

AG-18(W)
Rev. 1/2000FINANCIAL DISCLOSURE REPORT
Nomination ReportReport required by the Ethics in
Government Act of 1978, as amended.
(5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial) Hanan, Andrew S.		2. Court or Organization U.S.D.C.-Southern Dist. of Tx.		3. Date of Report 01/23/2002
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (nominee)		5. Report Type (check type) X Nomination, Date 01/23/2002 Initial Annual Final		6. Reporting Period 01/01/2001 to 12/31/2001
7. Chambers or Office Address 910 Travis, Suite 1700 Houston, Texas 77002		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.				

I. POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions.)	
1 Partner	Hanan, Alexander, Johnson & Spalding, L.L.P.
2 Director	State Bar of Texas
3 Immediate Past President and President	Houston Bar Association

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements.)	
1 2001	Andrews & Kurth, L.L.P. Partnership Agreement/Retirement Plan - Withdrawal from former law firm, no control
2 2000	Andrews & Kurth, L.L.P. Partnership Agreement/Retirement Plan - withdrawal from former law firm, no control
3	

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
1 2001	Hanan, Alexander, Johnson & Spalding, L.L.P.	\$741,000.00
2 2001	Andrews & Kurth, L.L.P. - withdrawal benefits	\$9,949.00
3 2000	Hanan, Alexander, Johnson & Spalding, L.L.P. - Partnership Draw	\$809,039.00
4 2000	Andrews & Kurth, L.L.P. - withdrawal benefits	\$24,934.00

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

☐ SOURCE
NONE (No such reportable reimbursements.)

DESCRIPTION

1 Exempt

2

3

4

5

6

7

V. GIFTS

(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

☐ SOURCE
NONE (No such reportable gifts.)

DESCRIPTION

VALUE

1 Exempt

2

3

VI. LIABILITIES

(Includes those of spouse and dependent children. See pp. 33-35 of Instructions.)

☐ CREDITOR
NONE (No reportable liabilities.)

DESCRIPTION

VALUE CODE*

1 Southwest Bank of Texas, N.A.

Contingent loan guarantee

K

2 Citibank Mastercard

Credit card

J

3 First U.S.A. Mastercard

credit card

J

4 Merrill Lynch

Cash management account

J

5

6

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (includes those of spouse and dependent children. See pp. 36-54 of instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
1 IRA Account #1-Treasury Strips -Chase security of Texas	C	Interest	K	T	Exempt				
2 IRA Account #2	A	Dividend	K	T	Exempt				
3 -AIM Blue Chip									
4 -AIM Value Fund									
5 IRA Account #3	A	Dividend	K	T	Exempt				
6 -AIM Blue Chip									
7 -AIM Value Fund									
8 SEP Account #1	A	Dividend	J	T	Exempt				
9 -AIM Blue Chip									
10 -AIM Value Fund									
11 Retirement Account #1-Fidelity MSIFT Balanced Advisor	A	Dividend	N	T	Exempt				
12 Retirement Account #2 - Guardian MFS Government	A	Interest	L	T	Exempt				
13 Retirement Account #3	A	Dividend	L	T	Exempt				
14 -Principal Large Cap Fund									
15 -Principal Large Company Growth Fund									
16 Brokerage Account #1 - 2001									
17 -Texas Pub. Fin. Auth. Municipal Bond	C	Interest	L	T	Exempt				

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-F)	(4) Gain Code (A-E)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
18 -Travis County - Municipal Bond	C	Interest	L	T	Exempt				
19 -Texas State Water Dev. Municipal Bonds	D	Interest	L	T	Exempt				
20 -Southlake Texas L/T Water Municipal Bonds	b	Interest	L	T	Exempt				
21 -Georgetown, Texas I.S.D. Municipal Bonds	C	Interest	L	T	Exempt				
22 -Fort Worth, Texas I.S.D. Municipal Bonds	C	Interest	L	T	Exempt				
23 -U.T. Rev. Municipal Bonds	C	Interest		T	Exempt				
24 -Richardson, Texas Municipal Bonds	C	Interest	L	T	Exempt				
25 -Allen, Texas Rfdg. Municipal Bonds	C	Interest	L	T	Exempt				
26 Brokerage Account #2 - 2001									
27 -Del Valle, Texas I.S.D. Municipal Bonds	D	Interest	L	T	Exempt				
28 -DeSoto, Texas I.S.D. Municipal Bonds	C	Interest	L	T	Exempt				
29 -Aldine, Texas I.S.D. Municipal Bonds	D	Interest	L	T	Exempt				
30 -Canadian River Mun. Municipal Bonds	D	Interest	M	T	Exempt				
31 -Houston, Texas Wtr. Municipal Bonds	D	Interest	M	T	Exempt				
32 -Brownsville, Texas Municipal Bonds	C	Interest	L	T	Exempt				
33 -Lower Colorado River Authority Municipal Bonds	C	Interest	L	T	Exempt				
34 -Arlington, Texas I.S.D. Municipal Bonds	D	Interest	M	T	Exempt				

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)	B=\$1,001-\$2,500 F=\$50,001-\$100,000	C=\$2,501-\$5,000 G=\$100,001-\$1,000,000	D=\$5,001-\$15,000 H1=\$1,000,001-\$5,000,000	E=\$15,001-\$50,000 H2=\$5,000,001 or more
2 Val Codes: (Col. C1, D3)	J=\$15,000 or less O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000
3 Val Mth Codes: (Col. C2)	U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market

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VII. Page 4 INVESTMENTS and TRUSTS-- income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period						
				(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (I-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
									(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
	NONE (No reportable income, assets, or transactions.)											
52	-Harris Cty, Texas Toll. Sub. Zero Coupon Municipal	A	Interest			Exempt						
53	-Northwest, Texas I.S.D. Zero Coupon Municipal Bonds	A	Interest			Exempt						
54	-Dallas, Texas Civic CCC Zero Coupon Municipal Bonds	A	Interest			Exempt						
55	Brokerage Account #3 - 2001											
56	- Adobe Systems - Stock	A	Dividend			Exempt						
57	- Allergan Inc. - stock	A	Dividend			Exempt						
58	- ALZA Corp. - Stock		None			Exempt						
59	- AOL Time Warner - Stock		None			Exempt						
60	- American Express - Stock	A	Dividend			Exempt						
61	- Analog Devices - Stock		None			Exempt						
62	- BEA Systems - Stock		None			Exempt						
63	- CVS Corp. Del. - Stock	A	Dividend			Exempt						
64	- Ciena Corp. - Stock		None			Exempt						
65	- Check Point Software - Stock	A	Dividend			Exempt						
66	- Cisco Systems		None			Exempt						
67	- Corning, Inc. - Stock	A	Dividend			Exempt						
68	- Dollar Tree - Stock		None			Exempt						

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000	
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more	
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value		R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market			

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VII. Page 5 INVESTMENTS and TRUSTS-- income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)									
69 - EMC Corp. - Stock					Exempt				
70 - Elan Corp. P.L.C. - Stock		None			Exempt				
71 - Enron Corp. Oregon - Stock	A	Dividend			Exempt				
72 - Freddie Mac - Stock	A	Dividend			Exempt				
73 - Forest Labs - Stock	A	Dividend			Exempt				
74 - Goldman Sachs - Stock	A	Dividend			Exempt				
75 - General Electric - Stock	A	Dividend			Exempt				
76 - Harley Davidson - Stock	A	Dividend			Exempt				
77 - Immunex Corp. - Stock		None			Exempt				
78 - Idec Pharm. - Stock	A	Dividend			Exempt				
79 - 12 Technologies - Stock		None			Exempt				
80 - Jupiter Networks - Stock		None			Exempt				
81 - Intel Corp. - Stock	A	Dividend			Exempt				
82 - Kohls Corp. - Stock		None			Exempt				
83 - Lowes Companies - Stock	A	Dividend			Exempt				
84 - Mercury Interactive - Stock		None			Exempt				
85 - Morgan St. Dean Witter - Stock	A	Dividend			Exempt				

1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
(Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
(Col. C2) U=Book Value V=Other W=Estimated

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VII. Page 6 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
66 - Merck - Stock	A	Dividend			Exempt				
67 - Network Appliance - Stock		None			Exempt				
68 - Nokia Corp. - Stock		None			Exempt				
69 - Oracle Corp. - Stock		None			Exempt				
90 - Pharmacia Corp. - Stock	A	Dividend			Exempt				
91 - Pfizer - Stock	A	Dividend			Exempt				
92 - Q Logic Corp. - Stock		None			Exempt				
93 - Safeway Inc. - Stock		None			Exempt				
94 - SDL Inc. - Stock		None			Exempt				
95 - Siebel Inc. - Stock		None			Exempt				
96 - Sycamore Networks - Stock		None			Exempt				
97 - Stilwell Financials - Stock	A	Dividend			Exempt				
98 - Sun Microsystems - Stock		None			Exempt				
99 - Texas Instruments - Stock	A	Dividend			Exempt				
100 - Viacom - Stock		None			Exempt				
101 - Wal-Mart - Stock	A	Dividend			Exempt				
102 - Applied Material - Stock		None			Exempt				

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000	
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more	
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VII. Page 7 INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
103 - JDS Uniphase - Stock		None			Exempt				
104 - Palm Inc. - Stock		None			Exempt				
105 - Tyco Int. - Stock	A	Dividend			Exempt				
106 - McData Corp. - Stock	A	Dividend			Exempt				
107 - Calpine - Stock		None			Exempt				
108 - Costco - Stock		None			Exempt				
109 - Comcast - Stock		None			Exempt				
110 - Home Depot - Stock	A	Dividend			Exempt				
111 - Paychex - Stock	A	Dividend			Exempt				
112 - Lehman Bros. - Stock	A	Dividend			Exempt				
113 - QWest - Stock	A	Dividend			Exempt				
114 - Sprint - Stock		None			Exempt				
115 - Veritas Software - Stock		None			Exempt				
116 - Brocade Comm. - Stock		None			Exempt				
117 - Biogen Inc. - Stock		None			Exempt				
118 - Taiwan Manufacturing - Stock	A	Dividend			Exempt				
119 - CNA - Money Market - Provident Account	A	Interest			Exempt				

I Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 I2=\$5,000,001 or more	E=\$15,001-\$50,000
J Val Codes: J=\$15,000 or less (Col. C1, D3)	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
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VII. Page 8 INVESTMENTS and TRUSTS-- income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

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									(2) Date: Month- Day	(3) Value Code (J-T)	(4) Gain Code (A-H)
	NONE (No reportable income, assets, or transactions.)										
120	Smith Barney Municipal Money Market Account	A	Interest	J	T	Exempt					
121	Chase Vista Funds Money Market Account	A	Interest			Exempt					
122	HAJS, L.L.P. Partnership Interest	A	None	M	U	Exempt					
123	Compass Bank Account	A	Interest	J	T	Exempt					
124	Chase Bank	C	Interest	J	T	Exempt					
125											
126											
127											
128											
129											
130											
131											
132											
133											
134											
135											
136											

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)		B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000		D=\$5,001-\$15,000 H2=\$5,000,001 or more		E=\$15,001-\$50,000	
2 Val Codes: I=\$15,000 or less (Col. C1, D3)		K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000		L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000		M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000		N=\$250,001-\$500,000 P4=\$50,000,001 or more	
3 Val Mth Codes: Q=Appraisal (Col. C2)		R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cash/Market			

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Hanan, Andrew S.

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01/23/2002

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Indicate part of report.)

01/31/02 09:00 FAX 7132222226

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Name of Person Reporting

Hanan, Andrew S.

Date of Report

01/23/2002

SECTION HEADING. (Indicate part of report.)

Information continued from Parts I through VI, inclusive.

PART 1. POSITIONS (cont'd.)

Line Position

Name of Organization/Entity

4 Director Baylor Alumni Association

PART 3. NON-INVESTMENT INCOME (cont'd.)

Line Date

Source and Type

Gross Income

5 1999 Hanan, Alexander, Johnson & Spalding, L.L.P. - Partnership Draw \$629,671.00

6 1999 Andrews & Kurth, L.L.P. - withdrawal benefits \$21,846.00

7 2001 South Texas College of Law - Adjunct Professor

8 2001 Self-employed - lawyer

9 2000 South Texas College of Law - Adjunct Professor

10 2000 Self-employed - lawyer

11 1999 Self-employed - lawyer

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Hanan, Andrew S.

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01/23/2002

IX. CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature



Date

1/23/02

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544