

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conner, Christopher C.	2. Court or Organization Middle District Pennsylvania	3. Date of Report 05/10/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address P.O. Box 847 Harrisburg, PA 17108-0847		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Custodian	Fidelity Advisor 529 College Savings Plans #1 and #2
2. Trustee	Trustee Account #1
3. Member of the Board of Advisors	Penn State University Dickinson School of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/10/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	The Pennsylvania State University - teaching at law school	\$24,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Intellectual Property Lawyer's Association	April 1-2, 2016	New York City	Dinner in Honor of Federal Judiciary	Transportation, meals and lodging
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/10/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Pennsylvania State Employees Credit Union	Mortgage equity loan on Rental Property, Mechanicsburg, PA (Part VII, Line 5)	J
2.	Riverview Bank	Line of Credit secured by personal real estate, Harrisburg, PA	L
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Conner, Christopher C.

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05/10/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank cash accounts (formerly referred to as FNB)	A	Interest	J	T					
2. RENTAL PROPERTY, Mechanicsburg, PA (2004, \$302,250)	E	Rent	N	R					
3. FIDELITY ADVISOR 529 COLLEGE SAVINGS PLAN #1 (H)									
4. - FA 529 Stock Selector Mid Cap Portfolio Class A		None	J	T	Distributed (part)	03/01/16	J	A	
5. FIDELITY ADVISOR 529 COLLEGE SAVINGS PLAN #2 (H)									
6. - FA 529 Diversified International Portfolio Class A		None	K	T	Distributed (part)	08/30/16	J	A	
7. - FA 529 Stock Selector Mid Cap Portfolio Class A		None	K	T	Distributed (part)	11/15/16	J	A	
8. - FA 529 Small Cap Portfolio Class A		None	K	T	Distributed (part)	11/15/16	J	A	
9. - FA 529 Dividend Growth Portfolio Class A		None	K	T					
10. - FA 529 High Income Portfolio Class A		None			Distributed (part)	03/01/16	J	A	
11.					Distributed	08/30/16	J	A	
12. - FA 529 Limited Term Bond Portfolio C1 A (formerly Intermediate Bond)		None	J	T					
13. TRUSTEE ACCOUNT #1 (H)									
14. - Schwab One money market account	A	Interest	L	T					
15. - Loomis Sayles Bond Fund Class R	A	Dividend			Sold	11/11/16	K		
16. - Pimco Income Fund Class D	B	Dividend	K	T					
17. - T Rowe Price Blue Chip Growth Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - BBH Core Select Fund Class N	B	Dividend	K	T	Buy (add'l)	11/14/16	J		
19. - Vanguard Dividend Growth Fund Investor shares	A	Dividend	K	T	Sold (part)	05/24/16	J	B	
20.					Sold (part)	10/13/16	J	B	
21. - Powershares S&P ETF 500 Low Volatility	A	Dividend			Buy (add'l)	04/25/16	J		
22.					Sold	10/13/16	K	C	
23. - Vanguard Selected Value Fund	A	Dividend	K	T					
24. - WisdomTree Europe Hedged Equity ETF	A	Dividend			Sold (part)	04/25/16	J		
25.					Sold (part)	06/27/16	J		
26.					Sold	08/23/16	J		
27. - WisdomTree Japan Hedged Equity Fund	A	Dividend			Sold (part)	06/27/16	J		
28.					Sold	08/23/16	J		
29. - Schwab Health Care Fund	A	Dividend	J	T	Sold (part)	10/13/16	J		
30. - Ishares ETF Short Maturity Bond	A	Dividend	J	T					
31. - Glenmede Large Cap Growth Fund	A	Dividend	K	T					
32. - Proshares UltraShort Euro ETF		None			Sold	03/10/16	J	B	
33. - WisdomTree Trust Germany Hedged Equity Fund		None			Sold	04/25/16	J		
34. - Berkshire Hathaway Class B common stock		None	K	T	Buy (add'l)	04/25/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	11/11/16	J		
36.	- Sector SPDR Fund Consumer Discretionary Select ETF	A	Dividend	K	T				
37.	- Powershares DWA Momentum ETF		None		Sold	04/25/16	J		
38.	- Double Line Total Return Bond Fund Class N	A	Dividend		Buy	04/18/16	K		
39.					Sold (part)	10/13/16	J		
40.					Sold	11/14/16	J		
41.	- Select STR Financial Select SPDR ETF	A	Dividend	J	T	Buy	08/30/16	J	
42.	- Real Estate Select Sector SPDR Fund	B	Dividend		Spinoff (from line 41)	09/22/16	J		
43.					Sold	11/11/16	J		
44.	- Powershares QQQ Trust I ETF IV	A	Dividend	K	T	Buy	11/11/16	K	
45.	CHARLES SCHWAB IRA #1 (H)								
46.	- Charles Schwab money market account (Y)								
47.	- Charles Schwab Bank insured deposit account	A	Interest	M	T				
48.	- PPL Corporation common stock	B	Dividend	K	T				
49.	- Financial Select Sector SPDR ETF	A	Dividend	K	T	Buy (add'l)	08/30/16	J	
50.	- iShares TIPS Bond ETF	A	Dividend	L	T	Buy (add'l)	10/13/16	K	
51.	- T Rowe Price Blue Chip Growth Fund	A	Dividend	K	T				

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. - Vanguard Short Term Corporate Bond Index ETF	A	Dividend	K	T					
53. - Vanguard Dividend Growth Fund Investor Shares	A	Dividend	K	T	Sold (part)	10/13/16	J	B	
54. - Powershares S&P ETF 500 Low Volatility	A	Dividend			Sold (part)	10/13/16	K	C	
55.					Sold	11/11/16	K	B	
56. - Vanguard Selected Value Fund	B	Dividend	K	T	Sold (part)	10/13/16	J	A	
57. - SPDR S&P Midcap 400 ETF	A	Dividend			Sold	04/25/16	K	B	
58. - WisdomTree Europe Hedged Equity ETF	A	Dividend			Sold (part)	04/25/16	J		
59.					Sold (part)	06/27/16	J		
60.					Sold	10/13/16	J	A	
61. - WisdomTree Japan Hedged Equity Fund	A	Dividend			Sold (part)	04/25/16	J		
62.					Sold (part)	06/27/16	J		
63.					Sold	10/13/16	J	A	
64. - Schwab Health Care Fund	A	Dividend	K	T	Sold (part)	02/22/16	J		
65. - Ishares ETF Short Maturity Bond: Near	A	Dividend	K	T					
66. - Consumer Discretionary Select Sector SPDR ETF	A	Dividend	K	T	Sold (part)	10/13/16	J	B	
67. - Technology Select Sector SPDR ETF	A	Dividend	J	T					
68. - Glenmede Large Cap Growth Fund	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Conner, Christopher C.

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69. - Powershares QQQ Trust Series 1	A	Dividend	K	T	Buy (add'l)	11/11/16	J		
70. - Guggenheim S&P 500 Equal Weight HC ETF	A	Dividend	J	T					
71. - Purefunds ISE Cyber Security ETF		None			Sold	02/22/16	J		
72. - Talen Energy Corporation common stock		None			Sold	12/06/16	J		
73. - Berkshire Hathaway Class B common stock		None	K	T	Buy (add'l)	04/25/16	K		
74. - Ishares U.S. Medical Devices ETF	A	Dividend	J	T					
75. - Ishares S&P Small-Cap 600 Growth ETF		None			Sold	02/22/16	K		
76. - Ishares U.S. Aerospace Defense ETF	A	Dividend	K	T	Sold (part)	04/25/16	J	A	
77.					Buy (add'l)	11/11/16	J		
78. - Powershares DWA Momentum ETF	A	Dividend			Sold	04/25/16	J		
79. - Ishares US Preferred Stock ETF	A	Dividend	K	T	Buy	03/03/16	K		
80. - Doubleline Total Return Bond Fund Class N	A	Dividend			Buy	04/18/16	K		
81.					Sold (part)	10/13/16	J		
82.					Sold	11/14/16	K		
83. - Schwab US Tips ETF	A	Dividend	K	T	Buy	08/23/16	K		
84. - Real Estate Select Sector SPDR ETF	A	Dividend	J	T	Spinoff (from line 49)	09/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/10/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 49; Name has changed from Sector SPDR Financial Select Shares of Beneficial Interest to Financial Select Sector SPDR ETF

Part VII, Line 50; Name has changed from Ishares TR TIPS Bond ETF to Ishares TIPS Bond ETF

Part VII, Line 67; Name has changed from Sector SPDR Tech Select Shares of Beneficial Interest to Technology Select Sector SPDR ETF

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/10/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Christopher C. Conner

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544