

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) James, Teresa J.	2. Court or Organization U.S. District Court for the District of Kansas	3. Date of Report 07/21/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Robert J. Dole United States Courthouse 500 State Ave Kansas City, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Past President	Earl E. O'Connor Inn of Court
2. Board Member	Federal Bar Association, Kansas Chapter
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

James, Teresa J.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Asbury United Methodist Church (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Individual Assets (H)									
2. Commerce Bank Accounts (cash)	A	Interest	J	T					
3. Account #1 (H)									
4. Vanguard Prime Money Market Fund (VMMXX) (X)	A	Dividend	J	T					
5. Vanguard Total International Bond Index Admiral (VTABX)	C	Dividend	L	T					
6. Vanguard Total Intl Stock Index Adm (VTIAX)	C	Dividend	M	T	Sold (part)	01/16/19	K		
7. Vanguard Total Bond Market Index Fund (VBTLX)	C	Dividend	M	T	Buy (add'l)	12/05/19	L		
8. Vanguard 500 Index Fund Admiral (VFIAX)	D	Dividend	N	T					
9. Account #2 (H)									
10. Vanguard Federal Money Market Fund (VMFXX) (cash)	A	Dividend	M	T					
11. Alphabet Inc Cl C (GOOG)		None	J	T	Sold (part)	07/26/19	K		
12.					Buy (add'l)	10/02/19	K		
13.					Sold (part)	11/07/19	K		
14. Alphabet Inc Cl A (GOOGL)		None	J	T	Sold (part)	07/26/19	K		
15. Amazon.Com Inc (AMZN)		None	M	T	Buy (add'l)	05/31/19	J		
16.					Sold (part)	07/10/19	L		
17.					Buy (add'l)	08/14/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. AT&T Inc (T)	A	Dividend	K	T	Sold (part)	06/03/19	J		
19.					Buy (add'l)	10/02/19	J		
20. Duke Energy Corp New (DUK)	B	Dividend	K	T	Sold (part)	10/10/19	K		
21. Exxon Mobil Corp (XOM)	B	Dividend	K	T					
22. Oneok Inc New (OKE)	B	Dividend	K	T	Sold (part)	10/10/19	K		
23. Apple Inc (AAPL)	A	Dividend			Sold (part)	04/22/19	J		
24.					Buy (add'l)	05/31/19	J		
25.					Sold (part)	09/25/19	J		
26.					Sold (part)	10/10/19	J		
27.					Sold (part)	10/11/19	J		
28.					Sold (part)	10/21/19	J		
29.					Sold (part)	10/31/19	J		
30.					Sold (part)	11/07/19	J		
31.					Sold (part)	11/14/19	J		
32.					Sold (part)	11/15/19	J		
33.					Sold	12/06/19	J		
34. Facebook Inc Cl A (FB)		None			Sold (part)	05/31/19	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	07/10/19	J		
36.					Sold (part)	10/21/19	J		
37.					Sold (part)	10/31/19	J		
38.					Sold	12/06/19	J		
39. Account #3 (H)									
40. Apple Inc Com (AAPL)	A	Dividend	J	T					
41. AT&T Inc Com (T)	A	Dividend	J	T	Sold (part)	06/03/19	J		
42. Duke Energy Holdings Corp Com (DUK)	A	Dividend	J	T					
43. Energy Transfer LP (ET)	A	Dividend	J	T	Buy	01/31/19	J		
44. Magellan Midstream Partners (MMP)	A	Dividend	J	T	Buy	02/05/19	J		
45. Williams Companies Inc Com (WMB)	A	Dividend	J	T	Buy	12/05/19	J		
46. Alphabet Inc Com Cl C (GOOG) (Y)									
47. Account #4 (H)									
48. Vanguard 500 Index Admiral Cl (VFIAX)	B	Dividend	L	T					
49. Account #5 (H)									
50. Vanguard 500 Index Fund Adm (VFIAX) (Y)									
51. Account #6 (H)									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. American Funds American Balanced Fund A (ABALX)	A	Dividend	K	T					
53. Account #7 (H)									
54. Vanguard Target Retirement 2025 Investor Cl (VTTVX)	A	Dividend	K	T	Buy (add'l)	02/05/19	K		
55.					Sold (part)	06/03/19	M		
56. Account #8 (H)									
57. Stable Value Fund (SVF) (cash equiv)	A	Interest	K	T					
58. Fixed Income Fund (FIF)		None	M	T	Buy (add'l)	12/20/19	J		
59. Extended Term Fixed Income Fund (ETFIF)		None	L	T	Buy (add'l)	12/20/19	J		
60. Multiple Asset Fund (MAF)		None	L	T					
61. U.S. Equity Fund (USEF)		None	M	T	Sold (part)	12/20/19	K		
62. International Equity Fund (IEF)		None	M	T	Sold (part)	12/20/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

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(See Column C2)

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V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
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P1 =\$1,000,001 - \$5,000,000
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S =Assessment
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D =\$5,001 - \$15,000
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M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because there was none attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Teresa J. James**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544