

FINANCIAL DISCLOSURE REPORT

Nomination Report

Report required by the Ethics in Government Act of 1978, as amended (5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial) PHILLIPS, THOMAS W.		2. Court or Organization EASTERN DISTRICT OF TENNESSE	3. Date of Report 06/28/2002
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE NOMINEE		5. Report Type (check type) X Nomination, Date 06/26/2002 Initial Annual Final	6. Reporting Period 01/01/2001 to 06/28/2002
7. Chambers or Office Address HOWARD BAKER U.S. COURTHOUSE 300 MARKET STREET, SUITE 145 KNOXVILLE, TENNESSEE 37902		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.

POSITIONS (Reporting individual only; see pp. 9-13 of Instructions.)		NAME OF ORGANIZATION / ENTITY
POSITION		
X NONE (No reportable positions.)		
1		
2		
3		

AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)	
DATE	PARTIES AND TERMS
X NONE (No reportable agreements.)	
1	
2	
3	

NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)		
DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
X NONE (No reportable non-investment income.)		
2000-02	ONEIDA INDEPENDENT SCHOOL SYSTEM (TEACHER)	

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PHILLIPS, THOMAS W.

Date of report
06/28/2002

REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Indicates those to spouse and dependent children. See pp. 25-28 of Instructions.)

SOURCE

NONE (No such reportable reimbursements.)

DESCRIPTION

EXEMPT

GIFTS

(Indicates those to spouse and dependent children. See pp. 29-32 of Instructions.)

SOURCE

NONE (No such reportable gifts.)

DESCRIPTION

VALUE

EXEMPT

LIABILITIES

(Indicates those of spouse and dependent children. See pp. 33-35 of Instructions.)

CREDITOR

NONE (No reportable liabilities.)

DESCRIPTION

VALUE CODE*

J=\$15,000 or less	K=\$15,001-\$50,000	L=\$50,001 to \$100,000	M=\$100,001-\$250,000	N=\$250,001-\$500,000
P=\$500,001-\$1,000,000	P1=\$1,000,001-\$5,000,000	P2=\$5,000,001-\$25,000,000	P3=\$25,000,001-\$50,000,000	P4=\$50,000,001 or more

ANNUAL DISCLOSURE REPORT

Name of Person Reporting
PHILLIPS, THOMAS W.Date of Report
06/28/2002

Page 1 INVESTMENTS and TRUSTS-- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions.)					EXEMPT				
J.C. BRADFORD-WALMART CST	A	Dividend	L	T	"				
CD-1ST NAT'L BANK, ONEIDA, TN	A	Interest			"				
CD-1ST NAT'L BANK, ONEIDA, TN	C	Interest			"				
AXP CASH MANAGEMENT FUND	A	Dividend	K	T	"				
AMEX INVESTMENT ACCT					"				
- AMER SMALLCAP WORLD	A	Interest			"				
- FIRST TRUST SPSIT TRUST	A	Interest	J	T	"				
AMEX INVESTMENT ACCT					"				
- FIRST TRUST SPSIT TRUST	A	Interest	J	T	"				
AMEX TRUST CO. - IRA		None	N	T	"				
- AMEX FLEXIBLE PORTFOLIO NUITY					"				
- AMEX NEW DIMENSIONS CLASS					"				
AMEX BLUE CHIP ADVANTAGE SS A					"				
AMEX STRATEGY AGGRESSIVE IS A					"				
AXP PARTNERS VALUE FUND					"				
AMEX INT'L CLASS A					"				
AMEX GLOBAL GROWTH CLASS A					"				

A=\$1,000 or less	B=\$1,001-\$2,500	C=\$2,501-\$5,000	D=\$5,001-\$15,000	E=\$15,001-\$50,000
F=\$50,001-\$100,000	G=\$100,001-\$1,000,000	H1=\$1,000,001-\$5,000,000	H2=\$5,000,001 or more	
J=\$15,000 or less	K=\$15,001-\$50,000	L=\$50,001-\$100,000	M=\$100,001-\$250,000	N=\$250,001-\$500,000
O=\$500,001-\$1,000,000	P1=\$1,000,001-\$5,000,000	P2=\$5,000,001-\$25,000,000	P3=\$25,000,001-\$50,000,000	P4=\$50,000,001 or more
Q=Appraisal	R=Cost (real estate only)	S=Assessment	T=Cash/Market	
U=Book Value	V=Other	W=Estimated		

FINANCIAL DISCLOSURE REPORT

FORM OF FINANCIAL DISCLOSURE

PHILLIPS, THOMAS W.

06/28/2002

. ADDITIONAL INFORMATION OR EXPLANATIONS.

(the part of report.)

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Name of Person Reporting
PHILLIPS, THOMAS W.Date of Report
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CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature

Thomas W. Phillips

Date

6/28/02

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

TOTAL P.07