

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Trust, Alan S.	2. Court or Organization Bankruptcy Court	3. Date of Report 09/09/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 290 Federal Plaza, 9th floor Central Islip, NY 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. April 2008	following dissolution of Trust.Law.Firm, P.C. on April 1, 2008, I am to receive the net profits of the firm recieved thereafter based on my sole ownership
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 20

Name of Person Reporting

Trust, Alan S.

Date of Report

09/09/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Teaching - St Johns University School of Law	\$10,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Bar Association	3/22/19 - 3/25/19	Washington, DC	attend bar ass'n event	reimbursement for actual out of pocket travel, provided lodging and meals
2.	American Bankr Institute	5/16/19 - 5/16/19	NY, NY	speaker at a seminar in NY, NY	reimbursement for actual out of pocket travel
3.	American Bankr Inst.	8/22/19- 8/23/19	Washington, DC	speaker on a video seminar recorded in DC	reimbursement for actual out of pocket travel and meals, provided lodging
4.	American Conf. Inst.	10/29/19 - 10/31/19	Dallas, TX	speaker at a seminar in Dallas TX	reimbursement for actual out of pocket travel and meals, provided lodging
5.					

Name of Person Reporting	Date of Report
Trust, Alan S.	09/09/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 20

Name of Person Reporting

Trust, Alan S.

Date of Report

09/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. AmeriPrise (H)									
2. Ameriprise / Columbia Cash Mgt Accounts IDSXX	A	Interest	J	T					
3. RVS Life Fixed (annuity)	A	Int./Div.	N	T	Redeemed (part)	10/14/19	J	A	fully annuitized 12.30.19
4. Franklin VIP Small Cap Valu (annuity)		None			Merged (with line 3)	12/30/19	K		
5.					Redeemed (part)	10/14/19	J	A	
6.					Buy (add'l)	04/03/19	J		rebalance of annuity
7. AB VPS Growt & Inc. (annuity)		None			Merged (with line 3)	12/30/19	J		
8.					Redeemed (part)	10/14/19	J	A	
9.					Buy (add'l)	04/03/19	L		rebalance of annuity
10. Am Cent VP Bal (annuity)		None			Sold	04/03/19	K		rebalace of annuiy
11. COL VP Dividend Opp(annuity)		None			Merged (with line 3)	12/30/19	L		
12.					Sold (part)	10/14/19	J	A	
13.					Buy	04/13/19	L		rebalance of annuity
14. COL VP Midcap (annuity)		None			Merged (with line 3)	12/30/19	K		
15.					Sold (part)	10/14/19	J	A	
16.					Buy	04/13/19	K		rebalancd of annuity
17.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

Table with 2 columns: Name of Person Reporting (Trust, Alan S.), Date of Report (09/09/2020)

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Main table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and sub-columns for each. Rows include COL VP Ltd Dur (annuity), Lazard GblDyn Mlt (annuity), Franklin Inc VIP (anuity), Templeton Gbl Bond (annuity), Invesco Int' Gr Sr (annuity), and Inv Opp GblStruc Fd (annuity).

Legend table with 6 columns: 1 Income Gain Codes, 2 Value Codes, 3 Value Method Codes, and corresponding codes A through W.

FINANCIAL DISCLOSURE REPORT

Page 6 of 20

Name of Person Reporting

Trust, Alan S.

Date of Report

09/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. COL VP Balanced Fund (annuity)		None			Sold	04/03/19	L		rebalance of annuity
36. COL VP Growth		None			Sold	04/03/19	K		rebalance of annuity
37. Amer Cnet VP Value		None			Sold (part)	10/14/19	J	A	
38.					Merged (with line 3)	12/30/19	K		
39. AVZ- A (H)									
40. Blackrock Total Ret. (was AMP) MAHQX	A	Int./Div.	J	T	Sold (part)	10/31/19	K	B	
41. SSTHX Wells Short Term High Yield (was AMP)	A	Int./Div.			Sold	11/1/19	J	A	
42. Artisan High Income ARTFX	A	Int./Div.	J	T	Sold (part)	11/1/19	J	A	
43. AB Disc Valu Adv ABYSX		None			Sold	11/01/19	K		loss
44. Amer Century Eq Inc. ACIIX	A	Int./Div.			Sold	11/01/19	K	B	
45. Amer Cent Inflation Adjusted Bond AIAHX	A	Int./Div.	J	T	Sold (part)	11/01/19	K	A	
46. AMZN		None			Sold	11/01/19	K	A	
47. Artisan Global Opp ARTRX		None			Sold	11/01/19	J	A	
48. Blackrock Global Div BIBDX	A	Int./Div.			Sold	11/01/19	K	C	
49. BMRN		None			Sold	11/01/19	J		loss
50. Commerce MidCap Growth CFAGX	A	Int./Div.			Sold	10/31/19	K	C	
51. CVS	A	Int./Div.			Sold	11/01/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 20

Name of Person Reporting

Trust, Alan S.

Date of Report

09/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. DBX ETF TR		None			Sold	10/31/19	J	A	
53. Deutsche Tele AG DTEGY		None			Sold	11/01/19	J		loss
54. EXEL		None			Sold	11/01/19	J		loss
55. Fidelity Adv Growth Opp FAGCX		None			Sold	11/01/19	L	E	
56. Goldman Sachs Global Inc. GBIRX		None			Sold	11/01/19	J	A	
57. HD		None			Sold	11/01/19	J	A	
58. Hartford Mid Cap HFMIX		None			Sold	11/01/19	K		loss
59. HLT		None			Sold	11/01/19	J	A	
60. IShares SP MidCap IJJ		None			Sold	11/01/19	J	A	
61. IShares COre S&P IJR		None			Sold	11/01/19	K	B	
62. IShares US Fin IYF	A	Int./Div.			Sold	11/01/19	K	C	
63. Janus Hend Forty JACTX		None			Sold	11/01/19	J	B	
64. JNPR		None			Sold	11/01/19	J		loss
65. LUV		None			Sold	11/01/19	J	A	
66. MFS Aggressive MIAGX		None			Sold	11/01/19	K	A	
67. MFS Intl intrinsic Value MINIX		None			Sold	11/01/19	L	D	
68. INvesco Opp Main Street MSIGX		None			Sold	11/01/19	K		no gain or loss reported

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 20

Name of Person Reporting

Trust, Alan S.

Date of Report

09/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Nicholas II NNTWX		None			Sold	11/01/19	J	A	
70. NTGR		None			Sold	11/01/19	J		loss
71. Wells Fargo Grwtn Bal NVGBX		None			Sold	11/01/19	J	B	
72. Invesco Opp Dv Mkts ODVYX	A	Int./Div.			Sold	11/01/19	J		loss
73. Inv. Opp Global Opp OPGIX	A	Int./Div.			Sold	11/01/19	J		no gin or loss reported
74. Inv Opp Main St Mid Cap OPMSX		None			Sold	10/31/19	J		no gain or loss reported
75. ORCL		None			Sold	11/01/19	J	A	
76. Parnussus Endeavor PARWX		None			Sold	11/01/19	J	A	
77. T Rowe Price global PRGSX	A	Int./Div.			Sold	11/01/19	K	B	
78. Invesco ETF Tr PSJ		None			Sold	11/01/19	J	B	
79. Invesco QQQ Trust QQQ		None			Sold	11/01/19	J	B	
80. RIO		None			Sold	11/01/19	J	A	
81. DWS Emerging Mkts SEMGX		None			Sold	11/01/19	J		loss
82. Wells Fargo Short term High STYIX		None			Sold	11/01/19	J	A	
83. TWMIX	A	Int./Div.			Sold	11/01/19	J	A	
84. Carillion Scout Small Cap UMBHX	A	Int./Div.			Sold	11/01/19	J	A	
85. Vanguard Star VGSTX		None			Sold	11/01/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 20

Name of Person Reporting

Trust, Alan S.

Date of Report

09/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Vanguard Index Value VTV		None			Sold	11/01/19	J	A	
87. ML Commerce MidCap CFAGX	A	Int./Div.			Sold	11/01/19	K	C	
88. AVZ-A-NEW (H)									
89. Inv. Opp Disc ODIYX		None	J	T	Buy	11/1/19	J		
90. AMG Times Sq Small Cap TQTIX	A	Int./Div.	J	T	Buy	11/01/19	J		
91. Inv Opp Int'l Grw OIGYX	A	Int./Div.	K	T	Buy	11/01/19	J		
92. Casuseway Emerg Mkts Fund CEMIX	A	Int./Div.	J	T	Buy	11/01/19	J		
93. DElaware Value FUnd DDVIX	A	Int./Div.	L	T	Buy	11/01/19	L		
94. DWS Enhanced Commod Strat SKIRX	A	Int./Div.	K	T	Buy	11/01/19	K		
95. Emerald Growth FGROX		None	J	T	Buy	11/1/19	J		
96. Harding Loevner Inst. Emerg Mkts HLMEX	A	Int./Div.	J	T	Buy	11/01/19	J		
97. Hartford Scriders Intl MLT HFYYX	A	Int./Div.	K	T	Buy	11/01/19	J		
98. Loomis Sayles Gr. LSGRX	A	Int./Div.	L	T	Buy	11/1/19	L		
99. PIMCO Total ret. PTTNX	B	Int./Div.	K	T	Buy	11/1/19	K		
100. ISHARES Core S&P 500 ETF IVV	B	Int./Div.	M	T	Buy	11/01/19	M		
101. ISHARES CORE US AGG BOND ETF AGG	C	Int./Div.	K	T	Buy	11/01/19	L		
102. ISHARES RUSSELL 2000 ETF IWM	A	Int./Div.	K	T	Buy	11/01/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 20

Name of Person Reporting

Trust, Alan S.

Date of Report

09/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. ISHARES TRUST CORE MSCI ETF IEFA	B	Int./Div.	K	T	Buy	11/01/19	K		
104. ISHARES CORE MSCI EMERGING ETF IEMG	A	Int./Div.	K	T	Buy	11/01/19	K		
105. MFS New Disc Value Fund NDVIX	A	Int./Div.	K	T	Buy	11/01/19	K		
106. Metropolitan West Total Ret MWTIX	B	Int./Div.	L	T	Buy	11/01/19	L		
107. Principal RE SEC Inst PIREX	A	Int./Div.	J	T	Buy	11/01/19	J		
108. Vanguard RE ETF VNQ	A	Int./Div.	J	T	Buy	11/01/19	J		
109. Cypress Seimconducto CY		None			Sold	11/01/19	J	B	
110. XTRACKERS DBEU Hedged Equity	A	Int./Div.			Sold	11/01/19	J	A	
111. AVZ- L (H)									
112. Inv. Opp Disc ODIYX	A	Int./Div.	J	T	Buy	11/1/19	J		
113. AMG Timesquare Int Sm TQTIX	A	Int./Div.	J	T	Buy	11/01/19	J		
114. invesco Opp Int'l Growth OIGYX		None	J	T	Buy	11/01/19	J		
115. Cayseway Emerging Mkt CEMIX	A	Int./Div.	J	T	Buy	11/01/19	J		
116. Delaware Value DDVIX	A	Int./Div.	J	T	Buy	11/01/19	J		
117. DWS Enhanced Comm SKIRX	A	Int./Div.	J	T	Buy	11/01/19	J		
118. Harding Loevner Inst Emrg HLMEX	A	Int./Div.	J	T	Buy	11/01/19	J		
119. Hartford Schroeder MLT CP HFYYX	A	Int./Div.	J	T	Buy	11/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 20

Name of Person Reporting

Trust, Alan S.

Date of Report

09/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. ISHARES SP 500 IVV	A	Int./Div.	K	T	Buy	11/01/19	K		
121. ISHARES CORE US AGG BOND ETF AGG	A	Int./Div.	K	T	Buy	11/01/19	K		
122. ISHARES RUSSELL 2000 ETF IWM	A	Int./Div.	J	T	Buy	11/01/19	J		
123. ISHARES TRUST CORE MSCI ETF IEFA	A	Int./Div.	J	T	Buy	11/01/19	J		
124. ISHARES CORE MSCI EMERGING ETF IEMG	A	Int./Div.	J	T	Buy	11/01/19	J		
125. MFS New DIsc Value Fund NDVIX	A	Int./Div.	J	T	Buy	11/01/19	J		
126. Metropolitan West Total Ret MWTIX	A	Int./Div.	J	T	Buy	11/01/19	J		
127. Principal RE SEC Inst PIREX	A	Int./Div.	J	T	Buy	11/01/19	J		
128. Vanguard RE ETF VNQ	A	Int./Div.	J	T	Buy	11/01/19	J		
129. PIMCO Total ret. PTTNX	A	Int./Div.	J	T	Buy	11/1/19	K		
130. Am Cent Eq Inc ACIIX	A	Int./Div.			Sold	11/01/19	J	A	
131. Am Cent Sustainable AFDIX		None			Sold	11/01/19	J	A	
132. Blackrock High Yield BHYIX	A	Int./Div.	J	T	Sold (part)	11/01/19	J	A	
133. Blackrock Multi Asset BIICX	A	Int./Div.	J	T	Sold (part)	11/01/19	J	A	
134. Goldman Int'l Eq. GCITX		None			Sold	11/01/19	J		loss
135. Hartfod Mid Cap HFMIX		None			Sold	11/01/19	J	A	
136. Voya Strategic IISIX	A	Int./Div.	J	T	Sold (part)	11/01/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 20

Name of Person Reporting

Trust, Alan S.

Date of Report

09/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. IShares Core S&P IJH		None			Sold	11/01/19	J	A	
138. JP Morgan Growth JGASX		None			Sold	11/01/19	J	A	
139. MFS Intl Int. MINIX		None			Sold	11/01/19	J	B	
140. MFS MA Inv. MITIX	A	Int./Div.			Sold	11/01/19	K	D	
141. Trans Amer Bond TFXIX	A	Int./Div.			Sold	11/01/19	J	A	
142. DWS Golbal Infr TOLSX	A	Int./Div.			Sold	11/01/19	J	A	
143. Amer Century Ultra TWCUX		None			Sold	11/01/19	J	A	
144. Amer Cent Eq Inc. TWEIX	A	Int./Div.			Sold	11/01/19	J	A	
145. Loomis Sayles Gr. LSGRX	A	Int./Div.	L	T	Buy	11/1/19	L		
146. Emerald Growth FGROX		None	J	T	Buy	11/1/19	J		
147. Vanguard Sector VFH		None			Sold	11/01/19	J	A	
148. Vanguard Index MidCap VO		None			Sold	11/01/19	J	A	
149. Vanguard Index SP 500 VOO		None			Sold	11/01/19	J	A	
150. Vanguard Target 2030 VTHRX		None			Sold	11/01/19	J	A	
151. Vanguard Intl Value VTRIX		None			Sold	11/01/19	J	A	
152. Vanmguard Target 2025 VTTVX		None			Sold	11/01/19	J	A	
153. Vanguard Index Ext VXF		None			Sold	11/01/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 20

Name of Person Reporting

Trust, Alan S.

Date of Report

09/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. WP Carey WPC	A	Int./Div.			Sold	11/01/19	K	D	
155. Alliance Bernstein Disc Valaue (A IRA)	B	Int./Div.	K	T					
156. Mass Mutual (H)									
157. Mass Mutual Annuity -- MML Equity		None	J	T					
158. MML Opp Global Strategic Income		None	J	T					
159. Mass Mutual Annuity -- Opp Global fka Global Strategic		None	J	T					
160. Mass Mutual Annuity -- Opp Main Street Small Cap		None	K	T					
161. Mass Mutual Annuity -- Opp Main Street		None	K	T					
162. Mass Mutial Oppen Discovery Midcap		None	J	T					
163. MML US Gov't Money Market		None	J	T	Buy	02/04/19	J		
164. MML Managed Bond		None	J	T	Buy	02/04/19	J		
165. MML Blend		None	J	T	Buy	02/04/19	J		
166.									
167. SCHOLARS EDGE (H)									
168. Principal Blue Chip Portfolio		None	J	T					
169. Aggressive Portfolio fka 100		None	K	T	Sold (part)	1/2/19	J	A	
170.					Sold (part)	8/2/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Moderately Agressive Portfolio nfka 80		None			Sold (part)	1/2/19	J	A	
172.					Merged (with line 169)	8/2/19	J	A	
173. Moderate Portfolio fka 60		None	J	T	Sold (part)	1/2/19	J	A	
174.					Sold (part)	8/2/19	J	A	
175. Vanguard Mid Cap Indxe		None	J	T					
176. Principal SystematEx Int'l Portfolio		None	J	T					
177.									
178. Merrill Lynch (H)									
179. AMD		None			Sold	04/03/19	J	B	
180. AMZN		None	J	T	Sold (part)	12/27/19	J	A	
181. APPL	A	Int./Div.	J	T	Sold (part)	10/24/19	J	A	
182.					Sold (part)	12/13/19	J	B	
183. CUMMINS	A	Int./Div.			Sold	03/23/19	J		loss
184. CVS	A	Int./Div.	J	T					
185. DIS	A	Int./Div.	J	T					
186. FB		None	J	T	Sold (part)	01/02/19	J		loss
187.					Sold (part)	11/27/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 15 of 20

Name of Person Reporting

Trust, Alan S.

Date of Report

09/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.									
189. GOOGL		None	J	T					
190. GRPN		None	J	T	Buy	07/22/19	J		
191. NYT	A	Int./Div.	J	T					
192. NEKTAR		None			Sold	11/08/19	J		loss
193. NETFLIX		None			Sold (part)	4/1/19	J		loss
194.					Sold (part)	10/11/19	J		loss
195.					Sold	12/09/19	J	A	
196. ORCL	A	Int./Div.	J	T					
197. QUALCOMM	A	Int./Div.			Sold	5/2/19	J	B	
198. RIO	A	Int./Div.	J	T					
199. SIEGY	A	Int./Div.	J	T	Sold (part)	4/18/19	J		loss
200.					Sold (part)	10/15/19	J		loss
201. LUV	A	Int./Div.	J	T	Buy	4/8/19	J		
202.					Buy (add'l)	5/29/19	J		
203. NY 529 (H)									
204. Aggressive Growth Port.		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. Mid Cap Stock Port.		None	J	T					
206. Small Cap Stock Port.		None	J	T					
207. Growth port		None	J	T					
208. Mod Growth Port		None	J	T					
209. Conservative Growth		None			Merged (with line 204)	7/1/19	J		
210. MISC. ASSETS (H)									
211. Belt West Funding Corp raw land in Dallas County		None	J	U					
212. Royal McAbee universal life insurance		None	J	T					
213. State of Israel savings bond	A	Int./Div.	K	T					
214. Energy East	A	Int./Div.	J	T					
215. Justice Federal accounts	A	Int./Div.	K	T					
216. Wells Fargo checking acct	A	Interest	J	T					
217.									
218. US treasuries	A	Interest	K	T					
219.									
220. AMERICAN GENERAL	A	Int./Div.	J	T					
221. INVESCO-AL (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 17 of 20

Name of Person Reporting

Trust, Alan S.

Date of Report

09/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Oppenheimer Money Mkt	A	Int./Div.	J	T					
223. Opp Main Street MSIGX	C	Int./Div.	L	T	Sold (part)	3/11/19	K		rollover
224. Opp Discovery OPOCX	B	Int./Div.	K	T					
225. Opp Main St Mid Cap OPMSX	A	Int./Div.	K	T	Sold (part)	2/15/19	J		rollover
226. Opp Disc Mid Cap Gr (b) OEGAX	B	Int./Div.	K	T	Sold (part)	2/15/19	J		rollover
227.					Sold (part)	3/11/19	J		rollover
228.					Sold (part)	01/07/19	J	A	
229. Opp Equity Inc. OAEIX	A	Int./Div.	J	T	Sold (part)	2/15/19	J		rollover
230.					Sold (part)	3/11/19	J		rollover
231. Opp Rising Divs (b) OARDX	A	Int./Div.	J	T	Sold (part)	8/5/19	J		rollover
232. OFI Main St Small Cap OSCAX	A	Int./Div.	J	T	Sold (part)	2/15/19	J		rollover
233.					Sold (part)	3/11/19	J		rollover
234.					Sold (part)	06/21/19	J	A	
235. Opp Global Focus GLVAX	B	Int./Div.	J	T	Sold (part)	08/05/19	J		rollover
236. OFI Intl Small Mid OSMAX	A	Int./Div.	J	T	Sold (part)	8/5/19	J		rollover
237. OFI IntL; Diversity OIDAX	A	Int./Div.	J	T	Buy (add'l)	3/12/19	J		
238. OFI Port Series Growth OAAIX	A	Int./Div.	J	T	Sold (part)	2/15/19	J		rollover

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Sold (part)	3/11/19	J		rollover
240. OFI Cap App OPTFX		None			Sold (part)	2/15/19	J		rollover
241.					Sold (part)	3/11/19	J		rollover
242.					Sold	3/13/19	J		rollover
243. OFI Global Opp OPGIX (was B)	B	Int./Div.			Sold (part)	11/8/19	J	A	
244.					Sold (part)	11/15/19	J	A	
245.					Sold	11/27/19	J	A	
246. OFI Intl Growth OIGAX (was b)		None			Sold	3/12/19	J		rollover
247. OFI Steelpath MLP MLPFX	A	Int./Div.			Sold	2/21/19	J		rollover
248. Opp Global OPPAX (was b)	C	Int./Div.			Sold	2/15/19	J		rollover
249. Opp Intl Eq (L) QIVAX		None			Sold	3/20/19	J		rollover
250.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 20

Name of Person Reporting Trust, Alan S.	Date of Report 09/09/2020
---	---

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

Trust, Alan S.

Date of Report

09/09/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alan S. Trust**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544