

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Melgren, Eric F.	2. Court or Organization U.S. District Court, D. Kansas	3. Date of Report 08/18/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Hon. Eric F. Melgren United States Courthouse #414 401 N. Market Wichita, Kansas 67202		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Advisory Board, Cohen Honors College, Wichita State University
2. Board Member	Tenth Circuit Historical Society
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Department of Education	Student Loan (for son)	K
2.	Rose Hill Bank	HELOC Guaranty	K
3.	Bank of America	Credit Card	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America IRA (cash eqv)	A	Interest	J	T					
2. Axa Equitable Variable Life Insurance EQ Money Market		None	J	T					
3. Elara interval vacation property, Las Vegas, NV		None	L	W					
4. Breakers of Ft. Lauderdale, FL interval vacation property		None	J	W					
5. SEI American AMCAP Fund	A	Int./Div.			Sold (part)	02/15/19	J		
6.					Sold	03/25/19	K		
7. SEI American EuroPacific Growth Fund	A	Int./Div.	K	T					
8. SEI American - The Growth Fund of America	A	Int./Div.	K	T	Buy (add'l)	12/20/19	J		
9. SEI Fidelity Advisor International Capital Appreciation Fund	A	Int./Div.	K	T	Sold (part)	09/23/19	J		
10. SEI Global X Copper Miners	A	Int./Div.	K	T	Buy	02/15/19	J		
11.					Sold (part)	04/08/19	J		
12.					Buy (add'l)	09/23/19	J		
13. SEI Government Fund SEOXX	A	Interest	J	T	Buy (add'l)	02/19/19	J		
14.					Buy (add'l)	04/10/19	K		
15.					Sold (part)	04/11/19	K		
16.					Buy (add'l)	09/24/19	J		
17.					Sold (part)	09/25/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. SEI Invesco Russell 1000 Momentum Factor ETV	A	Int./Div.	K	T					
19. SEI Invesco Russell 1000 Yield Factor	A	Int./Div.	K	T	Buy	04/08/19	K		
20. SEI Invesco Oppenheimer Rochester High Yield Municipal Fund	B	Int./Div.	K	T	Sold (part)	03/25/19	J		
21. SEI Invesco Oppenheimer Senior Floating Rate Fund	A	Int./Div.	K	T					
22. SEI iShares Core S&P Small Cap EFT	A	Int./Div.	K	T	Buy (add'l)	03/22/19	J		
23. SEI Oppenheimer Global Opportunities	A	Int./Div.			Sold	03/25/19	K		
24. SEI Vanguard Consumer Staples	A	Int./Div.	K	T	Buy	03/22/19	L		
25.					Sold (part)	04/08/19	K		
26.					Sold (part)	09/23/19	J		
27. SEI Vanguard Mid-Cap Index Fund	A	Int./Div.	K	T	Buy (add'l)	04/09/19	K		
28.					Sold (part)	04/09/19	K		
29.									
30.									
31.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I have reorded the SEI innvestment funds from how they originally appeared, to an alphabetical listing.

The fund listed on Line 8, American-The Growth Fund of America, was erroneously listed last year as just "The Growth Fund of America." Also, "Buy (add'l) listed for 12/20/19 was a capital gains distributiion reinvested.

The fund listed on Line 18, Invesco Russell 1000 Momentum Factor, was formed on 5/28/19 in a 1-1 conversion from Oppenheimer Russell 1000 Momentum Factor, which was listed on last year's report.

The fund listed on Line 20, Invesco Oppenheimer Rochester High Yield Municipal Fund, was formed on 5/28/29 in a 1-1 conversion from Oppenheimer Rochester High Yield Management Fund, which was listed on last year's report.

The fund listed on Line 21, Invesco Oppenheimer Senior Floating Rate Fund, was formed on 5/28/19 in a 1-1 conversion from Oppenheimer Senior Floating Rate Fund, which was listed last year.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Eric F. Melgren**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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