

Judiciary Branch Personnel

Financial Disclosure Report (AO Form 10)

Filer's Information

Gross, Kevin

Report Year: 2018

Electronic Signature - I certify that all information provided (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief.

I further certify that earned income and honoraria and acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

/s/ Kevin Gross [electronically signed on 07/09/2019 by Kevin Gross in JEPS]

Certifying Official- I conclude that, based on the information contained in this report and to the best of my knowledge, this report is in compliance with the Ethics in Government Act, as amended, and other applicable laws and regulations.

I. Positions

#	ORGANIZATION NAME	ORGANIZATION TYPE	POSITION HELD
1	St Mary Magdalen School	Denominational Elementary School	Member of Board

II. Agreements

None

III A. Filer's Non-Investment Income

None

III B. Spouse's Non-Investment Income

None

IV. Reimbursements

None

V. Gifts

None

VI. Liabilities

None

VII. Investments and Trusts

DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
State of Israel 7th Dev Reg Savings Amended Bond 46513ENN8		\$1,000 or less	Interest	\$1 - \$15,000	Cash Market			
State of Israel 7th Mazel Tov Sav Bond 46513CFX9		\$1,000 or less	Interest	\$1 - \$15,000	Cash Market			
State of Israel 6th Mazel Tov Sav Bond 46513BJ74		\$1,000 or less	Interest	\$1 - \$15,000	Cash Market			
State of Israel Mazel Tov Sav Bond 46513XJU5		\$1,000 or less	Interest	\$1 - \$15,000	Cash Market			
Newberger Berman Brokerage Account #1 (H)								
-Dreyfus Treasury Sec Cash Mgmt Admin money market fund		\$1,000 or less	Dividend	\$1 - \$15,000	Cash Market			
-Occidental Pete Corp common stock		\$5,001 - \$15,000	Dividend, Gain	\$15,000 or less	Cash Market			
-Occidental Pete Corp common stock	See Note					Sold	06/27/2018	\$15,001 - \$50,000
-California Resources Corporation common stock		None	None	\$15,000 or less	Cash Market			
IRA #2 (H)								
-Neuberger Berman Guardian Fd Instl Class mutual fund	See Note	\$2,501 - \$5,000	Dividend	\$15,001 - \$50,000	Cash Market			
-Neuberger Berman Guardian Fd Instl Class mutual fund						Purchased	12/17/2018	\$15,000 or less
MetLife common stock		\$1,000 or less	Dividend	\$1 - \$15,000	Cash Market			

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
MetLife common stock	\$1,000 or less	Dividend	\$1 - \$15,000	Cash Market			
1/3 interest in Trisis-oil & gas royalty interest & land in							
Scurry, Tyler & Wood Counties, TX	\$5,001 - \$15,000	Royalty	\$500,001 - \$1,000,000	Estimated			
IRA Rollover Acct #1 (H)							
-Dreyfus Treasury Sec Cash Mgmt Admin money market fund	\$2,501 - \$5,000	Interest	\$500,001 - \$1,000,000	Cash Market			
-Neuberger Berman Genesis FD Institutional Class mutual fund	\$5,001 - \$15,000	Dividend	\$100,001 - \$250,000	Cash Market			
-Alphabet Inc common stock	None	None	\$100,001 - \$250,000	Cash Market			
-Honeywell Intl Inc common stock	\$1,001 - \$2,500	Dividend	\$50,001 - \$100,000	Cash Market			
-Cisco Systems Inc common stock	\$15,001 - \$50,000	Dividend, Gain	None	Cash Market			
-Cisco Systems Inc common stock					Sold	04/04/2018	\$50,001 - \$100,000
-Neuberger & Berman Equity FDS Equity Income FD Instl mutual fund	\$5,001 - \$15,000	Dividend	\$100,001 - \$250,000	Cash Market			
-Exxon Mobil Corp common stock	\$2,501 - \$5,000	Dividend	\$15,001 - \$50,000	Cash Market			
-Lennar Corp Cl A common stock	\$5,001 - \$15,000	Dividend, Gain	None	Cash Market			
-Lennar Corp Cl A common stock					Purchased	10/15/2018	\$15,001 - \$50,000
-Lennar Corp Cl A common stock					Sold	11/14/2018	\$50,001 - \$100,000
-Kimberly Clark Corp common stock	\$1,000 or less	Dividend, Gain	None	Cash Market			

DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
-Kimberly Clark Corp common stock						Sold	04/20/2018	\$15,001 - \$50,000
-Nvida Corp common stock		\$15,001 - \$50,000	Dividend, Gain	\$15,001 - \$50,000	Cash Market			
-Nvida Corp common stock	See Note					Sold	12/13/2018	\$15,001 - \$50,000
-Pioneer Natural Resources Company common stock		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
-Proctor & Gamble Co common stock		\$1,000 or less	Dividend	None	Cash Market			
-Proctor & Gamble Co common stock						Sold	06/04/2018	\$50,001 - \$100,000
-Textron Inc common stock		\$15,001 - \$50,000	Dividend, Gain	None	Cash Market			
-Textron Inc common stock	See Note					Sold	04/25/2018	\$50,001 - \$100,000
-Textron Inc common stock						Sold	12/27/2018	\$15,001 - \$50,000
-Schlumberger Ltd common stock		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
-Schlumberger Ltd common stock						Purchased	09/25/2018	\$15,001 - \$50,000
-Eli Lilly & Co common stock		\$5,001 - \$15,000	Gain	None	Cash Market			
-Eli Lilly & Co common stock						Sold	01/23/2018	\$50,001 - \$100,000
-Berkshire Hathaway Inc Del Cl B common stock		None	None	\$50,001 - \$100,000	Cash Market			
-Apple Inc common stock		\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
-EOG Res Inc common stock		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
-Raytheon Co common stock	\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
-Mondelez International Inc common stock	\$1,001 - \$2,500	Dividend	\$50,001 - \$100,000	Cash Market			
-International Paper Co common stock	\$5,001 - \$15,000	Dividend, Gain	None	Cash Market			
-International Paper Co common stock					Sold	10/12/2018	\$15,001 - \$50,000
-Fedex Corp common stock	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
-Industrial DiSeno Textile SA Euro 03 common stock	\$1,000 or less	Dividend	None	Cash Market			
-Industrial DiSeno Textile SA Euro 03 common stock					Sold	05/15/2018	\$15,001 - \$50,000
-Automatic Data Processing Inc common stock	\$1,001 - \$2,500	Dividend	\$50,001 - \$100,000	Cash Market			
-American Tower Corp Isin common stock	\$1,001 - \$2,500	Dividend	\$50,001 - \$100,000	Cash Market			
-American Tower Corp Isin common stock					Purchased	04/25/2018	\$15,001 - \$50,000
-Neuberger Berman Guardian FD Instl CI	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
-Carnival Corp Paired CTF common stock	\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
-General Dynamics Crp common stock	\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
-Allergan PLC common stock	\$1,000 or less	Dividend	None	Cash Market			
-Allergan PLC common stock					Sold	04/09/2018	\$15,001 - \$50,000
-Union Pacific Corp common stock	\$15,001 - \$50,000	Dividend, Gain	\$15,001 - \$50,000	Cash Market			

DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
-Union Pacific Corp common stock	See Note					Sold	09/25/2018	\$15,001 - \$50,000
-Boston Beer Company Inc Cl A common stock		\$15,001 - \$50,000	Gain	None	Cash Market			
-Boston Beer Company Inc Cl A common stock	See Note					Sold	07/31/2018	\$15,001 - \$50,000
-Boston Beer Company Inc Cl A common stock						Sold	12/27/2018	\$15,001 - \$50,000
-Hyatt Hotels Corp common stock		\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
-Versum Matls Inc common stock		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
-Qualcomm Inc common stock		\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
-Rio Tinto PLC Sponsored ADR		\$1,001 - \$2,500	Dividend	\$50,001 - \$100,000	Cash Market			
-Rio Tinto PLC Sponsored ADR						Purchased	08/09/2018	\$15,001 - \$50,000
-Cabot Oil & Gas Corp common stock		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
-AON PLC Shs Cl A common stock		\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
-Alaska Air Group Inc common stock		\$1,000 or less	Dividend					
-Alaska Air Group Inc common stock	See Note					Sold	11/06/2017	\$15,000 or less
-MGM Resorts International common stock		\$1,000 or less	Dividend	None	Cash Market			
-MGM Resorts International common stock						Sold	12/27/2018	\$15,001 - \$50,000
-Franco Nevada Corporation common stock		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
-Oracle Corp common stock	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
-Interxion Holding Com Euro common stock	None	None	\$15,001 - \$50,000	Cash Market			
-Aptiv PLC Com New common stock	\$1,000 or less	Dividend	None	Cash Market			
-Aptiv PLC Com New common stock					Sold	12/10/2018	\$15,001 - \$50,000
-Delphi Technologies PLC Com common stock	None	None	None	Cash Market			
-Western Digital Corp common stock	\$1,000 or less	Dividend	None	Cash Market			
-Western Digital Corp common stock					Sold	10/15/2018	\$15,001 - \$50,000
IRA #3-Wells Fargo Bank N.A.	\$1,000 or less	Interest	\$1 - \$15,000	Cash Market			
IRA #4-Wells Fargo Bank N.A.	\$1,000 or less	Interest	\$1 - \$15,000	Cash Market			
Diebold Inc common stock	\$1,000 or less	Dividend	None	Cash Market			
Diebold Inc common stock					Purchased	01/08/2018	\$15,001 - \$50,000
Diebold Inc common stock					Sold	06/01/2018	\$15,001 - \$50,000
Wal-mart Inc common stock	\$1,000 or less	Dividend	\$50,001 - \$100,000	Cash Market			
Wal-mart Inc common stock					Purchased	02/20/2018	\$50,001 - \$100,000
Delphi Technologies PLC common stock	\$15,001 - \$50,000	Gain	None	Cash Market			
Delphi Technologies PLC common stock					Purchased	02/27/2018	\$15,001 - \$50,000
Delphi Technologies PLC common stock					Sold	11/16/2018	\$15,001 - \$50,000

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Waters Corp common stock	None	None	\$15,001 - \$50,000	Cash Market			
Waters Corp common stock					Purchased	04/04/2018	\$15,001 - \$50,000
Boston Scientific Corp common stock	None	None	\$50,001 - \$100,000	Cash Market			
Boston Scientific Corp common stock					Purchased	04/06/2018	\$15,001 - \$50,000
Visa Inc Cl A common stock	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
Visa Inc Cl A common stock					Purchased	04/09/2018	\$15,001 - \$50,000
Gilead Sciences Inc common stock	\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
Gilead Sciences Inc common stock					Purchased	04/12/2018	\$15,001 - \$50,000
Zayo Group Holdings Inc common stock	None	None	None	Cash Market			
Zayo Group Holdings Inc common stock					Purchased	04/16/2018	\$50,001 - \$100,000
Zayo Group Holdings Inc common stock	See Note				Sold	11/19/2018	\$15,001 - \$50,000
Zayo Group Holdings Inc common stock					Sold	12/07/2018	\$15,001 - \$50,000
Garrett Motion Inc common stock	\$1,000 or less	Gain	None	Cash Market			
Garrett Motion Inc common stock	See Note				Purchased	10/01/2018	\$15,000 or less
Garrett Motion Inc common stock					Sold	10/04/2018	\$15,000 or less
Residio Technologies Inc common stock	\$1,001 - \$2,500	Gain	None	Cash Market			

DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Residio Technologies Inc common stock	See Note					Purchased	10/29/2018	\$15,000 or less
Residio Technologies Inc common stock						Sold	11/27/2018	\$15,001 - \$50,000
State of Israel Bond 46513YFE3 MAZ PKU 2023-06-01		\$1,000 or less	Interest	\$15,000 or less	Cash Market			
State of Israel Bond 46513YFE3 MAZ PKU 2023-06-01						Purchased	06/01/2018	\$15,000 or less
Manufacturers & Traders Trust Company (M&T)	See Note							
Checking Account		None	None	\$15,000 or less	Cash Market			
Money Market Account		\$1,000 or less	Interest	\$15,001 - \$50,000	Cash Market			

Additional Information or Explanation

PART	#	NOTE
VII.	5.2.1	partial sale
VII.	6.1	This investment was erroneously identified as Guardian Fund Investor Class mutual fund on the prior year's report. The description has been corrected on the current year's report.
VII.	8.10.1	partial sale
VII.	8.13.1	partial sale
VII.	8.30.1	partial sale
VII.	8.31.1	partial sale

PART	#	NOTE
VII.	8.38.1	This should have been deleted from the 2018 report as it was sold 11/06/2017 as reported on line 78, page 8 of 11 of the 2017 report. The entry was inadvertently left on the 2018 report.
VII.	8.55.2	partial sale
VII.	8.56.1	received as part of spinoff from from Honeywell International Inc
VII.	8.57.1	received as part of spinoff from Honeywell International Inc
VII.	10	This account was listed as M&T Bank checking account in the prior year's report. The money market account was inadvertently omitted from the prior year's account. This report lists both accounts under the heading of the bank's formal name.