

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vilardo, Lawrence J.	2. Court or Organization United States District Court Western District of New York	3. Date of Report 8/4/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Robert H. Jackson Courthouse 2 Niagara Square Buffalo, NY 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Desmond Law Society of Canisius College
2. Co-Trustee	Family Trust (no reportable assets)
3. President	FWGA Corporation
4. Member	Canisius High School President' Advisory Council
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Vilardo, Lawrence J.

Date of Report

8/4/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York University School of Law and Columbia Law School	2/28/2019 to 3/2/2019	Manhassat, Long Island	Judical Seminar	Seminar canceled - reimbursed cost of airline ticket
2. America Law Institute (ALI)	5/19/2019 to 5/21/2019	Washington, DC	Annual Meeting	Lodging, transportation, and event tickets
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Vilardo, Lawrence J.	8/4/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Vilardo, Lawrence J.

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8/4/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Mass Mutual Financial Group - Universal Life Insurance	E	Int./Div.	N	T					
2. John Hancock Whole Life	A	Dividend	K	T					
3. Rental Property Town of Tonawanda New York	D	Rent			Sold	06/28/19	M	F	
4. M&T Bank Account	A	Interest	L	T					
5. RBC Bank Account	A	Interest	K	T					
6. TD Ameritrade FDIC Insured Deposit Money Market Account	A	Interest	J	T					
7. Schwab US Large Cap	B	Dividend	K	T	Buy	08/20/19	K		
8. Ishares JPM USD Emerg Mkt	A	Dividend	J	T	Buy	08/20/19	J		
9. Ishares US Preferred Stock	A	Dividend	J	T	Buy	08/20/19	J		
10. Vanguard FTSE Dev Mkts	A	Dividend	J	T	Buy	08/20/19	J		
11. Vanguard SMG Mkts	A	Dividend	J	T	Buy	08/20/19	J		
12. Vanguard S/T Corp Bond	A	Interest			Sold	12/11/19	J	A	
13. Vanguard REIT	A	Dividend	J	T	Buy	08/20/19	J		
14. Vanguard CRSP US Mid Cap	A	Dividend	J	T	Buy	08/20/19	J		
15. Vanguard US Small Cap	A	Dividend	J	T	Buy	08/20/19	J		
16. Ishares NY Muni	A	Interest	J	T	Buy	08/20/19	J		
17. Ishares Trust Core Total USD	A	Dividend	K	T	Buy	08/20/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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18. Vanguard Inter Term Corp	A	Dividend	K	T	Buy	08/20/19	K		
19. Ishares Gold Trust	A	Dividend	J	T	Buy	08/20/19	J		
20. Ishares Jpm USD Emer Mkt Bond	A	Interest	L	T					
21. Schwab US Large Cap	A	Dividend	O	T					
22. Vanguard FTSE Developed Mkts	A	Dividend	M	T					
23. Vanguard S/T Inflation Protected	A	Dividend	L	T					
24. Vanguard S/T Corp Bond	A	Interest	K	T					
25. Vanguard Mortgage Backed Sec	A	Dividend	L	T					
26. Vanguard REIT	A	Dividend	K	T					
27. Vanguard CSR P US Mid Cap	A	Dividend	M	T					
28. Vanguard Small Cap	A	Dividend	M	T					
29. First Trust Large Cap Core Alphas	A	Dividend			Sold	02/20/19	L	A	
30. First Trust Small Cap Core Alphas	A	Dividend			Sold	02/20/19	K	A	
31. Ishares IBOXX Investment Grade Bonds	A	Interest	M	T					
32. Ishares PFD & Inc Sec (aka Ishares US Preferred Stock)	A	Dividend	K	T					
33. Ishares High Yield	A	Interest	K	T					
34. Vanguard Emerging Markets	A	Dividend	L	T					

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Ishares Trust Core Total USD	A	Dividend	L	T					
36. First Trust Large Cap Core Alphadex	A	Dividend	K	T					
37. First Trust Mid Cap Core Alphadex	A	Dividend	K	T					
38. IShares TR JP Morgan USD EMG	A	Dividend	J	T	Buy	08/30/19	J		
39. Schwab Strategic TR US Large Cap	A	Dividend	J	T	Buy	08/30/19	J		
40. Vaneck Vectors TR Morning Star Wide	A	Dividend	J	T	Buy	08/30/19	J		
41. Vanguard Total Bond MKT	A	Interest	J	T	Buy	08/30/19	J		
42. Vanguard FTSE DEV MKT	A	Dividend	J	T	Buy	08/30/19	J		
43. Vanguard FTSE EMR MKT	A	Dividend	J	T	Buy	08/30/19	J		
44. Vanguard Int - Term Corp	A	Dividend	J	T	Buy	08/30/19	J		
45. Vanguard Mid Cap	A	Dividend	J	T	Buy	08/30/19	J		
46. Vanguard Small Cap	A	Dividend	J	T	Buy	08/30/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Vilardo, Lawrence J.

Date of Report

8/4/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Positions.

Line 2. Co-Trustee - Family Trust (No Reportable Assets).

VII. Investments and Trusts.

Line 3. Rental Property purchased 3/31/2009. Purchase price \$171,500. Property sold 6/28/2019.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Vilardo, Lawrence J.

Date of Report

8/4/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lawrence J. Vilardo**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544