

FINANCIAL DISCLOSURE REPORT
Nomination Report

Report required by the Ethics in
Government Act of 1978, as amended
(5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial) Dner, James Knoll	2. Court or Organization Eastern District of PA	3. Date of Report 04/22/2002
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Nominee	5. Report Type (check type) X Nomination, Date 04/22/2002 Initial Annual Final	6. Reporting Period 01/01/2001 to 04/01/2002
7. Chambers or Office Address Lehigh County Courthouse 455 W. Hamilton Street Allentown, PA 18101-1614	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.		

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION / ENTITY

☐ NONE (No reportable positions.)

1. Officer, Director Allentown Symphony Association

2. Director Boys and Girls Club of Allentown, Inc.

3. Director Police Athletic League, Inc.
(See Attachment)

II. AGREEMENTS (Reporting individual only; see pp.14-16 of Instructions.)

DATE

PARTIES AND TERMS

☐ NONE (No reportable agreements.)

1. 1981 State Employees Pension Fund; pension upon retirement, includes medical insurance and long-term care benefits

2.

3.

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)

☐ NONE (No reportable non-investment income.)

1. 2000 state judicial salary 114,884.00

2. 2001 state judicial salary 117,235.14

3. 2002 state judicial salary 29,828.75

2000-01 self-employed realtor
(See Attachment)

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

SOURCE		DESCRIPTION
☐	NONE (No such reportable reimbursements.)	
1	EXEMPT	
2		
3		
4		
5		
6		
7		

V. GIFTS
(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

SOURCE		DESCRIPTION	VALUE
☐	NONE (No such reportable gifts.)		
	EXEMPT		
2			
3			

VI. LIABILITIES
(Includes those of spouse and dependent children. See pp 33-35 of Instructions.)

CREDITOR		DESCRIPTION	VALUE CODE*
☐	NONE (No reportable liabilities.)		
1	World Savings and Loan Association	1/6 share Mortgage on Rental Property #1, Torrence, California (Pt. VII, line 1)	N
2	Capital One	credit card	J
3			
4			
5			

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and
dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Rental Property #1, Torrence, California (1997 \$533,333)	G	Rent	Q	Q	EXEMPT				
2 Time Share, Williamsburg, Virginia (1999 \$18,700)	C	Rent	K	R	"				
3 Sovereign Bank Accounts	A	Interest	K	T	"				
4 IRA #1 (Evergreen Foundation Fund Class B)	A	Dividend	J	T	"				
5 Brokerage Account #1:									
6 CITIBANK NA Bank Deposit Program	A	Interest	J	T	"				
AMGEN Common Stock		None	J	T					
8 Disney Common Stock	A	Dividend	J	T	"				
9 TYKO Common Stock	A	Dividend			"				
10 Aim Basic Value Fund Class A - Mutual Fund	A	Dividend	J	T	"				
11 SB Small Cap Value Fund Class B - Mutual Fund	A	Dividend	J	T	"				
12 SB Fundamental Value Fund Class A - Mutual Fund	A	Dividend	J	T	"				
13 SB Premium Total Return Fund Class A - Mutual Fund	A	Dividend	J	T	"				
14 SB Municipal High Income Fund Class L - Mutual Fund	A	Dividend	J	T	"				
15 SB Convertible Fund Class A - Mutual Fund	A	Dividend	J	T	"				
16 Royce Value Trust Inc. Mutual Fund		None	J	T	"				
17 Philadelphia Water and Sewer Revenue Bond - O Coupon		None	J	T	"				

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Codes: J=\$15,000 or less (Col. C1, D3)	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
3 Val Mth Codes: Q=Appraisal (Col. C2)	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions

(Includes those of spouse and
dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
18 Altoona City Authority Water Revenue Bond	A	Interest	J	T	"				
19 General Motors Acceptance Corp SmartNotes - Bond		None	J	T	"				
20 Bank of Oklahoma NA Certificate of Deposit	A	Interest	J	T	"				
21 Nokia Common Stock	A	Dividend			"				
22 Seagram Joseph & Sons Preferred Stock	A	Dividend			"				
23 Federal Home Loan Bank Construction Bond	A	Interest			"				
Jones Cable TV Fund Limited Partnership	A	Int & Div			"				
25 Aim Value Fund Class A - Mutual Fund		None			"				
26 SB Premium Total Return Fund Class B - Mutual Fund	A	Dividend			"				
27 SB Convertible Fund Class B - Mutual Fund	A	Dividend			"				
28 SB Mid Cap Core Fund Class B - Mutual Fund		None			"				
29 SB Money Funds Cash Portfolio Class A - Mutual Fund	A	Dividend			"				
30 Conseco Bank Certificate of Deposit	A	Interest			"				
31 MBNA American Bank Certificate of Deposit	A	Interest			"				
32 Irwin Union Bank & Trust Co. Certificate of Deposit	A	Interest			"				
33 Brokerage Account #2 (Custodial Account #1):									
34 CITIBANK NA Bank Deposit Program	A	Interest	J	T	"				

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(Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or moreCodes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
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						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
35 SB Premium Total Return Fund Class A - Mutual Fund	A	Dividend	J	T	"				
36 Greater Atlantic Bank-VA Certificate of Deposit	A	Interest	K	T	"				
37 Bank of Oklahoma NA Certificate of Deposit	A	Interest	J	T	"				
38 AFD Exchange Reserves Class B - Mutual Fund	A	Dividend			"				
39 SB Large Cap Growth Fund Class A - Mutual Fund	A	Dividend			"				
40 SB Money Funds Cash Portfolio Class A - Mutual Fund	A	Int & Div			"				
Conseco Bank Certificate of Deposit	A	Interest			"				
42 Irwin Union Bank & Trust Co. Certificate of Deposit	A	Interest			"				
43 FIRSTAR Bank Certificate of Deposit	B	Interest			"				
44 Brokerage Account #3 (Custodial Account #2):									
45 CITIBANK NA Bank Deposit Program	B	Interest	K	T	"				
46 Progress Energy Inc. Common Stock	A	Dividend	J	T	"				
47 WorldCom Inc. WorldCom Group Common Stock	A	Dividend	J	T	"				
48 Federal Home Loan Bank Construction Bond	A	Interest	K	U	"				
49 Federal Natl Mortgage Assn Bond	A	Interest	K	T	"				
50 General Motors Acceptance Corp SmartNotes - Bond		None	J	T	"				
51 General Motors Acceptance Corp SmartNotes - Bond		None	J	T	"				

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☐ NONE (No reportable income, assets, or transactions.)									
52 SB Aggressive Growth Fund Class B - Mutual Fund		None	J	T	"				
53 SB Small Cap Value Fund Class A - Mutual Fund	A	Dividend	J	T	"				
54 SB Fundamental Value Fund Class A - Mutual Fund	A	Dividend	J	T	"				
55 SB Premium Total Return Fund Class A - Mutual Fund	A	Dividend	J	T	"				
56 SB Convertible Fund Class A - Mutual Fund	A	Dividend	J	T	"				
57 SB Mid Cap Core Fund Class A - Mutual Fund		None	J	T	"				
Royce Value Trust Inc. Mutual Fund		None	J	T	"				
59 Delaware Group Dividend & Income Fund - Mutual Fund	B	Dividend			"				
60 CG Capital Markets - Large Cap Value Investments		None			"				
61 CG Capital Markets - International Equity Invt.		None			"				
62 CG Capital Markets - Large Cap Growth Investments		None			"				
63 CG Capital Markets - Small Cap Growth Investments		None			"				
64 CG Capital Markets - Small Cap Value Investments		None			"				
65 CG Capital Markets - Intermediate Fixed Inc. Invt.	A	Dividend			"				
66 CG Capital Markets - High Yield Investments	A	Dividend			"				
67 CG Capital Markets - Government Money Investments	A	Dividend			"				
68 CG Capital Markets - Emerging Markets Investments		None			"				

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B=\$1,001-\$2,500
G=\$100,001-\$1,000,000

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☐ NONE (No reportable income, assets, or transactions.)									
69 AFD Exchange Reserves Class B - Mutual Fund	A	Dividend			"				
70 SB Mid Cap Core Fund Class B - Mutual Fund		None			"				
71 SB Large Cap Growth Fund Class A - Mutual Fund		None			"				
72 MBNA America Bank Certificate of Deposit	A	Interest			"				
73									
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84									
85									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(If none, state part of report.)

NONE

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SECTION HEADING. (Indicate part of report.)
Information continued from Parts I through VI, inclusive.

PART 1. POSITIONS (cont'd.)

	Position	Name of Organization/Entity
4	Custodian Brokerage Account #2:	UIPA/UGMA
5	Custodian Brokerage Account #3:	UPIA/UGMA

PART 3. NON-INVESTMENT INCOME (cont'd.)

Line	Date	Source and Type	Gross Income
5	2000-01	self-employed piano teacher	

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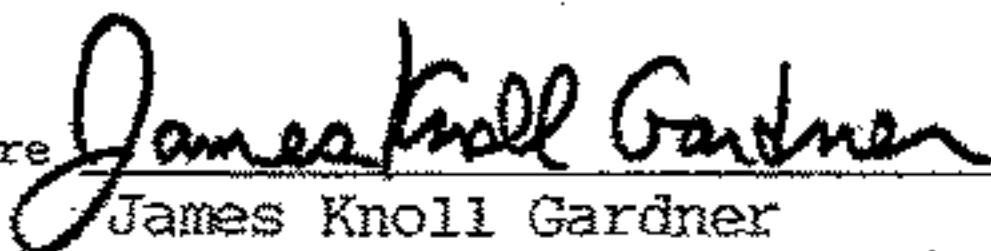
04/22/2002

IX. CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature


James Knoll Gardner

Date April 22, 2002

Note: Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS**Mail original and three additional copies to:**

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

25. Statement of Net Worth: Complete and attach the financial net worth statement in detail. Add schedules as called for.

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		85	654	Notes payable to banks-secured			-0-
U.S. Government securities-add schedule		32	234	Notes payable to banks-unsecured			-0-
Listed securities-add schedule		197	973	Notes payable to relatives			-0-
Unlisted securities--add schedule		1	000	Notes payable to others			-0-
Accounts and notes receivable:			-0-	Accounts and bills due		4	750
Due from relatives and friends			200	Unpaid income tax			-0-
Due from others			-0-	Other unpaid income and interest			-0-
Doubtful			-0-	Real estate mortgages payable-add schedule		454	862
Real estate owned-add schedule		860	000	Chattel mortgages and other liens payable			-0-
Real estate mortgages receivable			-0-	Other debts-itemize:			-0-
Autos and other personal property		185	800				
Cash value-life insurance		31	651				
Other assets itemize:			-0-				
				Total liabilities		459	612
				Net Worth		934	900
Total Assets	1	394	512	Total liabilities and net worth	1	394	512