

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ryu, Donna M.	2. Court or Organization United States District Court; Northern District of Californi	3. Date of Report 08/04/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court 1301 Clay Street Oakland, CA 94612		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Revocable Trust Agreement re family member's estate
2. Lecturer	University of California, Berkeley Law School
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. July 2002	University of California Retirement Plan (pension on retirement)
2.	
3.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. Jan-Dec	University of California, Berkeley Law School	\$4,926.48
2. Jan-Dec	University of California Retirement System (pension payments) (see Part II)	\$20,596.53
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Ryu, Donna M.	08/04/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.									
2.									
3.									
4.									
5.									
6.									
7. Wells Fargo Bank Accounts	A	Int./Div.	J	T					
8.									
9. Provident Credit Union Accounts	A	Int./Div.	J	T					
10.									
11. ING Direct Accounts	A	Int./Div.	L	T					
12.									
13. Brokerage--Indiv. TOD Renamed to Trust (H)									
14. -Wilshire Large Company Growth Fund (DTLGX)	A	Dividend	J	T	Buy (add'l)	12/16/19	J		
15. -Wilshire Large Cap Core Fund (WLCTX)	A	Dividend	J	T	Buy (add'l)	12/30/19	J		
16. -Wilshire Small Company Growth Fund (DTSGX)	A	Int./Div.	J	T	Buy (add'l)	12/16/19	J		
17. -Wilshire Small Company Value Fund (DTSVX)	A	Int./Div.	J	T	Buy (add'l)	12/30/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Wilshire Large Company Value Fund (DTLVX)	A	Int./Div.	J	T	Buy (add'l)	12/16/19	J		
19.	A	Int./Div.	J	T	Buy (add'l)	12/30/19	J		
20. -Wilshire Income Fund (WIORX)	A	Dividend	J	T	Buy (add'l)	07/01/19	J		
21.	A	Dividend	J	T	Buy (add'l)	10/01/19	J		
22.	A	Dividend	J	T	Buy (add'l)	12/30/19	J		
23. -Fidelity US Bond Index Fund (FXNAX)	A	Dividend	J	T	Buy (add'l)	01/03/19	J		
24.	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
25.	A	Dividend	J	T	Buy (add'l)	03/04/19	J		
26.	A	Dividend	J	T	Buy (add'l)	04/02/19	J		
27.	A	Dividend	J	T	Buy (add'l)	05/02/19	J		
28.	A	Dividend	J	T	Buy (add'l)	06/04/19	J		
29.	A	Dividend	J	T	Buy (add'l)	07/02/19	J		
30.	A	Dividend	J	T	Buy (add'l)	08/02/19	J		
31.	A	Dividend	J	T	Buy (add'l)	09/04/19	J		
32.	A	Dividend	J	T	Buy (add'l)	10/02/19	J		
33.	A	Dividend	J	T	Buy (add'l)	11/04/19	J		
34.	A	Dividend	J	T	Buy (add'l)	12/03/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 29

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35.	A	Dividend	J	T	Buy (add'l)	12/30/19	J		
36. -Vanguard Emerging Markets Stock Index Fund (VEMAX)	A	Dividend	J	T	Buy (add'l)	03/25/19	J		
37.	A	Dividend	J	T	Buy (add'l)	06/17/19	J		
38.	A	Dividend	J	T	Buy (add'l)	09/24/19	J		
39.	A	Dividend	J	T	Buy (add'l)	12/23/19	J		
40. -Vanguard BD Index Short Term BD ETF (BSV)	A	Dividend	J	T	Buy (add'l)	02/06/19	J		
41.	A	Dividend	J	T	Buy (add'l)	03/06/19	J		
42.	A	Dividend	J	T	Buy (add'l)	04/04/19	J		
43.	A	Dividend	J	T	Buy (add'l)	05/06/19	J		
44.	A	Dividend	J	T	Buy (add'l)	06/06/19	J		
45.	A	Dividend	J	T	Buy (add'l)	07/05/19	J		
46.	A	Dividend	J	T	Buy (add'l)	08/06/19	J		
47.	A	Dividend	J	T	Buy (add'l)	09/06/19	J		
48.	A	Dividend	J	T	Buy (add'l)	10/04/19	J		
49.	A	Dividend	J	T	Buy (add'l)	11/06/19	J		
50.	A	Dividend	J	T	Buy (add'l)	12/05/19	J		
51.	A	Dividend	J	T	Buy (add'l)	12/27/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 7 of 29

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52. -Vanguard Index Md-Cap ETF (VO)	A	Dividend	J	T	Buy (add'l)	04/02/19	J		
53.	A	Dividend	J	T	Buy (add'l)	07/02/19	J		
54.	A	Dividend	J	T	Buy (add'l)	09/19/19	J		
55.	A	Dividend	J	T	Buy (add'l)	12/30/19	J		
56. -Cash Account FlexInsured CFID	A	Dividend	J	T	Buy (add'l)	01/15/19	J		
57.	A	Dividend	J	T	Sold (part)	01/16/19	J	A	
58.	A	Dividend	J	T	Buy (add'l)	02/19/19	J		
59.	A	Dividend	J	T	Buy (add'l)	03/15/19	J		
60.	A	Dividend	J	T	Buy (add'l)	04/15/19	J		
61.	A	Dividend	J	T	Sold (part)	04/16/19	J	A	
62.	A	Dividend	J	T	Buy (add'l)	05/15/19	J		
63.	A	Dividend	J	T	Buy (add'l)	06/14/19	J		
64.	A	Dividend	J	T	Buy (add'l)	07/15/19	J		
65.	A	Dividend	J	T	Sold (part)	07/17/19	J	A	
66.	A	Dividend	J	T	Buy (add'l)	08/15/19	J		
67.	A	Dividend	J	T	Buy (add'l)	09/13/19	J		
68.	A	Dividend	J	T	Buy (add'l)	10/15/19	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 29

Name of Person Reporting

Ryu, Donna M.

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69.	A	Dividend	J	T	Sold (part)	10/16/19	J	A	
70.	A	Dividend	J	T	Buy (add'l)	11/15/19	J		
71.	A	Dividend	J	T	Buy (add'l)	12/13/19	J		
72.									
73. Brokerage--IRA Rollover (H)									
74. -VANGUARD MALVERN FDS STRM (VTIP)	A	Dividend	J	T	Sold	01/02/19	J	A	
75.	A	Dividend	J	T	Buy	02/18/19	J		
76.	A	Dividend	J	T	Buy (add'l)	06/20/19	J		
77.	A	Dividend	J	T	Buy (add'l)	09/27/19	J		
78.	A	Dividend	J	T	Buy (add'l)	12/27/19	J		
79. -SPDR GOLD TR GOLD SHS (GLD)		None	J	T	Buy (add'l)	12/27/19	J		
80. -ISHARES TR MSCI USA VALUE FACTOR ETF (VLUE)	A	Dividend	J	T	Sold	01/02/19	J	A	
81.	A	Dividend	J	T	Buy	02/18/19	J		
82.	A	Dividend	J	T	Buy (add'l)	03/26/19	J		
83.	A	Dividend	J	T	Sold (part)	05/14/19	J	A	
84.	A	Dividend	J	T	Buy (add'l)	06/21/19	J		
85.	A	Dividend	J	T	Buy (add'l)	09/12/19	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 29

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86.	A	Dividend	J	T	Buy (add'l)	09/30/19	J		
87.	A	Dividend	J	T	Buy (add'l)	12/20/19	J		
88. -INDEX IQ ETF TR IQ MERGER ARBITRAGE ETF (MNA)	A	Dividend	J	T	Buy (add'l)	02/20/19	J		
89.	A	Dividend	J	T	Buy (add'l)	04/26/19	J		
90. -CLS FLEXIBLE INCOME FUND CLASS N (CLFLX)	A	Dividend	L	T	Buy (add'l)	02/29/19	J		
91.	A	Dividend	L	T	Buy (add'l)	03/29/19	J		
92.	A	Dividend	L	T	Buy (add'l)	04/30/19	J		
93.	A	Dividend	L	T	Buy (add'l)	05/31/19	J		
94.	A	Dividend	L	T	Buy (add'l)	06/28/19	J		
95.	A	Dividend	L	T	Buy (add'l)	07/31/19	J		
96.	A	Dividend	L	T	Buy (add'l)	08/30/19	J		
97.	A	Dividend	L	T	Buy (add'l)	09/30/19	J		
98.	A	Dividend	L	T	Buy (add'l)	10/31/19	J		
99.	A	Dividend	L	T	Buy (add'l)	11/29/19	J		
100.	A	Dividend	L	T	Sold (part)	12/18/19	J	A	
101.	A	Dividend	L	T	Buy (add'l)	12/27/19	J		
102. -CLS GROWTH AND INCOME FUND CLASS N (CLERX)	A	Dividend	M	T	Buy (add'l)	12/27/19	J		

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 10 of 29

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103. -CLS GLOBAL DIVERSIFIED EQUITY FUND CLASS N (CLSAX)	A	Dividend	M	T	Sold (part)	04/26/19	J	A	
104.	A	Dividend	M	T	Buy (add'l)	12/18/19	J		
105.	A	Dividend	M	T	Buy (add'l)	12/27/19	J		
106. -CLS GLOBAL AGGRESSIVE EQUITY (CLACX)	A	Dividend	K	T	Buy (add'l)	12/27/19	J		
107. -GLOBAL LONG/SHORT CREDIT - CLASS A (BGCAX)		None			Sold	02/20/19	J	A	
108. -ISHARES TR MSCI ACWIETF (ACWI)		None			Sold	02/20/19	J	A	
109. -JOHN HANCOCK ABSOLUTE RETURN CURRENCY FUND CLASS A (JCUAX)		None			Sold	02/20/19	J	A	
110. -BLACKSTONE ALTERNATIVE MULTI-STRATEGY INST CLASS (BXMIX)	A	Dividend	J	T	Buy (add'l)	12/19/19	J		
111. -CLS INTL EQ CLASS N (CLHAX)		None			Sold	04/29/19	J	A	
112. -ISHARES TR MSCI EAFEVALUE ETF (EFV)		None			Sold	03/08/19	J	A	
113. -POWERSHARES/INVESCO EXC-TRADED FD TR II S&P 500 LOW VOLATILITY (SPLV)	A	Dividend			Buy (add'l)	01/31/19	J		
114.	A	Dividend			Sold (part)	02/19/19	J	A	
115.	A	Dividend			Buy (add'l)	02/28/19	J		
116.	A	Dividend			Buy (add'l)	03/29/19	J		
117.	A	Dividend			Sold (part)	04/08/19	J	A	
118.	A	Dividend			Buy (add'l)	04/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119.	A	Dividend			Buy (add'l)	05/14/19	J		
120.	A	Dividend			Buy (add'l)	05/31/19	J		
121.	A	Dividend			Sold (part)	06/13/19	J	A	
122.	A	Dividend			Sold (part)	06/20/19	J	A	
123.	A	Dividend			Buy (add'l)	06/28/19	J		
124.	A	Dividend			Buy (add'l)	07/31/19	J		
125.	A	Dividend			Buy (add'l)	08/05/19	J		
126.	A	Dividend			Buy (add'l)	08/06/19	J		
127.	A	Dividend			Buy (add'l)	08/30/19	J		
128.	A	Dividend			Sold (part)	09/05/19	J	A	
129.	A	Dividend			Buy (add'l)	09/25/19	J		
130.	A	Dividend			Buy (add'l)	09/30/19	J		
131.	A	Dividend			Buy (add'l)	10/31/19	J		
132.	A	Dividend			Sold	11/04/19	J	A	
133. -ISHARES EDGE MSCI MIN VOL ETF AVG (ACWV)	A	Dividend			Buy (add'l)	03/08/19	J		
134.	A	Dividend			Sold (part)	04/08/19	J	A	
135.	A	Dividend			Buy (add'l)	05/08/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
136.	A	Dividend			Buy (add'l)	05/14/19	J		
137.	A	Dividend			Sold (part)	06/13/19	J	A	
138.	A	Dividend			Sold (part)	06/20/19	J	A	
139.	A	Dividend			Buy (add'l)	06/21/19	J		
140.	A	Dividend			Sold (part)	07/05/19	J	A	
141.	A	Dividend			Buy (add'l)	07/09/19	J		
142.	A	Dividend			Buy (add'l)	08/05/19	J		
143.	A	Dividend			Sold (part)	09/05/19	J	A	
144.	A	Dividend			Sold (part)	09/12/19	J	A	
145.	A	Dividend			Buy (add'l)	10/03/19	J		
146.	A	Dividend			Sold	11/04/19	J	A	
147. -ASG MANAGED FUTURES FUND Y (ASFYX)	A	Dividend	J	T	Buy	02/20/19	J		
148.	A	Dividend	J	T	Buy (add'l)	12/31/19	J		
149. -SPDR BLOOMBERG BARCLAYS 1-3 MNTH T BILL ETF (BIL)		None			Sold (part)	01/23/19	J	A	
150.		None			Sold	01/31/19	J	A	
151. -SCHWAB STRATEGIC TR SCHWAB FUNDAMENTAL US SMALL CO INDEX ETF (FNDA)	A	Dividend			Buy (add'l)	01/23/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 13 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
152.	A	Dividend			Buy (add'l)	02/19/19	J		
153.	A	Dividend			Buy (add'l)	03/25/19	J		
154.	A	Dividend			Sold (part)	05/08/19	J	A	
155.	A	Dividend			Sold	05/14/19	J	A	
156. -GOLDMAN SACHS ABSOLUTE RETURN TRACKER FD (GJRTX)	A	Dividend	J	T	Buy (add'l)	12/13/19	J		
157.	A	Dividend	J	T	Buy (add'l)	12/31/19	J		
158. -ISHARES/HORIZONS ETF S&P 500 (HSPX)	A	Dividend	J	T	Buy (add'l)	01/08/19	J		
159.	A	Dividend	J	T	Buy (add'l)	01/13/19	J		
160.	A	Dividend	J	T	Buy (add'l)	02/28/19	J		
161.	A	Dividend	J	T	Buy (add'l)	03/28/19	J		
162.	A	Dividend	J	T	Buy (add'l)	05/02/19	J		
163.	A	Dividend	J	T	Buy (add'l)	05/31/19	J		
164.	A	Dividend	J	T	Buy (add'l)	08/01/19	J		
165.	A	Dividend	J	T	Buy (add'l)	08/29/19	J		
166.	A	Dividend	J	T	Buy (add'l)	10/03/19	J		
167.	A	Dividend	J	T	Buy (add'l)	10/31/19	J		
168.	A	Dividend	J	T	Buy (add'l)	11/29/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
169. -ISHARES TR CONV BD ETF (ICVT)	A	Dividend	J	T	Sold (part)	01/18/19	J	A	
170.	A	Dividend	J	T	Buy (add'l)	02/07/19	J		
171.	A	Dividend	J	T	Buy (add'l)	03/07/19	J		
172.	A	Dividend	J	T	Buy (add'l)	04/05/19	J		
173.	A	Dividend	J	T	Buy (add'l)	05/07/19	J		
174.	A	Dividend	J	T	Buy (add'l)	06/07/19	J		
175.	A	Dividend	J	T	Buy (add'l)	07/08/19	J		
176.	A	Dividend	J	T	Buy (add'l)	08/07/19	J		
177.	A	Dividend	J	T	Buy (add'l)	09/09/19	J		
178.	A	Dividend	J	T	Buy (add'l)	10/07/19	J		
179.	A	Dividend	J	T	Buy (add'l)	11/07/19	J		
180.	A	Dividend	J	T	Buy (add'l)	12/06/19	J		
181.	A	Dividend	J	T	Buy (add'l)	12/26/19	J		
182. -JOHN HANCOCK GLOBAL ABSOLUTE RETURN (JHAIX)	A	Dividend			Buy (add'l)	08/23/19	J		
183.	A	Dividend			Sold	12/03/19	J	A	
184. -JP MORGAN EXCHANGE TRADED FD INTL EQUITY ETF (JPIN)	A	Dividend			Buy (add'l)	01/23/19	J		
185.	A	Dividend			Buy (add'l)	01/31/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
186.	A	Dividend			Sold	03/08/19	J	A	
187. -WISDOMTREE TR CBOE S&P500 (PUTW)	A	Dividend	J	T	Buy (add'l)	12/27/19	J		
188. -POWERSHARES EXCHANGE TRADED FD TR II FTSE RAFI EMERGING MARKETS (PXH)	A	Dividend	K	T	Buy	01/23/19	K		
189.	A	Dividend	K	T	Buy (add'l)	01/31/19	K		
190.	A	Dividend	K	T	Buy (add'l)	03/29/19	K		
191.	A	Dividend	K	T	Sold (part)	05/08/19	K	A	
192.	A	Dividend	K	T	Buy (add'l)	06/13/19	K		
193.	A	Dividend	K	T	Buy (add'l)	06/28/19	K		
194.	A	Dividend	K	T	Sold (part)	07/09/19	K	A	
195.	A	Dividend	K	T	Buy (add'l)	09/12/19	K		
196.	A	Dividend	K	T	Buy (add'l)	09/30/19	K		
197.	A	Dividend	K	T	Sold (part)	10/03/19	K	A	
198.	A	Dividend	K	T	Buy (add'l)	11/04/19	K		
199. -ISHARES TR SHORT TREAS (SHV)		None			Sold (part)	01/23/19	K	A	
200.		None			Sold (part)	01/31/19	K	A	
201. -ISHARES TR MSCI USA MIN VOL ETF (USMV)	A	Dividend			Buy (add'l)	01/04/19	K		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 16 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

202.	A	Dividend			Sold (part)	02/19/19	K	A	
203.	A	Dividend			Buy (add'l)	03/26/19	K		
204.	A	Dividend			Sold (part)	04/08/19	K	A	
205.	A	Dividend			Buy (add'l)	05/08/19	K		
206.	A	Dividend			Sold (part)	06/13/19	K	A	
207.	A	Dividend			Buy (add'l)	07/09/19	K		
208.	A	Dividend			Buy (add'l)	08/06/19	K		
209.	A	Dividend			Sold (part)	09/05/19	K	A	
210.	A	Dividend			Sold (part)	09/12/19	K	A	
211.	A	Dividend			Buy (add'l)	09/25/19	K		
212.	A	Dividend			Sold	11/04/19	K	A	
213. -VANGUARD INTL EQUITY INDEX FDS GLOBAL EX-US REAL ESTATE ETF (VNQI)	A	Dividend	J	T	Buy	02/19/19	J		
214.	A	Dividend	J	T	Buy (add'l)	06/20/19	J		
215.	A	Dividend	J	T	Buy (add'l)	09/27/19	J		
216.	A	Dividend	J	T	Buy (add'l)	12/23/19	J		
217. -GRANITSHARES ETF (COMB)	A	Dividend			Buy	01/02/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
218.	A	Dividend			Sold	12/19/19	J	A	
219. -VANECK VECTORS ETF (EMLC)		None	J	T	Buy	12/02/19	J		
220. -GOLDMAN SACHS ETF LARGE CAP EQUITY (GSLC)	A	Dividend			Buy	04/08/19	K		
221.	A	Dividend			Buy (add'l)	06/28/19	K		
222.	A	Dividend			Sold (part)	08/06/19	K	A	
223.	A	Dividend			Sold	09/25/19	K	A	
224. -JOHN HANCOCK EXCHANGE TRADED MULTIFACTOR MID-CAP ETF (JHMM)	A	Dividend	K	T	Buy	01/29/19	K		
225.	A	Dividend	K	T	Buy (add'l)	06/20/19	K		
226.	A	Dividend	K	T	Buy (add'l)	06/28/19	K		
227.	A	Dividend	K	T	Sold (part)	08/06/19	K	A	
228.	A	Dividend	K	T	Buy (add'l)	09/05/19	K		
229.	A	Dividend	K	T	Buy (add'l)	12/30/19	K		
230. -GLOBAL X FDS MLP ETF (MLPA)		None	J	T	Buy	12/19/19	J		
231. -INVESCO EXCHANGE TRADED SELF INDEXED RUSSELL 1000 (OMFL)	A	Dividend	K	T	Buy	06/13/19	K		
232.	A	Dividend	K	T	Buy (add'l)	06/28/19	K		
233.	A	Dividend	K	T	Buy (add'l)	07/05/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
234.	A	Dividend	K	T	Buy (add'l)	09/30/19	K		
235.	A	Dividend	K	T	Buy (add'l)	11/04/19	K		
236.	A	Dividend	K	T	Buy (add'l)	12/31/19	K		
237. -DBX ETF XTRACKERS RUSSELL 1000 (QARP)	A	Dividend			Buy	02/19/19	J		
238.	A	Dividend			Buy (add'l)	03/22/19	J		
239.	A	Dividend			Buy (add'l)	07/08/19	J		
240.	A	Dividend			Sold	07/09/19	J	A	
241. -SPDR MSCI USA ETF (QUS)	A	Dividend	K	T	Buy	09/05/19	K		
242.	A	Dividend	K	T	Buy (add'l)	11/04/19	K		
243.	A	Dividend	K	T	Buy (add'l)	12/30/19	K		
244. -LATTICE STRATEGIES MULTIFACTOR DEVELOPED MKTS ETF (RODM)	A	Dividend	K	T	Buy	04/08/19	K		
245.	A	Dividend	K	T	Buy (add'l)	06/20/19	K		
246.	A	Dividend	K	T	Buy (add'l)	06/28/19	K		
247.	A	Dividend	K	T	Sold (part)	08/05/19	K	A	
248.	A	Dividend	K	T	Buy (add'l)	09/05/19	K		
249.	A	Dividend	K	T	Sold (part)	10/03/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
250.	A	Dividend	K	T	Buy (add'l)	11/04/19	K		
251.	A	Dividend	K	T	Buy (add'l)	12/26/19	K		
252. -ISHARES EXPONENTIAL TECHNOLOGIES ETF (XT)	A	Dividend	K	T	Buy	06/13/19	K		
253.	A	Dividend	K	T	Buy (add'l)	06/21/19	K		
254.	A	Dividend	K	T	Buy (add'l)	12/20/19	K		
255. -FEDERATED TREASURY OBLIGATIONS	A	Int./Div.	J	T	Buy (add'l)	01/02/19	J		
256.	A	Int./Div.	J	T	Buy (add'l)	01/03/19	J		
257.	A	Int./Div.	J	T	Buy (add'l)	01/07/19	J		
258.	A	Int./Div.	J	T	Buy (add'l)	01/09/19	J		
259.	A	Int./Div.	J	T	Buy (add'l)	01/14/19	J		
260.	A	Int./Div.	J	T	Buy (add'l)	01/15/19	J		
261.	A	Int./Div.	J	T	Sold (part)	01/16/19	J	A	
262.	A	Int./Div.	J	T	Buy (add'l)	01/24/19	J		
263.	A	Int./Div.	J	T	Buy (add'l)	01/25/19	J		
264.	A	Int./Div.	J	T	Buy (add'l)	01/28/19	J		
265.	A	Int./Div.	J	T	Buy (add'l)	02/01/19	J		
266.	A	Int./Div.	J	T	Buy (add'l)	02/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
267.	A	Int./Div.	J	T	Buy (add'l)	02/08/19	J		
268.	A	Int./Div.	J	T	Buy (add'l)	02/19/19	J		
269.	A	Int./Div.	J	T	Buy (add'l)	02/22/19	J		
270.	A	Int./Div.	J	T	Buy (add'l)	03/01/19	J		
271.	A	Int./Div.	J	T	Buy (add'l)	03/08/19	J		
272.	A	Int./Div.	J	T	Sold (part)	03/12/19	J	A	
273.	A	Int./Div.	J	T	Buy (add'l)	03/18/19	J		
274.	A	Int./Div.	J	T	Buy (add'l)	03/25/19	J		
275.	A	Int./Div.	J	T	Buy (add'l)	03/26/19	J		
276.	A	Int./Div.	J	T	Buy (add'l)	03/27/19	J		
277.	A	Int./Div.	J	T	Buy (add'l)	03/29/19	J		
278.	A	Int./Div.	J	T	Buy (add'l)	04/01/19	J		
279.	A	Int./Div.	J	T	Buy (add'l)	04/08/19	J		
280.	A	Int./Div.	J	T	Sold (part)	04/10/19	J	A	
281.	A	Int./Div.	J	T	Sold (part)	04/16/19	J	A	
282.	A	Int./Div.	J	T	Buy (add'l)	05/08/19	J		
283.	A	Int./Div.	J	T	Sold (part)	05/10/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
284.	A	Int./Div.	J	T	Buy (add'l)	05/15/19	J		
285.	A	Int./Div.	J	T	Sold (part)	05/16/19	J	A	
286.	A	Int./Div.	J	T	Buy (add'l)	06/03/19	J		
287.	A	Int./Div.	J	T	Buy (add'l)	06/10/19	J		
288.	A	Int./Div.	J	T	Buy (add'l)	06/14/19	J		
289.	A	Int./Div.	J	T	Buy (add'l)	06/18/19	J		
290.	A	Int./Div.	J	T	Buy (add'l)	06/21/19	J		
291.	A	Int./Div.	J	T	Buy (add'l)	06/24/19	J		
292.	A	Int./Div.	J	T	Buy (add'l)	06/25/19	J		
293.	A	Int./Div.	J	T	Buy (add'l)	07/01/19	J		
294.	A	Int./Div.	J	T	Buy (add'l)	07/08/19	J		
295.	A	Int./Div.	J	T	Sold (part)	07/09/19	J	A	
296.	A	Int./Div.	J	T	Sold (part)	07/11/19	J	A	
297.	A	Int./Div.	J	T	Buy (add'l)	07/15/19	J		
298.	A	Int./Div.	J	T	Sold (part)	07/17/19	J	A	
299.	A	Int./Div.	J	T	Buy (add'l)	08/01/19	J		
300.	A	Int./Div.	J	T	Buy (add'l)	08/02/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 22 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
301.	A	Int./Div.	J	T	Buy (add'l)	08/08/19	J		
302.	A	Int./Div.	J	T	Buy (add'l)	08/15/19	J		
303.	A	Int./Div.	J	T	Buy (add'l)	08/30/19	J		
304.	A	Int./Div.	J	T	Buy (add'l)	09/03/19	J		
305.	A	Int./Div.	J	T	Buy (add'l)	09/10/19	J		
306.	A	Int./Div.	J	T	Buy (add'l)	09/13/19	J		
307.	A	Int./Div.	J	T	Buy (add'l)	09/17/19	J		
308.	A	Int./Div.	J	T	Buy (add'l)	09/30/19	J		
309.	A	Int./Div.	J	T	Buy (add'l)	10/01/19	J		
310.	A	Int./Div.	J	T	Buy (add'l)	10/04/19	J		
311.	A	Int./Div.	J	T	Sold (part)	10/07/19	J	A	
312.	A	Int./Div.	J	T	Buy (add'l)	10/15/19	J		
313.	A	Int./Div.	J	T	Sold (part)	10/16/19	J	A	
314.	A	Int./Div.	J	T	Sold (part)	10/22/19	J	A	
315.	A	Int./Div.	J	T	Buy (add'l)	11/01/19	J		
316.	A	Int./Div.	J	T	Buy (add'l)	11/07/19	J		
317.	A	Int./Div.	J	T	Buy (add'l)	11/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
318.	A	Int./Div.	J	T	Buy (add'l)	11/15/19	J		
319.	A	Int./Div.	J	T	Buy (add'l)	12/02/19	J		
320.	A	Int./Div.	J	T	Buy (add'l)	12/03/19	J		
321.	A	Int./Div.	J	T	Sold (part)	12/04/19	J	A	
322.	A	Int./Div.	J	T	Buy (add'l)	12/09/19	J		
323.	A	Int./Div.	J	T	Buy (add'l)	12/13/19	J		
324.	A	Int./Div.	J	T	Buy (add'l)	12/20/19	J		
325.	A	Int./Div.	J	T	Buy (add'l)	12/23/19	J		
326.	A	Int./Div.	J	T	Buy (add'l)	12/24/19	J		
327.	A	Int./Div.	J	T	Buy (add'l)	12/27/19	J		
328.	A	Int./Div.	J	T	Buy (add'l)	12/30/19	J		
329.	A	Int./Div.	J	T	Buy (add'l)	12/31/19	J		
330.									
331. Brokerage--Roth IRA (H)									
332. -Vanguard Total International Stock Index Fund ETF (VXUS)	A	Dividend	J	T	Buy (add'l)	03/28/19	J		
333.	A	Dividend	J	T	Buy (add'l)	06/26/19	J		
334.	A	Dividend	J	T	Buy (add'l)	09/27/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 24 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
335.	A	Dividend	J	T	Buy (add'l)	12/27/19	J		
336. -Vanguard Total Stock Market ETF (VTI)	A	Dividend	J	T	Buy (add'l)	03/28/19	J		
337.	A	Dividend	J	T	Buy (add'l)	06/20/19	J		
338.	A	Dividend	J	T	Buy (add'l)	09/19/19	J		
339.	A	Dividend	J	T	Buy (add'l)	12/30/19	J		
340. -Vanguard Total Bond Market ETF (BND)	A	Dividend	J	T	Buy (add'l)	02/06/19	J		
341.	A	Dividend	J	T	Buy (add'l)	03/06/19	J		
342.	A	Dividend	J	T	Buy (add'l)	04/04/19	J		
343.	A	Dividend	J	T	Buy (add'l)	05/06/19	J		
344.	A	Dividend	J	T	Buy (add'l)	06/06/19	J		
345.	A	Dividend	J	T	Buy (add'l)	07/05/19	J		
346.	A	Dividend	J	T	Buy (add'l)	08/06/19	J		
347.	A	Dividend	J	T	Buy (add'l)	09/06/19	J		
348.	A	Dividend	J	T	Buy (add'l)	10/04/19	J		
349.	A	Dividend	J	T	Buy (add'l)	11/06/19	J		
350.	A	Dividend	J	T	Buy (add'l)	12/05/19	J		
351.	A	Dividend	J	T	Buy (add'l)	12/27/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
352. -Vanguard Total International Bond Index ETF (BNDX)	A	Dividend	J	T	Buy (add'l)	02/06/19	J		
353.	A	Dividend	J	T	Buy (add'l)	03/06/19	J		
354.	A	Dividend	J	T	Buy (add'l)	04/04/19	J		
355.	A	Dividend	J	T	Buy (add'l)	05/06/19	J		
356.	A	Dividend	J	T	Buy (add'l)	06/06/19	J		
357.	A	Dividend	J	T	Buy (add'l)	07/05/19	J		
358.	A	Dividend	J	T	Buy (add'l)	08/06/19	J		
359.	A	Dividend	J	T	Buy (add'l)	09/06/19	J		
360.	A	Dividend	J	T	Buy (add'l)	01/04/19	J		
361.	A	Dividend	J	T	Buy (add'l)	11/06/19	J		
362.	A	Dividend	J	T	Buy (add'l)	12/05/19	J		
363.	A	Dividend	J	T	Buy (add'l)	12/30/19	J		
364. -Money Market/FDIC Insured Bank Deposit Fund (CFIQ) (PER989909)	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
365.	A	Dividend	J	T	Sold (part)	01/16/19	J	A	
366.	A	Dividend	J	T	Buy (add'l)	02/07/19	J		
367.	A	Dividend	J	T	Buy (add'l)	03/07/19	J		
368.	A	Dividend	J	T	Buy (add'l)	03/29/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 26 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
369.	A	Dividend	J	T	Buy (add'l)	04/05/19	J		
370.	A	Dividend	J	T	Buy (add'l)	05/07/19	J		
371.	A	Dividend	J	T	Buy (add'l)	06/07/19	J		
372.	A	Dividend	J	T	Buy (add'l)	06/21/19	J		
373.	A	Dividend	J	T	Buy (add'l)	06/27/19	J		
374.	A	Dividend	J	T	Buy (add'l)	07/08/19	J		
375.	A	Dividend	J	T	Sold (part)	07/17/19	J	A	
376.	A	Dividend	J	T	Buy (add'l)	08/07/19	J		
377.	A	Dividend	J	T	Buy (add'l)	09/09/19	J		
378.	A	Dividend	J	T	Buy (add'l)	09/20/19	J		
379.	A	Dividend	J	T	Buy (add'l)	09/30/19	J		
380.	A	Dividend	J	T	Buy (add'l)	10/07/19	J		
381.	A	Dividend	J	T	Sold (part)	10/16/19	J	A	
382.	A	Dividend	J	T	Buy (add'l)	10/22/19	J		
383.	A	Dividend	J	T	Buy (add'l)	11/07/19	J		
384.	A	Dividend	J	T	Buy (add'l)	12/06/19	J		
385.	A	Dividend	J	T	Buy (add'l)	12/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
386.	A	Dividend	J	T	Buy (add'l)	12/31/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 28 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, and Part VII.

Part I:

Line 1: Trust for the benefit of family member.

-I am not the owner or grantor of the trust, I am solely the co-trustee of a revocable trust created for the benefit of a [REDACTED] member.

-I am a co-trustee for the convenience of [REDACTED] member, and I have not received any income through the trust or trust assets. In an abundance of caution, I had previously listed the trust property ([REDACTED] house) in Section VII, but that have since been instructed not to list the house in Section VII, since it is not an investment, and I do not receive any income of any kind from the house or the trust.

Part VII:

When running the audit, I received a general note that some of the holdings show no income during 2019. My financial disclosure preparer has verified that the entries are correct as entered. Some holdings were sold before they produced income during the year or are a type of security that does not generally produce income.

The audit also generated a note regarding Line 74 & Line 80 that Columns C(1) & C(2) should be left blank if they were not held on the last day of the reporting period. These columns are for the gross value at the end of the reporting period. While the holdings were sold in full, they were repurchased later in the year. The entries are correct as entered.

FINANCIAL DISCLOSURE REPORT

Page 29 of 29

Name of Person Reporting

Ryu, Donna M.

Date of Report

08/04/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Donna M. Ryu**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544