUARD SM CAP INDEX	D	DIV	L	T					
73 PILGRIM WW EM FUND		NONE	J	T					
74 ALLFIRST BANK M. MKT	E	INT	O	T					
75 ARK MONEY MKT	A	DIV	J	T					
76 CMA MONEY FUND	C	DIV	L	T					
77 C. SCHWAB MONEY MKT	A	DIV	J	T					
78 C. SCHWAB MUNI M FUND	C	DIV	L	T					
79 FIRST UNION CHECKING	A	INT	J	T					
80 CHEVY CHASE BANK	A	INT	J	T					
81 1/8 INTEREST IN COTTAGE, KNOCKLONG, LIMERICK, IRE.	A	RENT	J	W					
82 HOGAN & HARTSON L.L.P. INVESTMENT FUND	A	INT	J	W					
83 SHAW PITTMAN INVESTORS - 2000 L.L.C.	A	INT	J	W					
14									
15									
16									
17									
1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=More than \$5,000,000		E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000		K=\$15,001-\$50,000 O=\$500,001-\$1,000,000		L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000		M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000		
3	Value Method Codes: Q=Appraisal (See Col. C2) U=Book value		R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

JOHN G. ROBERTS, JR.

Date of Report

5/13/01

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

John G. Roberts, Jr.

Date

5/13/01

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	700	000	00	Notes payable to banks—secured		0	
U.S. Government securities—add schedule		0		Notes payable to banks—unsecured		0	
Listed securities—add schedule	2,107	021	21	Notes payable to relatives		0	
Unlisted securities—add schedule	2	000	00	Notes payable to others		0	
Accounts and notes receivable:		0		Accounts and bills due		0	
Due from relatives and friends		0		Unpaid income tax		0	
Due from others		0		Other unpaid tax and interest		0	
Doubtful		0		Real estate mortgages payable—add schedule	270	272	27
Real estate owned—add schedule	435	000	00	Chattel mortgages and other liens payable		0	
Real estate mortgages receivable		0		Other debts—itemize:		0	
Autos and other personal property	18	000	00				
Cash value—life insurance	11	911	06				
Other assets—itemize:	778	615	76				
SEE SCHEDULE							
				Total liabilities	270	272	27
				Net Worth	3,782	275	76
Total Assets	4,052	548	03	Total liabilities and net worth	4,052	548	03
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, cosigner or guarantor		0		Are any assets pledged? (Add schedule.)		NO	
On leases or contracts		0		Are you defendant in any suits or legal actions?		NO	
Legal Claims		0		Have you ever taken bankruptcy?		NO	
Provision for Federal Income Tax		0					
Other special debt		0					