

Judiciary Branch Personnel Financial Disclosure Report (AO Form 10)

Filer's Information

Steele, William H

Report Year: 2018

Electronic Signature - I certify that all information provided (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief.

I further certify that earned income and honoraria and acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

/s/ William H Steele [electronically signed on 07/31/2019 by William H Steele in JEPS]

Certifying Official- I conclude that, based on the information contained in this report and to the best of my knowledge, this report is in compliance with the Ethics in Government Act, as amended, and other applicable laws and regulations.

General Comments:

COMMENT

Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead only to unit value, per page 42 of the filing instructions.

I. Positions

None

II. Agreements

None

III A. Filer's Non-Investment Income

#	SOURCE	INCOME TYPE	INCOME AMOUNT
1	Self-employed (musician)	Fees	\$1,275
2	First Church of Christ Scientist (reader)	Fees	\$1,090

III B. Spouse's Non-Investment Income

#	SOURCE	DESCRIPTION
1	Retirement Systems of Alabama	
2	Nationwide Retirement Solutions	deferred pay & retirement annuity

IV. Reimbursements

None

V. Gifts

None

VI. Liabilities

#	CREDITOR	TYPE	VALUE
1	Wells Fargo Advisors	Line of Credit	\$50,001 - \$100,000

VII. Investments and Trusts

DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Regions Bank Account (cash)		\$1,000 or less	Interest	\$15,000 or less	Cash Market			
American Express High Yield Savings (cash)		\$1,000 or less	Interest	\$50,001 - \$100,000	Cash Market			
Nationwide Retirement Solutions (457b)	See Note	\$15,001 - \$50,000	Distribution	\$100,001 - \$250,000	Cash Market			
Brokerage #1								
Abbott Laboratories (ABT)		None	None	\$15,000 or less	Cash Market			
Abbott Laboratories (ABT)						Purchased	12/31/2018	\$15,000 or less
Accenture PLC (ACN)		None	None	\$15,000 or less	Cash Market			
Accenture PLC (ACN)						Purchased	12/31/2018	\$15,000 or less
AFLAC Inc (AFL)		None	None	\$15,000 or less	Cash Market			
AFLAC Inc (AFL)						Purchased	12/31/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Air Products & Chemicals Inc (APD)	None	None	\$15,000 or less	Cash Market			
Air Products & Chemicals Inc (APD)					Purchased	12/31/2018	\$15,000 or less
Amgen Inc (AMGN)	None	None	\$15,000 or less	Cash Market			
Amgen Inc (AMGN)					Purchased	12/31/2018	\$15,000 or less
Analog Devices, Inc. (ADI)	None	None	\$15,000 or less	Cash Market			
Analog Devices, Inc. (ADI)					Purchased	12/31/2018	\$15,000 or less
AT&T (T)	None	None	\$15,000 or less	Cash Market			
AT&T (T)					Purchased	12/31/2018	\$15,000 or less
Automatic Data Processing (ADP)	None	None	\$15,000 or less	Cash Market			
Automatic Data Processing (ADP)					Purchased	12/31/2018	\$15,000 or less
Becton Dickinson & Co (BDX)	None	None	\$15,000 or less	Cash Market			
Becton Dickinson & Co (BDX)					Purchased	12/31/2018	\$15,000 or less
BlackRock Inc (BLK)	None	None	\$15,000 or less	Cash Market			
BlackRock Inc (BLK)					Purchased	12/31/2018	\$15,000 or less
Chevron Corp (CVX)	None	None	\$15,000 or less	Cash Market			
Chevron Corp (CVX)					Purchased	12/31/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Chubb LTD (CB)	None	None	\$15,000 or less	Cash Market			
Chubb LTD (CB)					Purchased	12/31/2018	\$15,000 or less
Cisco Systems Inc (CSCO)	None	None	\$15,000 or less	Cash Market			
Cisco Systems Inc (CSCO)					Purchased	12/31/2018	\$15,000 or less
Clorox Co (CLX)	None	None	\$15,000 or less	Cash Market			
Clorox Co (CLX)					Purchased	12/31/2018	\$15,000 or less
Colgate-Palmolive Co (CL)	None	None	\$15,000 or less	Cash Market			
Colgate-Palmolive Co (CL)					Purchased	12/31/2018	\$15,000 or less
Eaton Vance Corp (EV)	None	None	\$15,000 or less	Cash Market			
Eaton Vance Corp (EV)					Purchased	12/31/2018	\$15,000 or less
Emerson Electric Co (EMR)	None	None	\$15,000 or less	Cash Market			
Emerson Electric Co (EMR)					Purchased	12/31/2018	\$15,000 or less
Eversource Energy (ES)	None	None	\$15,000 or less	Cash Market			
Eversource Energy (ES)					Purchased	12/31/2018	\$15,000 or less
Exxon Mobil (XOM)	None	None	\$15,000 or less	Cash Market			
Exxon Mobil (XOM)					Purchased	12/31/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Factset Research Systems (FDS)	None	None	\$15,000 or less	Cash Market			
Factset Research Systems (FDS)					Purchased	12/31/2018	\$15,000 or less
General Mills Inc (GIS)	None	None	\$15,000 or less	Cash Market			
General Mills Inc (GIS)					Purchased	12/31/2018	\$15,000 or less
General Dynamics (GD)	None	None	\$15,000 or less	Cash Market			
General Dynamics (GD)					Purchased	12/31/2018	\$15,000 or less
Harris Corp (HRS)	None	None	\$15,000 or less	Cash Market			
Harris Corp (HRS)					Purchased	12/31/2018	\$15,000 or less
Illinois Tool Works Inc (ITW)	None	None	\$15,000 or less	Cash Market			
Illinois Tool Works Inc (ITW)					Purchased	12/31/2018	\$15,000 or less
International Business Machines Corp (IBM)	None	None	\$15,000 or less	Cash Market			
International Business Machines Corp (IBM)					Purchased	12/31/2018	\$15,000 or less
Johnson & Johnson (JNJ)	None	None	\$15,000 or less	Cash Market			
Johnson & Johnson (JNJ)					Purchased	12/31/2018	\$15,000 or less
JP Morgan Chase & Co (JPM)	None	None	\$15,000 or less	Cash Market			
JP Morgan Chase & Co (JPM)					Purchased	12/31/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Linde PLC (LIN)	None	None	\$15,000 or less	Cash Market			
Linde PLC (LIN)					Purchased	12/31/2018	\$15,000 or less
Lowe's Companies (LOW)	None	None	\$15,000 or less	Cash Market			
Lowe's Companies (LOW)					Purchased	12/31/2018	\$15,000 or less
McDonald's Corp (MCD)	None	None	\$15,000 or less	Cash Market			
McDonald's Corp (MCD)					Purchased	12/31/2018	\$15,000 or less
Medtronic Inc (MDT)	None	None	\$15,000 or less	Cash Market			
Medtronic Inc (MDT)					Purchased	12/31/2018	\$15,000 or less
Microsoft Corp (MSFT)	None	None	\$15,000 or less	Cash Market			
Microsoft Corp (MSFT)					Purchased	12/31/2018	\$15,000 or less
NextEra Energy Inc (NEE)	None	None	\$15,000 or less	Cash Market			
NextEra Energy Inc (NEE)					Purchased	12/31/2018	\$15,000 or less
Nike Inc (NKE)	None	None	\$15,000 or less	Cash Market			
Nike Inc (NKE)					Purchased	12/31/2018	\$15,000 or less
Norfolk Southern (NSC)	None	None	\$15,000 or less	Cash Market			
Norfolk Southern (NSC)					Purchased	12/31/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Novartis AG (NVS)	None	None	\$15,000 or less	Cash Market			
Novartis AG (NVS)					Purchased	12/31/2018	\$15,000 or less
Paychex Inc (PAYX)	None	None	\$15,000 or less	Cash Market			
Paychex Inc (PAYX)					Purchased	12/31/2018	\$15,000 or less
PepsiCo Inc (PEP)	None	None	\$15,000 or less	Cash Market			
PepsiCo Inc (PEP)					Purchased	12/31/2018	\$15,000 or less
Phillips 66 (PSX)	None	None	\$15,000 or less	Cash Market			
Phillips 66 (PSX)					Purchased	12/31/2018	\$15,000 or less
Polaris Industries (PII)	None	None	\$15,000 or less	Cash Market			
Polaris Industries (PII)					Purchased	12/31/2018	\$15,000 or less
Procter & Gamble (PG)	None	None	\$15,000 or less	Cash Market			
Procter & Gamble (PG)					Purchased	12/31/2018	\$15,000 or less
QUALCOMM Inc (QCOM)	None	None	\$15,000 or less	Cash Market			
QUALCOMM Inc (QCOM)					Purchased	12/31/2018	\$15,000 or less
Sysco Corp (SYY)	None	None	\$15,000 or less	Cash Market			
Sysco Corp (SYY)					Purchased	12/31/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Target Corp (TGT)	None	None	\$15,000 or less	Cash Market			
Target Corp (TGT)					Purchased	12/31/2018	\$15,000 or less
United Technologies Corp (UTX)	None	None	\$15,000 or less	Cash Market			
United Technologies Corp (UTX)					Purchased	12/31/2018	\$15,000 or less
US Bancorp (USB)	None	None	\$15,000 or less	Cash Market			
US Bancorp (USB)					Purchased	12/31/2018	\$15,000 or less
VF Corp (VFC)	None	None	\$15,000 or less	Cash Market			
VF Corp (VFC)					Purchased	12/31/2018	\$15,000 or less
Walmart Inc (WMT)	None	None	\$15,000 or less	Cash Market			
Walmart Inc (WMT)					Purchased	12/31/2018	\$15,000 or less
WEC Energy Group (WEC)	None	None	\$15,000 or less	Cash Market			
WEC Energy Group (WEC)					Purchased	12/31/2018	\$15,000 or less
3M Co (MMM)	None	None	\$15,000 or less	Cash Market			
3M Co (MMM)					Purchased	12/31/2018	\$15,000 or less
Energy Select ETF Sector SPDR (XLE)	\$1,000 or less	Dividend	None	Cash Market			
Energy Select ETF Sector SPDR (XLE)					Purchased	05/17/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Energy Select ETF Sector SPDR (XLE)					Sold	12/19/2018	\$15,000 or less
Industrial Select Sector SPDR (XLI)	\$1,000 or less	Dividend	None	Cash Market			
Industrial Select Sector SPDR (XLI)					Purchased	01/03/2018	\$15,000 or less
Industrial Select Sector SPDR (XLI)					Purchased	11/05/2018	\$15,000 or less
Industrial Select Sector SPDR (XLI)					Sold	12/28/2018	\$15,000 or less
iShares ETF Floating Rate Bond (FLOT)	\$1,000 or less	Dividend	None	Cash Market			
iShares ETF Floating Rate Bond (FLOT)					Purchased	01/03/2018	\$15,000 or less
iShares ETF Floating Rate Bond (FLOT)					Sold	01/26/2018	\$15,000 or less
iShares ETF Floating Rate Bond (FLOT)					Purchased	03/22/2018	\$15,000 or less
iShares ETF Floating Rate Bond (FLOT)					Purchased	05/17/2018	\$15,000 or less
iShares ETF Floating Rate Bond (FLOT)					Sold	10/25/2018	\$15,000 or less
iShares 1-3 Year Treasury Bond (SHY)	None	None	None	Cash Market			
iShares 1-3 Year Treasury Bond (SHY)					Purchased	01/03/2018	\$15,000 or less
iShares 1-3 Year Treasury Bond (SHY)					Sold	01/26/2018	\$15,000 or less
iShares ETF 10+ Yr Credit Bond (CLY)	\$1,000 or less	Dividend	None	Cash Market			
iShares ETF 10+ Yr Credit Bond (CLY)					Sold	06/25/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
iShares ETF U.S. Preferred Stock (PFF)	\$1,000 or less	Dividend	None	Cash Market			
iShares ETF U.S. Preferred Stock (PFF)					Sold	12/28/2018	\$15,000 or less
iShares 3-7 Year Treasury Bond (IEI)	\$1,000 or less	Dividend	None	Cash Market			
iShares 3-7 Year Treasury Bond (IEI)					Purchased	10/25/2018	\$15,000 or less
iShares 3-7 Year Treasury Bond (IEI)					Sold	12/28/2018	\$15,000 or less
iShares ETF 7-10 Year Treasury Bond (IEF)	\$1,000 or less	Dividend	None	Cash Market			
iShares ETF 7-10 Year Treasury Bond (IEF)					Sold	01/03/2018	\$15,000 or less
iShares ETF 7-10 Year Treasury Bond (IEF)					Purchased	01/26/2018	\$15,000 or less
iShares ETF 7-10 Year Treasury Bond (IEF)					Sold	03/22/2018	\$15,000 or less
iShares ETF Intermediate Credit Bond (CIU)	\$1,000 or less	Dividend	None	Cash Market			
iShares ETF Intermediate Credit Bond (CIU)					Sold	01/03/2018	\$15,000 or less
iShares ETF Intermediate Credit Bond (CIU)					Sold	06/25/2018	\$15,000 or less
iShares 20+ Year ETF Treasury Bond (TLT)	\$1,000 or less	Dividend	None	Cash Market			
iShares 20+ Year ETF Treasury Bond (TLT)					Purchased	06/25/2018	\$15,000 or less
iShares 20+ Year ETF Treasury Bond (TLT)					Sold	10/25/2018	\$15,000 or less
iShares 20+ Year ETF Treasury Bond (TLT)					Sold	12/28/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
iShares Core MSCI Emerging Markets ETF (IEMG)	\$1,000 or less	Dividend	None	Cash Market			
iShares Core MSCI Emerging Markets ETF (IEMG)					Purchased	01/03/2018	\$15,000 or less
iShares Core MSCI Emerging Markets ETF (IEMG)					Purchased	02/16/2018	\$15,000 or less
iShares Core MSCI Emerging Markets ETF (IEMG)					Sold	06/25/2018	\$15,000 or less
iShares Core MSCI Emerging Markets ETF (IEMG)					Purchased	07/27/2018	\$15,000 or less
iShares Core MSCI Emerging Markets ETF (IEMG)					Sold	12/28/2018	\$15,001 - \$50,000
iShares Core MSCI EAFE ETF (IEFA)	\$1,000 or less	Dividend	None	Cash Market			
iShares Core MSCI EAFE ETF (IEFA)					Sold	02/16/2018	\$15,000 or less
iShares Core MSCI EAFE ETF (IEFA)					Sold	03/22/2018	\$15,000 or less
iShares Core MSCI EAFE ETF (IEFA)					Purchased	07/27/2018	\$15,000 or less
iShares Core MSCI EAFE ETF (IEFA)					Purchased	09/24/2018	\$15,000 or less
iShares Core MSCI EAFE ETF (IEFA)					Sold	12/28/2018	\$15,001 - \$50,000
iShares Core S&P Midcap ETF (IJH)	\$1,000 or less	Dividend	None	Cash Market			
iShares Core S&P Midcap ETF (IJH)					Purchased	03/22/2018	\$15,000 or less
iShares Core S&P Midcap ETF (IJH)					Purchased	05/17/2018	\$15,000 or less
iShares Core S&P Midcap ETF (IJH)					Purchased	06/25/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
iShares Core S&P Midcap ETF (IJH)					Sold	09/24/2018	\$15,001 - \$50,000
iShares Core S&P 500 S&P 500 Index Fund (IVV)	\$1,000 or less	Dividend	None	Cash Market			
iShares Core S&P 500 S&P 500 Index Fund (IVV)					Sold	06/25/2018	\$15,000 or less
iShares Core S&P 500 S&P 500 Index Fund (IVV)					Sold	07/27/2018	\$15,000 or less
iShares Core S&P 500 S&P 500 Index Fund (IVV)					Sold	11/05/2018	\$15,000 or less
iShares Core S&P ETF Smallcap (IJR)	None	None	None	Cash Market			
iShares Core S&P ETF Smallcap (IJR)					Sold	01/03/2018	\$15,000 or less
iShares Edge MSCI USA Value Fact (VLUE)	None	Dividend	None	Cash Market			
iShares Edge MSCI USA Value Fact (VLUE)					Purchased	12/19/2018	\$15,000 or less
iShares Edge MSCI USA Value Fact (VLUE)					Sold	12/28/2018	\$15,000 or less
iShares Inc ETF MSCI Japan ETF New (EWJ)	\$1,000 or less	Dividend	None	Cash Market			
iShares Inc ETF MSCI Japan ETF New (EWJ)					Purchased	02/16/2018	\$15,000 or less
iShares Inc ETF MSCI Japan ETF New (EWJ)					Sold	03/22/2018	\$15,000 or less
iShares Inc ETF MSCI Japan ETF New (EWJ)					Sold	07/27/2018	\$15,000 or less
iShares JP Morgan USD Emerging Markets Bond (EMB)	\$1,000 or less	Dividend	None	Cash Market			
iShares JP Morgan USD Emerging Markets Bond (EMB)					Purchased	01/26/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
iShares JP Morgan USD Emerging Markets Bond (EMB)					Sold	05/17/2018	\$15,000 or less
iShares MBS ETF (MBB)	\$1,000 or less	Dividend	None	Cash Market			
iShares MBS ETF (MBB)					Sold	01/03/2018	\$15,000 or less
iShares MBS ETF (MBB)					Purchased	06/25/2018	\$15,000 or less
iShares MBS ETF (MBB)					Sold	12/19/2018	\$15,000 or less
iShares MBS ETF (MBB)					Sold	12/28/2018	\$15,000 or less
iShares TIPS Bond (TIP)	\$1,000 or less	Dividend	None	Cash Market			
iShares TIPS Bond (TIP)					Purchased	01/03/2018	\$15,000 or less
iShares TIPS Bond (TIP)					Sold	09/24/2018	\$15,000 or less
iShares TR Long Term Corp Bond Fund (IGLB)	\$1,000 or less	Dividend	None	Cash Market			
iShares TR Long Term Corp Bond Fund (IGLB)					Purchased	09/24/2018	\$15,000 or less
iShares TR Long Term Corp Bond Fund (IGLB)					Sold	12/28/2018	\$15,000 or less
iShares TR Short Term Corp Bond Fund (IGSB)	\$1,000 or less	Dividend	None	Cash Market			
iShares TR Short Term Corp Bond Fund (IGSB)					Purchased	08/08/2018	\$15,000 or less
iShares TR Short Term Corp Bond Fund (IGSB)					Sold	12/28/2018	\$15,000 or less
PIMCO 0-5 Year High Yield Corp Bond Fund (HYS)	\$1,000 or less	Dividend	None	Cash Market			
PIMCO 0-5 Year High Yield Corp Bond Fund (HYS)					Purchased	09/24/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
PIMCO 0-5 Year High Yield Corp Bond Fund (HYS)					Sold	12/28/2018	\$15,000 or less
SPDR S&P Dividend ETF (SDY)	\$1,000 or less	Dividend	None	Cash Market			
SPDR S&P Dividend ETF (SDY)					Purchased	12/19/2018	\$15,001 - \$50,000
SPDR S&P Dividend ETF (SDY)					Sold	12/28/2018	\$15,001 - \$50,000
Select SPDR TR ETF Technology Select Sector (XLK)	\$1,000 or less	Dividend	None	Cash Market			
Select SPDR TR ETF Technology Select Sector (XLK)					Purchased	03/22/2018	\$15,000 or less
Select SPDR TR ETF Technology Select Sector (XLK)					Purchased	07/27/2018	\$15,000 or less
Select SPDR TR ETF Technology Select Sector (XLK)					Purchased	11/05/2018	\$15,000 or less
Select SPDR TR ETF Technology Select Sector (XLK)					Sold	12/19/2018	\$15,000 or less
Select SPDR TR ETF Technology Select Sector (XLK)					Sold	12/28/2018	\$15,001 - \$50,000
Select Sector SPDR ETF TR Financial (XLF)	\$1,000 or less	Dividend	None	Cash Market			
Select Sector SPDR ETF TR Financial (XLF)					Sold	01/03/2018	\$15,000 or less
Select Sector SPDR ETF TR Financial (XLF)					Purchased	07/27/2018	\$15,000 or less
Select Sector SPDR ETF TR Financial (XLF)					Sold	12/28/2018	\$15,000 or less
Select Sector SPDR Fd Cons Disc (XLY)	\$1,000 or less	Dividend	None	Cash Market			
Select Sector SPDR Fd Cons Disc (XLY)					Purchased	01/26/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Select Sector SPDR Fd Cons Disc (XLY)					Purchased	03/22/2018	\$15,000 or less
Select Sector SPDR Fd Cons Disc (XLY)					Sold	12/19/2018	\$15,000 or less
Select Sector SPDR Comm Svcs (XLC)	None	None	None	Cash Market			
Select Sector SPDR Comm Svcs (XLC)					Purchased	09/24/2018	\$15,000 or less
Select Sector SPDR Comm Svcs (XLC)					Sold	12/19/2018	\$15,000 or less
SPDR Blackstone/GSO Senior Loan ETF (SRLN)	\$1,000 or less	Dividend	None	Cash Market			
SPDR Blackstone/GSO Senior Loan ETF (SRLN)					Sold	10/25/2018	\$15,000 or less
Vaneck Vectors JP Morgan Emerging Markets Local Currency Bond ETF (EMLC)	\$1,000 or less	Dividend	None	Cash Market			
Vaneck Vectors JP Morgan Emerging Markets Local Currency Bond ETF (EMLC)					Purchased	01/03/2018	\$15,000 or less
Vaneck Vectors JP Morgan Emerging Markets Local Currency Bond ETF (EMLC)					Sold	01/26/2018	\$15,000 or less
Vaneck Vectors JP Morgan Emerging Markets Local Currency Bond ETF (EMLC)					Purchased	07/27/2018	\$15,000 or less
Vaneck Vectors JP Morgan Emerging Markets Local Currency Bond ETF (EMLC)					Sold	12/28/2018	\$15,000 or less
Vanguard FTSE ETF Pacific (VPL)	None	None	None	Cash Market			
Vanguard FTSE ETF Pacific (VPL)					Sold	02/16/2018	\$15,000 or less
Vanguard Growth ETF (VUG)	None	None	None	Cash Market			

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Vanguard Growth ETF (VUG)					Sold	01/26/2018	\$15,000 or less
Vanguard Intl Equity ETF Index Fds FTSE Emerging Markets ETF (VWO)	\$1,000 or less	Dividend	None	Cash Market			
Vanguard Intl Equity ETF Index Fds FTSE Emerging Markets ETF (VWO)					Sold	05/17/2018	\$15,000 or less
Vanguard Value ETF (VTV)	\$1,000 or less	Dividend	None	Cash Market			
Vanguard Value ETF (VTV)					Purchased	01/26/2018	\$15,000 or less
Vanguard Value ETF (VTV)					Sold	07/27/2018	\$15,000 or less
iShares ETF 1-3 Year Credit Bond (CSJ)	\$1,000 or less	Dividend	None	Cash Market			
iShares ETF 1-3 Year Credit Bond (CSJ)					Purchased	01/03/2018	\$15,000 or less
iShares ETF 1-3 Year Credit Bond (CSJ)					Sold	07/27/2018	\$15,000 or less
IRA #1							
Putnam International Growth Class A (PINOX)	None	None	\$15,000 or less	Cash Market			
AIG SunAmerica Focused Alpha Large Cap (SFLAX)	\$1,001 - \$2,500	Dividend	\$15,000 or less	Cash Market			
IRA #2							
AB Cap Fd Inc Global REI (formerly All Bern Real Est Inv Cl A) (AREAX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
Alliance Bernstein Large-Cap Growth Class A (APGAX)	\$2,501 - \$5,000	Dividend	\$15,001 - \$50,000	Cash Market			
Alliance Bernstein Sustainable Global Thematic Fund (ALTFX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
John Hancock Regional Bank (FRBAX)		\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
Prudential Jennison Blend Fund Class A (PBQAX)		\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
Brokerage #2								
Alliance Large Capital Growth (APGAX)		\$1,001 - \$2,500	Dividend	\$50,001 - \$100,000	Cash Market			
Alliance Large Capital Growth (APGAX)						Purchased	12/27/2018	\$15,001 - \$50,000
Fidelity New Markets Income Fd CL A (FGVMX)	See Note	\$1,000 or less	Dividend	\$15,001 - \$50,000	Cash Market			
Fundamental Investors Inc. (American Funds) (ANCFX)		\$5,001 - \$15,000	Dividend	\$100,001 - \$250,000	Cash Market			
Growth Fund of America (American Funds) (AGTHX)		\$5,001 - \$15,000	Dividend	\$100,001 - \$250,000	Cash Market			
Franklin Mutual Shares (TESIX)		\$2,501 - \$5,000	Dividend	\$15,001 - \$50,000	Cash Market			
New Economy Fund (American Funds) (ANEFX)		\$2,501 - \$5,000	Dividend	\$15,001 - \$50,000	Cash Market			
Putnam Invt Fds Multi-Cap Value Fund (PMVAX)		\$15,001 - \$50,000	Dividend	\$50,001 - \$100,000	Cash Market			
Washington Mutual (American Funds) (AWSHX)		\$5,001 - \$15,000	Dividend	\$50,001 - \$100,000	Cash Market			
Washington Mutual (American Funds) (AWSHX)						Purchased	12/27/2018	\$15,000 or less
Campbell Strategic Allocation Fund		None	None	None	Cash Market			
Campbell Strategic Allocation Fund						Sold	09/14/2018	\$100,001 - \$250,000
Nationwide Destination B Variable Annuity								

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
FIDVIP Free 2020 SVC 2 (FFRTT)	None	None	\$50,001 - \$100,000	Cash Market			
NW AMFDS NVIT ASSTALLOC II	None	None	\$100,001 - \$250,000	Cash Market			
NW NVIT INV DEST CAPAPP II	None	None	\$100,001 - \$250,000	Cash Market			
IRA #3							
Standard Bank Deposit (cash)	\$1,000 or less	Interest	\$100,001 - \$250,000	Cash Market			
Deutsche Secs Tr Enhanced Comm Strat I (SKIRX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
Deutsche Secs Tr Enhanced Comm Strat I (SKIRX)					Purchased	02/23/2018	\$15,000 or less
Deutsche Secs Tr Global Real Estate Secs Fd I (RRGIX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
Deutsche Secs Tr Global Real Estate Secs Fd I (RRGIX)					Purchased	02/23/2018	\$15,000 or less
Euro Pacific Growth (American Funds) (AEPGX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
AMG Timessquare Mid Cap Grwth Fd I (TQMIX)	\$1,001 - \$2,500	Dividend	\$15,000 or less	Cash Market			
AMG Timessquare Mid Cap Grwth Fd I (TQMIX)					Purchased	02/23/2018	\$15,000 or less
American Funds The Bond Fund of America F2 (ABNFX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
American Funds The Bond Fund of America F2 (ABNFX)					Purchased	02/23/2018	\$15,000 or less
Goldman Sachs Tr Finl Square Treas Instrs Fd (FTIXX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
Goldman Sachs Tr Finl Square Treas Instrs Fd (FTIXX)					Purchased	02/23/2018	\$15,000 or less

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Growth Fund of America (American Funds) (AGTHX)	\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
Growth Fund of America (American Funds) (AGTHX)					Purchased	02/23/2018	\$15,000 or less
American Funds Growth Fd of Amer F2 (GFFFX)	\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
American Funds Growth Fd of Amer F2 (GFFFX)					Purchased	02/23/2018	\$15,001 - \$50,000
Harbor Fd Cap Apprec Fd (HACAX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
Harbor Fd Cap Apprec Fd (HACAX)					Purchased	02/23/2018	\$15,000 or less
Harbor Fd Cap Apprec Fd (HACAX)					Purchased	08/15/2018	\$15,000 or less
Harbor Fd Intl Instl Cl (HAINX)	None	None	None	Cash Market			
Harbor Fd Intl Instl Cl (HAINX)					Purchased	02/23/2018	\$15,000 or less
Harbor Fd Intl Instl Cl (HAINX)					Sold	08/15/2018	\$15,000 or less
Hotchkis & Wiley Fds Mid Cap Val Fd I (HWMIX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
Hotchkis & Wiley Fds Mid Cap Val Fd I (HWMIX)					Purchased	02/23/2018	\$15,000 or less
American Funds Invest Co of Amer F2 (ICAFX)	\$1,001 - \$2,500	Dividend	\$15,000 or less	Cash Market			
American Funds Invest Co of Amer F2 (ICAFX)					Purchased	02/23/2018	\$15,000 or less
American Funds Invest Co of Amer F2 (ICAFX)					Purchased	08/15/2018	\$15,000 or less
American Funds New World Fd F2 (NFFFX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
American Funds New World Fd F2 (NFFFX)					Purchased	02/23/2018	\$15,000 or less
American Funds Wash Mut Fd F2 (WMFFX)	\$1,001 - \$2,500	Dividend	\$15,001 - \$50,000	Cash Market			
American Funds Wash Mut Fd F2 (WMFFX)					Purchased	02/23/2018	\$15,001 - \$50,000
American Funds Wash Mut Fd F2 (WMFFX)					Purchased	08/15/2018	\$15,000 or less
JPMorgan Tr II High Yield Fd I (OHYFX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
JPMorgan Tr II High Yield Fd I (OHYFX)					Purchased	02/23/2018	\$15,000 or less
Principal Fds Inc Midcap Fd I (PCBIX)	\$1,001 - \$2,500	Dividend	\$15,000 or less	Cash Market			
Principal Fds Inc Midcap Fd I (PCBIX)					Purchased	02/23/2018	\$15,000 or less
American Funds Europac Grwth Fd F2 (AEPFX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
American Funds Europac Grwth Fd F2 (AEPFX)					Purchased	02/23/2018	\$15,001 - \$50,000
Oppenheimer Dev Mkts Cl Y (ODVYX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
Oppenheimer Dev Mkts Cl Y (ODVYX)					Purchased	02/23/2018	\$15,000 or less
Pimco Fds Pac Invt Mgmt Ser Emerg Mkts I (PEBIX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
Pimco Fds Pac Invt Mgmt Ser Emerg Mkts I (PEBIX)					Purchased	02/23/2018	\$15,000 or less
Principal Inv Fd Real Estate Secs Fd I (PIREX)	\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
Principal Inv Fd Real Estate Secs Fd I (PIREX)					Purchased	02/23/2018	\$15,000 or less

DESCRIPTION		INCOME AMOUNT	INCOME TYPE	GROSS VALUE AS OF 12/31	VALUE METHOD	TRANS. TYPE	TRANS. DATE	TRANS. VALUE
Putnam Intl. Growth Fund Class A (PINOX)		None	None	\$15,001 - \$50,000	Cash Market			
Putnam Growth Opportunities Fund Class A (POGAX)		\$5,001 - \$15,000	Dividend	\$100,001 - \$250,000	Cash Market			
Putnam Growth Opportunities Fund Class A (POGAX)						Sold	08/16/2018	\$15,001 - \$50,000
SmallCap World Fd Inc Cl F2 (SMCFX)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
SmallCap World Fd Inc Cl F2 (SMCFX)						Purchased	02/23/2018	\$15,000 or less
Virtus Funds Virtus Vontobel Emg Mkts Opps I (HIEMX)		\$1,000 or less	Dividend	\$15,000 or less	Cash Market			
Virtus Funds Virtus Vontobel Emg Mkts Opps I (HIEMX)						Purchased	02/23/2018	\$15,000 or less
Victory Sycamore Small Co Opp Fd I (VSOIX)		\$1,001 - \$2,500	Dividend	\$15,000 or less	Cash Market			
Victory Sycamore Small Co Opp Fd I (VSOIX)						Purchased	02/23/2018	\$15,000 or less
Victory Port Rs Sm Cap Growth Fd Y (RSYEX)		\$1,001 - \$2,500	Dividend	\$15,000 or less	Cash Market			
Victory Port Rs Sm Cap Growth Fd Y (RSYEX)						Purchased	02/23/2018	\$15,000 or less
Other Holdings								
Rental property, Orange Beach, AL	See Note	\$15,001 - \$50,000	Rent	\$250,001 - \$500,000	Estimated			
Steele Enterprises LLC	See Note	None	None	None	Book Value			

Additional Information or Explanation

PART	#	NOTE
VII.	3	Level of risk only - no control over selection or transactions.
VII.	7.2	Formerly Fidelity Adv Emerging Markets Income Fund (FMKAX).
VII.	10.1	250,000 - 500,000
VII.	10.2	This asset became unreportable in 2018 without a corresponding reportable transaction.