

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Oxholm, Maria L.	2. Court or Organization U. S. Bankruptcy Court, E.D. Michigan	3. Date of Report 04/27/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge, active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
5b. ☒ Amended Report		
7. Chambers or Office Address 211 W. Fort Street Suite 1800 Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	William Booth Legal Aid Clinic
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Pension, Wayne County
2. 2019	Employment, Wayne County Prosecutor's Office
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Western District of Michigan Federal Bar Association	7/26/19 - 7/28/19	Thompsonville, Michigan	Moderator on Bankruptcy Panel	Lodging, Mileage and Meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Wayne State University	Tuition Agreements	None
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Dividend Reinvestmet:									
2. ABX	A	Dividend	J	T					
3. BAX	A	Dividend	J	T					
4. GE	A	Dividend	J	T					
5. IBM	A	Dividend	J	T					
6. BP (terminates 6/2020)	A	Dividend	J	T					
7. Shire PLC ADS					Distributed	01/08/19	J		
8. Tekeda	A	Dividend	J	T	Spinoff (from line 7)	01/08/19	J		
9.									
10. Prudential Guaranteed Income Fund Defined (Wayne Cnty-mlo)	B	Dividend	M	T					
11.									
12. SOM (mlo)									
13. 457 Plan American Fund Euro Pacific	A	Dividend	M	T					
14. 401K Plan:Black Rock Gov Short Term Fund	A	Dividend	N	T					
15.									
16. Voya Financial 457 Plan(Wayne Cnty Deferred mlo) Now Prudential GIF									
17. Fixed Account 457/401 cash	B	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. JP Morgan Large Cap Growth Fund (now CIR Berg)	A	Interest			Merged (with line 17)	08/01/19	J		
19.									
20. Voya Financial: pgb Now Prudential Guarateed Income Fund									
21. Fixed Account 457/401(Deferred)	C	Dividend	M	T					
22. Mass Mutual Financial Grp: 457 FICA Alt.Ret.Plan (deferred inc pgb)	A	Int./Div.	K	T					
23. Mass Mutual Cash-stable value-SF guaranteed	A	Int./Div.			Merged (with line 22)	08/01/19	K		
24.									
25. Columbia Select Interntnl. Equity Fund-Z (vjb)	A	Dividend	K	T					
26.									
27. Ameritrade IRA: (mlo)									
28. ADM	A	Dividend	J	T					
29. GE	A	Dividend	J	T					
30. Wabtec Corp(GE spinoff)	A	Dividend	J	T	Spinoff (from line 29)	02/26/19	J		
31.									
32. Public Service Credit Union:5 accounts	A	Interest	L	T					
33. Bank of America checking acct			J	W					
34. U of M Credit Union			J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35.									
36. Morgan Stanley Roth IRA:(pgb inherited)									
37. GE	A	Dividend	J	T					
38. Wabtec	A	Dividend	J	T	Spinoff (from line 37)	02/28/19	J		
39. LYG	A	Dividend	J	T					
40. CWGIX	A	Dividend	K	T					
41. AIVSX	A	Dividend	J	T					
42. AMRMX	A	Dividend	J	T					
43.									
44. Morgan Stanley Account:(JNT)									
45. cash account	A	Interest	J	W					
46. CPB	A	Dividend	J	T					
47. CNQ	A	Dividend	J	T					
48. CTVA	A	Dividend	J	T	Buy	11/21/19	J		
49. DD	A	Dividend	J	T	Buy	12/23/19	J		
50.					Buy (add'l)	12/26/19	J		
51. DOW	A	Dividend	J	T	Buy	06/28/19	J		

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52. GIS	A	Dividend	J	T					
53. GT	A	Dividend	J	T	Buy	08/08/19	J		
54. HP	A	Dividend	J	T	Buy	08/26/19	J		
55. KO	A	Dividend	J	T					
56. KHC	A	Dividend	J	T	Buy	02/22/19	J		
57.					Buy (add'l)	04/29/19	J		
58. PG	A	Dividend	J	T	Sold (part)	06/11/19	J	A	
59.					Sold (part)	11/21/19	J	A	
60. SLB	A	Dividend	J	T	Buy (add'l)	06/11/19	J		
61. SU	A	Dividend	J	T					
62. TECK	A	Dividend			Sold	06/11/19	J	A	
63. CCJ	A	Dividend	J	T					
64. QCOM	A	Dividend			Buy	02/08/19	J		
65.					Sold	04/17/19	J	C	
66. T	A	Dividend	J	T					
67. TRN	A	Dividend	J	T	Buy	08/08/19	J		
68. AIVSX	A	Dividend	J	T					

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69. CAIBX	A	Dividend	J	T					
70. CWGIX	A	Dividend	K	T					
71. AMRMX	B	Dividend	M	T					
72. FMITX	A	Dividend	J	T					
73. TESIX	A	Dividend	J	T					
74. Grand Rapids/Kent County Municipal Bond			J	T					
75. Kenoa Hills, Mi Mun Bond	A	Interest	K	T					
76. MS Bank Salt Lake City Utah CD	A	Interest			Redeemed	05/03/19	K	A	
77. First Rep Bank San Francisco CD (Matures 2019)	A	Interest			Redeemed	02/22/19	K	A	
78. Bank Hapoalim NY, NY CD (Matures 2019)	A	Interest			Redeemed	03/18/19	K	A	
79. Mountain One Bank North Adams MA CD (matures 2019)	A	Interest			Redeemed	08/26/19	J	A	
80. First Rep Bank San Francisco CD (Matures 2019)	A	Interest			Redeemed	08/09/19	K	A	
81. Beal Bank Tx CD (Matures 2019)	A	Interest			Redeemed	04/10/19	J	A	
82. Simmons Bank Ark CD (Matures 2019)	A	Interest			Redeemed	07/15/19	J	A	
83. US Bank NAT-Cinn. CD	A	Interest			Buy	02/22/19	J		
84.					Redeemed	11/27/19	J	A	
85. Bank of Boroda, NY,NY CD	A	Interest			Buy	03/18/19	J		

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86.					Redeemed	12/23/19	J	A	
87. Level 1 Bank CD, Farm. MI	A	Interest			Buy	03/18/19	J		
88.					Redeemed	06/28/19	J	A	
89. JP Morgan Chase CD	A	Interest			Buy	03/18/19	J		
90.					Redeemed	09/30/19	J	A	
91. BNY Mellon CD	A	Interest			Buy	04/10/19	J		
92.					Redeemed	10/15/19	J	A	
93. JP Morgan Chase CD	A	Interest			Buy	05/03/19	J		
94.					Redeemed	11/18/19	J	A	
95. Bank of China-NY CD			J	T	Buy	04/17/19	J		
96. Old National Bank CD-Evansville			J	T	Buy	05/03/19	J		
97. UMPQUA Bank Oregon CD			K	T	Buy	08/09/19	K		
98. BMO Harris Bank CD-Chicago			J	U	Buy	08/26/19	J		
99. Zions Bank Corp CD-Salt Lake City			J	T	Buy	10/01/19	J		
100. Wells Fargo Bank CD-Sioux Falls			J	T	Buy	10/16/19	J		
101. Godman Sachs NY CD			J	T	Buy	11/21/19	J		
102.									

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103. Farmers New World Life Ins.(whole)		None	J	U					
104.									
105. Morgan Stanley: Cash Account (flb)(Y)									
106.									
107. Ameriprise Account #1(JNT)									
108. cash account	A	Interest	J	W					
109. ABB	A	Dividend	J	T					
110. ADM	A	Dividend	K	T					
111. BP	A	Dividend	J	T					
112. CPB	A	Dividend	J	T					
113. EMR	A	Dividend	K	T					
114. FLR	A	Dividend	J	T					
115. XOM	A	Dividend	K	T					
116. GE	A	Dividend			Sold	08/15/19	J	A	
117. Wabtec Carp	A	Dividend	J	T	Spinoff (from line 116)	02/28/19	J		
118. GIS	A	Dividend	J	T			J		
119. GSK	B	Dividend	K	T					

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120. HP	A	Dividend	J	T					
121. KO	A	Dividend	J	T					
122. NSC	A	Dividend			Sold	11/22/19	K	D	
123. SLB	A	Dividend	J	T					
124. SNY	A	Dividend	J	T					
125. TRP(now TCEnergy)	A	Dividend	J	T					
126. VZ	A	Dividend	J	T					
127. Capital One VA CD (Matures 3/5/19)	A	Interest			Redeemed	03/05/19	J	A	
128. Hancock Whitney Bank-MS CD (Matures 6/5/19)	A	Interest			Redeemed	06/05/19	J	A	
129. Citizens Bank CD	A	Interest			Buy	06/05/19	J		
130.					Redeemed	12/12/19	J	A	
131. Open Bank CD			K	T	Buy	12/23/19	K		
132. UMPQUA CD Roseburg, Oregon			K	T	Buy	10/29/19	K		
133. Zion Bank Corp			K	T	Buy	11/27/19	K		
134.									
135. Ameriprise Account #2 (cgb)(Y)									
136. CLF	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Oxholm, Maria L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Ameriprise Roth IRA #1(cgb)(Y)									
138. BAC	A	Dividend	J	T					
139. NTR	A	Dividend	J	T					
140. GE	A	Distribution	J	T					
141.									
142. Ameriprise Roth IRA #2(mlo)									
143. ADM	A	Dividend	J	T					
144. GE	A	Dividend			Sold	08/15/19	J	A	
145. Wabtec Corp	A	Dividend			Spinoff (from line 144)	02/26/19	J		
146.					Sold	08/16/19	J	A	
147. FLR	A	Dividend	J	T	Buy	08/16/19	J		
148.									
149. Ameriprise Roth IRA#3:(pgb)									
150. ABB	A	Dividend	J	T					
151. ADM	A	Dividend	J	T					
152. DWDP-(2019 co split to dow,dd, ctva)	A	Dividend			Distributed	05/06/19	J		
153. DOW	A	Dividend	J	T	Spinoff (from line 152)	05/06/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	08/07/19	J		
155.					Buy (add'l)	08/16/19	J		
156. DD	A	Dividend	J	T	Spinoff (from line 152)	05/06/19	J		
157.					Buy (add'l)	05/07/19	J		
158. CTVA	A	Dividend			Spinoff (from line 152)	05/06/19	J		
159.					Sold	08/07/19	J	A	
160. GE	A	Dividend			Sold	08/15/19	J	A	
161. Wabtec Corp	A	Dividend			Spinoff (from line 160)	02/26/19	J		
162.					Sold	08/07/19	J	A	
163. GIS	A	Dividend			Sold	02/25/19	J	A	
164. FLR	A	Dividend	J	T	Buy (add'l)	08/16/19	J		
165. HP	A	Dividend	J	T					
166. KHC	A	Dividend	J	T	Buy	02/28/19	J		
167.					Buy (add'l)	08/16/19	J		
168.					Buy (add'l)	10/16/19	J		
169. RDS-A	A	Dividend	J	T					
170. SLB	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Oxholm, Maria L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

171. T	A	Dividend	J	T					
172.									
173. American Century Investments IRA:(pgb)									
174. TWGGX	C	Distribution	K	T					
175. BGEIX	A	Dividend	J	T					
176. BEQGX	A	Distribution	J	T					
177.									
178. Morgan Stanley: (cgb)(Y)									
179. American Century Investment Account #2 : TWGGX (cgb--Morgan Stan)	C	Dividend	K	T					
180. American Funds: New Perspective Fund- ANWPX (Morg Stanley--cgb)	A	Dividend	J	T					
181. American Funds: American Mutual Fund(AMRMX) (Morgan Stan--cgb)	A	Dividend	J	T					
182. Cash Account	A	Interest	J	W					
183. Branch B&T Co CD North Carolina			J	T	Buy	11/01/19	J		
184. Bank of North China NYC-CD	A	Interest			Buy	04/10/19	J		
185.					Redeemed	10/28/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting Oxholm, Maria L.	Date of Report 04/27/2021
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Oxholm, Maria L.

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04/27/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Maria L. Oxholm**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544