

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wolle, Charles R.	2. Court or Organization U.S. Distric Court SDIA	3. Date of Report 07/28/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 401 U.S. Courthouse 130 East Court Street Des Moines, IA 50309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Wolle, Charles R.

Date of Report

07/28/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Wolle, Charles R.	07/28/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Merril Lynch IRA (H)									
2. -Blackrock Global Fund	A	Dividend	K	T					
3. -Blackrock Govt Inc. Fund	A	Dividend	J	T					
4. -General Growth Properties	A	Dividend	J	T					
5.									
6. Merrill Lynch Rollover IRA (H)									
7. -Berkshire Hath B Shares	A	Dividend	L	T					
8. -New Ireland Fund	A	Dividend	L	T					
9. -Progressive Ret Fund	A	Dividend	J	T					
10. -General Elec. Common	A	Dividend	J	T					
11. -Walmart Common	A	Dividend	J	T					
12. -Merryl Lynch Bank USA	A	Dividend	J	T					
13. -Fidelity Adv Balanced Mut Fund	A	Dividend	J	T					
14. -United Parcel Common	A	Dividend	J	T					
15. -Accenture Ltd CLA	A	Dividend	J	T					
16. -Franklin Natural Resources	A	Dividend	J	T					
17.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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NONE (No reportable income, assets, or transactions.)

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18. Vanguard Cust IRA rollover (H)									
19. -Vanguard 500 Index Fund	A	Dividend	K	T					
20. -Vanguard Emerging Mkts	A	Dividend	L	T					
21. -Vanguard European Fund	A	Dividend	K	T					
22. -Vanguard Pacific Stock Index	A	Dividend	K	T					
23.									
24.									
25. Merrill Lynch IRA II (H)									
26. -American Income Fund of America	A	Dividend	K	T					
27.									
28. Merrill Lynch IRA I (H)									
29. -iShares FTSE HK China 25 Index Fund	A	Dividend	J	T					
30. -Paychex Inc	A	Dividend	J	T					
31. -United Tech Corp Common	A	Dividend	J	T					
32.									
33. Fidelity Investmenst Acct (H)									
34. Berkshire Hathaway Common	A	Dividend	J	T					

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35. Intl Game Tech Common	A	Dividend	J	T					
36. Tortoise MLP & Pipeline Fund	A	Dividend	K	T					
37. Malox	A	Dividend	K	T					
38. Van Eck Vectors High Yield Municipal ETF (HYD)	A	Dividend	K	T					
39. Investco Power Shares National AMT - Free MuniBd ETF (PZA)	A	Dividend	K	T					
40. SPDR Nuveen Bloomberg Barclays Municipal Bond ETF (TFI)	A	Dividend	K	T					
41. SPDR Muni Bond ETF	A	Dividend	K	T					
42.									
43. IRA FBO (H)									
44. iShares 1-3 yr Bond ETF	A	Dividend	J	T					
45.									
46. IRA -WL (H)									
47. Consumer Staples SPDR	A	Dividend	J	T					
48. Guggenheim S&P 500 Equal Weight ETF (RSP)	A	Dividend	K	T					
49. iShares MSCI ETF	A	Dividend	J	T					
50. Dreyfus Cash Mgt Sh	A	Dividend	J	T					
51.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) As with my 2014 - 2019 many of the investments in the Wolle living Trust and IRA for [REDACTED] and Charles R. Wolle are being managed by Wealth Architects and by Pershin LLC as advisor and custodian. Also by Merrill Lynch, Bank of American, and Wells Fargo banks.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles R. Wolle**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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