

FINANCIAL DISCLOSURE REPORT
FOR NOMINEES

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App., §§101-111)

1. Person Reporting (Last name, first, middle initial) Minaldi, Patricia H.		2. Court or Organization District Court, Western District of Louisiana		3. Date of Report January 16, 2003	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Nominee)		5. ReportType (check appropriate type) ☒ Nomination, Date _____ ____ Initial ____ Annual ____ Final		6. Reporting Period January 1, 2001 to December 31, 2002	
7. Chambers or Office Address 1001 Lakeshore Drive Lake Charles, LA 70601		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐ NONE (No reportable positions.)

1	State District Court Judge	14th Judicial District Court, Louisiana
2	Manager (Spouse is other Manager.)	Minerex, LLC (family-owned company)
3	President	Louisiana District Judges Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☐ NONE (No reportable agreements.)

1	2002	La. State Employee Retirement System (pension upon retirement)
2	2002	La. Deferred Compensation Plan (upon retirement or separation)
3		

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)

☐ NONE (No reportable non-investment income.)

1	2001	Judicial Branch Of La.	\$ 95,597
2	2001	Jack Lawton, Inc.	\$
3	2001	La. Health Services Indemnity Co.	\$
	2001	Southern National Life Ins. Company	\$
	2002	Judicial Branch Of La.	\$ 98,645

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

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Date of Report

January 16, 2003

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

SOURCE

DESCRIPTION

☐ NONE (No such reportable reimbursements.)

EXEMPT

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐ NONE (No such reportable gifts.)

EXEMPT

\$

\$

\$

\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☒ NONE (No reportable liabilities.)

FINANCIAL DISCLOSURE REPORT

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

Description of Assets (Indicate trust assets)	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(3)	(4)	Indicate exemption from disclosure				
	Amount Code (A-H)	Type (1-4) (Div. or capital gain)	Value Code (J-P)	Value Method Code (Q-W)	Type (1-4) (Buy, sell, merger, redemption)	(2) Date Month/Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions)									
Minerex, LLC (family-owned oil & gas invest. co.)		None	J	W	EXEMPT				
Hibernia Nat. Bank (bank accounts)	A	Int.	K	T	EXEMPT				
Northwest Mutual Ins. Co. (escrow account)	A	Int.	J	T	EXEMPT				
Legg Mason Cash Reserve Trust	A	Div.	K	T	EXEMPT				
Legg Mason Special Invest. Trust	C	Gain	J	T	EXEMPT				
Legg Mason Cash Reserve Trust	A	Div.	J	T	EXEMPT				
Legg Mason Opportunity Trust	A	Gain	J	T	EXEMPT				
Legg Mason Cash Reserve Trust	A	Div.	J	T	EXEMPT				
Legg Mason Opportunity Trust	A	Gain	J	T	EXEMPT				
La. Deferred Compensation Plan									
Maxim Stock Index Portfolio		None	J	T	EXEMPT				
American Century Ultra Fund		None	J	T	EXEMPT				
Maxim INVESCO Small Cap Growth Portfolio		None	J	T	EXEMPT				
Other Property - St. Mary Parish (undivided interest)		None	J	W	EXEMPT				
EE United States Savings Bonds		None	J	W	EXEMPT				

Income/Gain Code: A=\$1,000 or less (Sec. 601)(B)(1)-(D4)	B=\$1,001-\$2,500 (Sec. 601)(B)(1)-(D4)	C=\$2,501-\$5,000 (Sec. 601)(B)(1)-(D4)	D=\$5,001-\$15,000 (Sec. 601)(B)(1)-(D4)	E=\$15,001-\$50,000 (Sec. 601)(B)(1)-(D4)
Value Code: J=\$15,000.01-\$50,000.00 (Sec. 601)(C)(1)-(D3)	K=\$50,000.01-\$100,000.00 (Sec. 601)(C)(1)-(D3)	L=\$100,000.01-\$250,000.00 (Sec. 601)(C)(1)-(D3)	M=\$250,000.01-\$500,000.00 (Sec. 601)(C)(1)-(D3)	N=\$500,000.01-\$1,000,000.00 (Sec. 601)(C)(1)-(D3)
Value Method Code: Q=Appraisal (Sec. 601)(C)(2)	R=Cost (real estate only) (Sec. 601)(C)(2)	S=Assessment (Sec. 601)(C)(2)	T=Cash/Market (Sec. 601)(C)(2)	U=Book value (Sec. 601)(C)(2)
	V=Other (Sec. 601)(C)(2)	W=Estimated (Sec. 601)(C)(2)		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

III. Non-Investment Income (continued)

- 6. 2002 Jack Lawton, Inc.
- 7. 2002 La. Health Services Indemnity Co.
- 8. 2002 Southern National Life Ins. Company

X. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

1/16/03

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILE INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544