

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Mendez, John A	2. Court or Organization Dist. Court E.D. California	3. Date of Report 09/07/2007
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge Nominee	5a. Report Type (check appropriate type) ☒ Nomination, Date 09/06/2007 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 08/31/2007
7. Chambers or Office Address 500 I Street Sacramento, CA 95814	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2001	California Judges Retirement System II administered by State of California; pension upon retirement or option to rollover or cash out upon resignation
2.	
3.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2006	Sacramento County Superior Court Judge	\$ 133,000
2. 2007	Sacramento County Superior Court Judge	\$ 84,000
3. 2005	Sacramento County Superior Court Judge	\$ 105,000
4.		
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2006	Sacramento County Dept. of Health and Human Services—in home care provider for disabled daughter
2. 2007	Sacramento County Dept. of Health and Human Services—in home care provider for disabled daughter
3.	
4.	
5.	

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DESCRIPTION
1.	EXEMPT
2.	
3.	
4.	
5.	

Name of Person Reporting	Date of Report
Mendez, John A	09/07/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.	EXEMPT	
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT					EXEMPT				
2. --Cash	A	Interest	K	T					
3. --Alcoa Common Stock	A	Dividend	J	T					
4. --Countrywide mortgage and asset backed securities	B	Interest	K	T					
5. --Legg Mason Partners Mutual Funds	C	Interest	L	T					
6. --General Motors Acceptance Corp corporate bonds	B	Interest	L	T					
7. --Federal Home Loan Mtg Corp gov't bond	B	Interest	K	T					
8. --Federal Home Loan Mtg. Medium Term Notes gov't bond	B	Interest	K	T					
9. --TRAC Smith Barney Mutual Funds	A	Interest	K	T					
10.									
11. IRA #1	E	Interest	N	T	EXEMPT				
12.		Dividend							
13. --Alcoa common stock									
14. --Abbott Laboratories common stock									
15. --Anheuser-Busch Companies common stock									
16. --Bank of America common stock									
17. --Baxter Intl Inc. common stock									

1 Income Gross Codes (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 I --More than \$5,000,000	E --\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	P3 --\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 --More than \$50,000,000 S =Assessment W =Estimated		T =Cash Market

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		134	100	Notes payable to banks-secured			
U.S. Government securities-add schedule		129	558	Notes payable to banks-unsecured		2	000
Listed securities-add schedule		187	815	Notes payable to relatives			
Unlisted securities--add schedule		104	898	Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		5	500
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule		562	000
Real estate owned-add schedule	1	100	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		50	000				
Cash value-life insurance							
Other assets itemize:							
Accrued interest on bonds/CDs		1	916				
GMAC Corporate Bonds		44	426				
California Judicial Retirement Account		190	000	Total liabilities		569	500
Inheritance		67	000	Net Worth	1	440	213
Total Assets	2	009	713	Total liabilities and net worth	2	009	713
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							