

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Maze, Corey L.	2. Court or Organization US District Court, Northern District of Alabama	3. Date of Report 08/24/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 1129 Noble Street, Suite 212 Anniston AL 36201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Employee (Deputy Attorney General)	State of Alabama, Office of the Attorney General
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2003	State of Alabama, State employee pension
2. 2015	457 Retirement Plan with State of Alabama, managed by Great-West Equities
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	State of Alabama, employee income	\$96,961.78
2. 2019	self-employed, wages for stadium announcing (Huntingdon College)	\$315.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Wallace & Moody Realty, realtor commissions
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Wells Fargo	Mortgage on Rental Property, Montgomery AL (Part VII, Line 1)	L
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental Property, Montgomery AL (\$169,000)	D	Rent	M	V					
2. Retirement Systems of Alabama, employee pension	C	Dividend	M	T					
3. Great-West Equities, Deferred Compensation 457 Plan (H)									
4. --American Funds EuroPacific Gr R4	A	Int./Div.			Closed	09/04/19	K		
5. --TIAA-Cref International Ed Idx Retire	A	Int./Div.			Closed	09/04/19	J		
6. --Columbia Small Cap Index Instl	A	Int./Div.			Closed	09/04/19	J		
7. --Columbia Mid Cap Index Instl	A	Int./Div.			Closed	09/04/19	K		
8. --Wells Fargo Special Mid Cap Value Inst	A	Int./Div.			Closed	09/04/19	J		
9. --William Blair Small-Mid Cap Gr I	A	Int./Div.			Closed	09/04/19	K		
10. --BlackRock Equity Dividend Instl	B	Int./Div.			Closed	09/04/19	K		
11. --Columbia Large Cap Index Instl	B	Int./Div.			Closed	09/04/19	K		
12. --JPMorgan High Yield I	A	Int./Div.			Closed	09/04/19	J		
13. --Metropolitan West Total Return Bond I	A	Int./Div.			Closed	09/04/19	J		
14. --Alabama Stable Value Fund	A	Interest			Closed	09/04/19	J		
15. Suntrust Investment Services, Roth IRA (Cash Account)		None	J	T					
16. BBVA Compass, Checking Acct.	A	Interest	K	T					
17. BBVA Compass, Money Market Acct.	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 1: Value method "V (other)" based on sale price of home in 2020.

Part VIII, Lines 3-14: Great-West began managing my 457 plan in 2015. I do not have information regarding the initial agreement date or plan manager. All Great-West 457 Deferred Compensation proceeds rolled into federal Thrift Savings Plan in September 2019.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Corey L. Maze**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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