

FINANCIAL DISCLOSURE REPORT
NOMINATION FILING

1. Person Reporting (last name, first, middle initial) Haynes, Catharina D	2. Court or Organization Fifth Circuit	3. Date of Report 07/21/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge Nominee	5a. Report Type (check appropriate type) ☒ Nomination, Date 7/17/2007 ☐ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2006 to 6/30/2007
7. Chambers or Office Address 2001 Ross Ave., Ste 600 Dallas, TX 75201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Vickery Meadow Learning Center
2. Advisory Director	Dallas Women Lawyers Association
3. Currently, I am partner. I would resign if confirmed.	Baker Botts L.L.P.
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2007	Baker & Botts 401k Plan; will rollover if confirmed and required to do so
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2006	State of Texas (Judicial Salary)	\$ 125,000
2. 2006	Dallas County (County Supplement -- judicial)	\$ 8411
3. 2007	Baker Botts L.L.P. (law firm partnership income)	\$ 193,000
4. 2005	State of Texas (Judicial Salary)	\$ 101,573
5. 2005	Dallas County (County Supplement-judicial)	\$ 9182
6. 1/1/07	State of Texas (Judicial Salary) (approx.)	\$ 10,400

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2006	Thompson & Knight law firm partnership income
2. 2007	Thompson & Knight law firm partnership income
3.	
4.	
5.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DESCRIPTION
1. exempt	
2.	
3.	
4.	
5.	

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. exempt		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America	B	Interest	O	T	exempt				
2. Chase Bank	B	Interest	K	T					
3. Janus Money Fund	A	Interest	J	T					
4. T.Rowe Price Prime (TRP) Reserve Fund	B	Interest	N	T					
5. TRP New Income	A	Dividend	J	T					
6. TRP International Stock	C	Dividend	M	T					
7. TRP New America Growth	D	Dividend	M	T					
8. TRP Health Sciences Fund	D	Dividend	M	T					
9. TRP Tax Efficient Multi-cap		None	M	T					
10. TRP Tax Free Income Fund	E	Dividend	O	T					
11. TRP Tax Free Short Intern.	A	Dividend	K	T					
12. British Petroleum (BP)	A	Dividend	J	T					
13. IBM	A	Dividend	K	T					
14. Motorola (MOT)	A	Dividend	J	T					
15. Pfizer (PFE)	A	Dividend	J	T					
16. Franklin Mutual Shares Class Z	E	Dividend	N	T					
17. Janus Fund	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 –\$25,000,001 - \$50,000,000 Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	P4 –More than \$50,000,000 S –Assessment W –Estimated	T –Cash Market	

VII. INVESTMENTS and TRUSTS – Income, value, transactions (includes those of the spouse and dependent children, See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. TRP Equity Income	A	Dividend	K	T					
19. Vanguard REIT Fund	B	Dividend	M	T					
20. Vanguard 500 Index Fund	A	Dividend	M	T					
21. Vanguard TM Small Cap	A	Dividend	M	T					
22. US EE Savings Bonds	C	Dividend	L	T					
23. TRP Retirement 2020 (IRA)	A	Dividend	K	T					
24. TRP Retirement 2030 (IRA)	A	Dividend	J	T					
25. Baker Botts Partnership Equity	A	Interest	K	U					
26. Thompson Knight Partnership Equity		None	L	U					
27. Baker Botts 401K Plan (AIM Liquid, Fidelity Mag. Fid. Growt	D	Dividend	M	T					
28. TX Emp. Ret. Plan (Fid US Bond, Vanguard Inst. Van. Growth)	C	Dividend	M	T					
29. Thompson Knight 401K (Vanguard Well. Van. Inst., Stable Val.	D	Dividend	O	T					
30. State of TX Judic. Retirement Equity (est.)	C	Interest	L	T					
31. Baker Botts Drawing Acct.	A	Interest	L	T					
32. Texas County & District Retirement System	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)***FINANCIAL DISCLOSURE REPORT**

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Catharina Haynes

Date

7-21-07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		985	138	Notes payable to banks-secured			
U.S. Government securities-add schedule		67	548	Notes payable to banks-unsecured			
Listed securities-add schedule	2	468	789	Notes payable to relatives			
Unlisted securities--add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable-add schedule			
Real estate owned-add schedule		337	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		30	000				
Cash value-life insurance							
Other assets itemize:							
401(k) accounts		938	000				
IRA accounts		37	865				
Texas State retirement accounts		152	000	Total liabilities		0	
Partner Capital/Equity accounts		141	200	Net Worth	5	157	540
Total Assets	5	157	540	Total liabilities and net worth	5	157	540
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	NO		
On leases or contracts				Are you defendant in any suits or legal actions?	NO		
Legal Claims				Have you ever taken bankruptcy?	NO		
Provision for Federal Income Tax							
Other special debt							