

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Wanslee, Madeleine C.	2. Court or Organization U.S. Bankruptcy Court - District of Arizona	3. Date of Report 08/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address US Bankruptcy Court Arizona 230 North First Ave. Phoenix AZ 85003-1727		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

08/11/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employed (Bankruptcy Trustee)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Board of Certification	April 11-14, 2019	Washington, DC	Panelist	Transportation, meals, lodging
2.	ABI Southwest Bankruptcy Conference	September 5-7, 2019	Las Vegas, NV	Panelist	Transportation, meals, lodging
3.	NCBJ	October 28-November 2, 2019	Washington, DC	Conference	Lodging
4.					
5.					

Name of Person Reporting	Date of Report
Wanslee, Madeleine C.	08/11/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Harris Bank (cash)	A	Interest	M	T					
3. First Credit Union (cash)	A	Interest	J	T					
4. Southwest Gas (SWX)	C	Dividend	M	T					
5. Rental Property #1, Phoenix, AZ	E	Rent	O	W					
6. Rental Property #2, Phoenix, AZ	D	Rent	M	W					
7. NANA Reigonal Corporation, Inc.	B	Int./Div.	J	T					
8. IRA #1 (H)									
9. Commonwealth Financial Bank Deposit Sweep Program (cash)	A	Interest	K	T					
10. Vanguard Balanced Index Admiral Discretion (VBIAX)	C	Dividend	N	T	Buy	01/11/19	K		
11.					Buy (add'l)	09/23/19	N		
12. Vanguard Short Term Bond Index (VBIRX)	B	Dividend	L	T					
13. Vanguard Total Bond Index (VBTLX)	B	Dividend	L	T					
14. Vanguard Total Int. Bond Index (VTABX)	B	Dividend	K	T					
15. i Shares Russell Mid Growth (IWP)	A	Dividend	L	T					
16. i Shares Russell Mid Cap Value (IWS)	B	Dividend	L	T					
17. i Shares Russell Growth 1000 (IWF)	C	Dividend	N	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. i Shares Russell Value 1000 (IWD)	D	Dividend	N	T					
19. Vanguard Real Estate ETF (VNQ)	B	Dividend	K	T					
20. i Shares Russel 2000 Growth (IWO)	A	Dividend			Sold	09/24/19	L		
21. I Shares Russell 2000 Value (IWN)	A	Dividend			Sold	09/24/19	K		
22. Vanguard Dev. Markets (VEA)	D	Dividend			Sold	09/24/19	M		
23. Vanguard All World US Small Cap (VSS)	A	Dividend			Sold	09/24/19	K		
24. Pimco High Yield Corp Bond (HYS)	A	Dividend			Sold	01/14/19	K		
25. IRA #2 (H)									
26. AT&T (T)	A	Dividend	J	T					
27. American Balanced Class A (ABALX)	A	Dividend	K	T	Buy (add'l)	09/23/19	K		
28. AF Growth Fund of America (AGTHX)	B	Dividend	K	T					
29. Columbia Large Cap Growth Fd III A (NFEAX)	C	Dividend	K	T					
30. Fidelity Mid Cap II Cl A (FIIAX)	A	Dividend	K	T					
31. Fidelity Mid Cap (FMPAX)	A	Dividend	K	T	Sold (part)	12/10/19	J		
32. Fidelity New Insight (FNIAX)	B	Dividend	K	T					
33. Invesco Equity and Income Fund Cl A (ACEIX)	A	Dividend	K	T	Buy	09/23/19	K		
34. Vanguard Balanced Index Admiral (VBIAX)	A	Dividend	K	T	Buy	09/23/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

08/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. AF Cap. World (CWGIX)	A	Dividend			Sold	09/23/19	K		
36. Carillon Eagle Small Cap Growth Fd A (HRSCX)		None			Sold	09/23/19	K		
37. Invesco Oppenheimer Global Opps (OPGIX)		None			Sold	09/23/19	K		
38. Invesco Oppenheimer Sm Mid (QVSCX)	A	Dividend			Sold	12/10/19	J		
39. IRA #3 (H)									
40. AF Growth fund of America (AGTHX)	D	Dividend	M	T					
41. Carillon Eagle Mid Cap (HAGAX) (Formerly HMCAX)	B	Dividend	M	T					
42. Columbia Large Cap Growth Fd III A (NFEAX)	B	Dividend	K	T					
43. Davis NY Venture (NYVTX)	D	Dividend	M	T					
44. Eaton Vance Atlantic Cap (EAASX)	D	Dividend	L	T					
45. Eaton Vance Div Builder (EVTMX)	C	Dividend	L	T					
46. Fidelity Mid Cap II Cl A (FIIAX)	A	Dividend	K	T					
47. Fidelity Mid Cap (FMPAX)	B	Dividend	M	T					
48. Fidelity New Insight (FNIAX)	E	Dividend	N	T					
49. Invesco Equity & Income (ACEIX)	D	Dividend	M	T					
50. Vanguard Balanced Index Admiral (VBIAX)	B	Dividend	N	T	Buy	09/23/19	N		
51. AF Cap. World (CWGIX)	A	Dividend			Sold	09/24/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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52. AF EuroPac (AEPGX)	A	Dividend			Sold	09/24/19	L		
53. Carillon Eagle Small Cap Growth Fd A (HRSCX)		None			Sold	09/24/19	K		
54. Fidelity Advisor Small Cap (FSCDX)		None			Sold	09/24/19	L		
55. Invesco Oppenheimer Dev Markets (ODMAX)		None			Sold	09/24/19	K		
56. Invesco Oppenheimer Int Bond (OIBAX)	A	Dividend			Sold	09/24/19	J		
57. IRA #4 (H)									
58. Vanguard Balanced Index Admiral (VBIAX)	A	Dividend	J	T	Buy	09/23/19	J		
59. iShares Russell MidCap Value ETF (IWS)	A	Dividend	J	T					
60. iShares Russell 1000 Growth ETF (IWF)	A	Dividend	J	T					
61. Vanguard FTSE Developed Mkts ETF (VEA)	A	Dividend			Sold	09/24/19	J		
62. AIG Sun America Variable Annuity (H)									
63. American Funds Global Growth Cl 3 (PGGAX)		None	K	T					
64. American Funds Growth & Income Cl 3 (GAIOX)		None	K	T					
65. JP Morgan Mid-Cap Growth Cl 3 (OSGIX)		None	L	T					
66. MFS Blue Chip Growth Cl 3 (MFEGX)		None	L	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
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T =Cash Market

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Name of Person Reporting	Date of Report
Wanslee, Madeleine C.	08/11/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

08/11/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Madeleine C. Wanslee**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544