

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Walker, Susan R.	2. Court or Organization Middle District of Alabama	3. Date of Report 03/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address One Church Street Room 501-B Montgomery, Alabama		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Eckerd College, St. Petersburg, FL
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	U. S. Dept. of Education	Student Loan [REDACTED]	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Balch & Bingham (itnterest in partnership)					Redeemed (part)	04/30/19	K		
2. APCO Credit Union	A	Interest	J	T					
3. Regions Savings Account	A	Interest	K	T					
4. AbbVie Inc (ticker ABBV)	B	Dividend	K	T	Sold (part)	03/06/19	J	B	
5. Accenture Ltd Cl A (ticker ACN)	A	Dividend	K	T					
6. Alcon AG (ticker ALC)		None			Spinoff (from line 30)	04/09/19	J		
7.					Sold	07/15/19	J	B	
8. Alphabet Inc (formerly Google Inc Class) (ticker GOOGL)		None	K	T					
9. Amazon.Com (ticker AMZN)		None	K	T					
10. Anheuser Busch Co. Inc. (ticker BUD)	A	Dividend	J	T	Buy	03/06/19	J		
11. Apple Computer Inc (ticker AAPL)	A	Dividend	L	T					
12. Bank Sweep Shares (Cash Deposits), Charles Schwab &Co Inc	A	Interest	K	T					
13. Berkshire Hathaway Cl B (ticker BRKB)		None	J	T					
14. Carnival Corp (ticker CCL)	A	Dividend	K	T					
15. Caterpillar Inc. (ticker CAT)		None	K	T	Buy	10/21/19	K		
16. China Mobile Ltd Sp ADR (ticker CHL)	B	Dividend	K	T					
17. Cisco Systems Inc. (ticker CSCO)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Coca Cola Co. (ticker KO)	B	Dividend	K	T					
19. CVS Corp (ticker CVS)	A	Dividend	J	T					
20. Dominion Resources-Va (ticker D)	A	Dividend	J	T					
21. Exxon Mobil Corporation I (ticker XOM)	B	Dividend	K	T					
22. Intel Corp (ticker INTC)	B	Dividend	L	T					
23. Johnson & Johnson Com. (ticker JNJ)	B	Dividend	L	T	Sold (part)	09/18/19	J	B	
24. JP Morgan & Co Inc (ticker JPM)		None	K	T	Buy	10/21/19	K		
25. JPMorgan Ultra-Short Income ET (ticker JPST)	A	Dividend	K	T	Buy	10/21/19	K		
26. Kroger Co. (ticker KR)	A	Dividend	K	T					
27. Mcdonalds Corp. (ticker MCD)	A	Dividend	K	T					
28. Microsoft Corp (ticker MSFT)	B	Dividend	L	T					
29. Molson Coors Brewing Co C (ticker TAP)	A	Dividend	K	T					
30. Novartis A G Spon ADRF (ticker NVS)	B	Dividend	K	T					
31. Novo-Nordisk A/S ADR (ticker NVO)	A	Dividend	K	T					
32. Nvidia Corp (ticker NVDA)	A	Dividend	K	T	Buy	03/06/19	K		
33. Oracle Corporation (ticker ORCL)	A	Dividend	K	T	Sold (part)	03/06/19	J	B	
34. Pepsico Inc. (ticker PEP)	B	Dividend	K	T	Sold (part)	10/21/19	J	D	

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35. Pfizer Inc (ticker PFE)	A	Dividend	K	T					
36. Philip Morris Internation (ticker PM)	B	Dividend	K	T					
37. PIMCO Incm Cl D (ticker PONAX)	A	Dividend	J	T					
38. Schwab Value Advantage Money Market Fund (SWVXX)	A	Dividend	L	T	Buy (add'l)	10/07/19	M		
39.					Buy (add'l)	10/15/19	M		
40.					Sold (part)	10/21/19	M		
41.					Sold (part)	10/31/19	K		
42.					Sold (part)	12/30/19	K		
43. Southern Company (ticker SO)	B	Dividend	K	T					
44. Starbucks Corp (ticker SBUX)	A	Dividend	K	T					
45. Target (ticker TGT)	B	Dividend	K	T	Sold (part)	10/21/19	J	D	
46. TD Bank USA FDIC Insured Deposit (ticker IDA12)	A	Interest	J	T					
47. Union Pacific Corp (ticker UNP)	A	Dividend	K	T					
48. United Technologies Corp. (ticker UTX)	A	Dividend	K	T					
49. US Bancorp Del (ticker USB)	A	Dividend	K	T					
50. Vanguard High Dividend (ticker VYM)	A	Dividend	K	T	Buy	10/21/19	K		
51. Vanguard ShortTerm Treasury In (ticker VGSH)	A	Dividend	K	T	Buy	09/18/19	J		

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52.					Buy (add'l)	10/21/19	K		
53. Verizon Communications (ticker VZ)	A	Dividend	K	T					
54. Visa (ticker V)	A	Dividend	L	T					
55. Wal-Mart Stores Inc. (ticker WMT)	A	Dividend	K	T	Sold (part)	09/18/19	J	C	
56. Waste Management Inc. Del (ticker WM)	B	Dividend	L	T	Sold (part)	10/21/19	J	D	
57. Adobe Systems In 02/01/2020 4.75% [REDACTED]	B	Interest	K	T					
58. Alabama St REV 3/1/27 Callable Taxable [REDACTED]	B	Interest	K	T					
59. Allied World Assurn Co Hldgs S Enior Note Callable [REDACTED]	A	Interest	K	T					
60. Anheuser-Busch Inbev Fin Senior [REDACTED] [REDACTED]	A	Interest			Matured	02/01/19	K		
61. Apple Inc 05/06/2021 2.85% [REDACTED] [REDACTED]	A	Interest	K	T					
62. Apple Inc 08/04/2021 1.55% [REDACTED] [REDACTED]	A	Interest	K	T					
63. Bank Montreal Medium Term Sr Nts 01/25/2021 2% [REDACTED]	A	Interest	K	T					
64. Bk Nova Scotia Flt 2033F [REDACTED] [REDACTED]	A	Interest	J	T					
65. Bank West San Francisto Calif CD [REDACTED] [REDACTED]		None	K	T					
66. Barclays Bank [REDACTED]		None	K	T					
67. Berkshire Hathaway Inc Del 08/15/2021 3.75% [REDACTED]	B	Interest	K	T					
68. Buckeye Partners Lp Sr Un [REDACTED] [REDACTED]	A	Interest	K	T					

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69. Caterpillar Financial Svcs Corp Med Term Nts 05/15/2020 2.75% [REDACTED]	A	Interest	K	T					
70. Citibank N A Cd Cll Fx/Fl [REDACTED]		None	J	T					
71. Credit Suisse Ag Sr Med Term N Ote Index Lnk [REDACTED]	A	Interest			Matured	03/29/19	K	A	
72. Exxon Mobil Corp 08/15/2021 8.625% [REDACTED]	C	Interest	L	T					
73. Fed Hm Ln Mtg Co 06/30/2021 1.50% [REDACTED]	A	Interest			Matured	12/30/19	J	A	
74. Federal Home Ln 06/29/2020 1.75% [REDACTED]	A	Interest	K	T					
75. Goldman Sachs Bk Usa Ny C [REDACTED]	A	Interest	K	T					
76. Goldman Sachs Group Inc U [REDACTED]	A	Interest	K	T					
77. Hewlett Packard Co Sr Uns [REDACTED]	B	Interest	K	T					
78. HSBC Bank Usa Na Cd Cibl Stepp Ed 1.25%-3.125% [REDACTED]	A	Interest			Matured	11/12/19	J		
79. HSBC Bank Usa Na Cd Index [REDACTED]		None	K	T					
80. Jefferson & Madison Cntys Ida [REDACTED]	B	Interest	K	T					
81. John Deere Cap Senior Med Term [REDACTED]	B	Interest			Matured	10/09/19	K	A	
82. Johnson & Johnson 05/15/2021 3.55% [REDACTED]	A	Interest	K	T					
83. Jp Morgan Chase Bank Na [REDACTED]	B	Interest			Matured	03/29/19	K		
84. Jp Morgan Chase Bank Na Cd 0% [REDACTED]		None	K	T					
85. Jp Morgan Chase Bank Na Cd Ind Ex Lnk 0% [REDACTED]		None			Matured	08/30/19	K	C	

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86. Jp Morgan Chase Bank Na Cd Qtr [REDACTED]	B	Interest	K	T					
87. Lloyds Tsb Bank Plc Rge Accrl [REDACTED]	A	Interest			Matured	02/27/19	K	A	
88. Merck & Co., Inc [REDACTED]		None	K	T	Buy	12/30/19	K		
89. Microsoft Corp 08/08/2021 1.55% [REDACTED]	A	Interest	K	T					
90. Old Republic Intl Corp Sr Gbl [REDACTED]	A	Interest	K	T					
91. PENNSYLVANIA ST 5.85%30GO UTX DUE 7/15/30 TAXBL [REDACTED]	B	Interest	K	T					
92. Royal Bank Of Canada Sr Med Te Rm Note Index Lnk 8/3/21 [REDACTED]		None	K	T					
93. Sanofi S A 03/29/2021 4% [REDACTED]	B	Interest	K	T					
94. Societe Gen Var 2033F [REDACTED]		None	K	T					
95. Toyota Motor Credit Sr Unsecur [REDACTED]	B	Interest			Matured	09/19/19	K	A	
96. US TREASURY NT 3.625% DUE 08/15/19 [REDACTED]	B	Interest			Matured	08/15/19	K		
97. The Home Depot [REDACTED]	A	Interest	K	T	Buy	04/09/19	K		
98. The Proctor & Gamble [REDACTED]		None	K	T	Buy	10/31/19	K		
99. Toyota Motor Credit [REDACTED]		None	K	T	Buy	12/30/19	K		
100. US TREASURY NT 1.375% DUE 10/31/20 [REDACTED]	A	Interest	K	T					
101. VERIZON COMMUNICA 2.6%22 DUE 05/15/22 [REDACTED]	A	Interest			Matured	08/15/19	K		
102. US Treasury Note [REDACTED]		None	K	T	Buy	10/31/19	K		

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103. US Treasury Note [REDACTED]		None	K	T	Buy	12/30/19	K		
104. WALMART INC. 3.125% DUE 06/23/21 [REDACTED]	A	Interest	K	T					
105. WELLS FARGO BK N A 21CD FDIC INS DUE 09/08/21 [REDACTED]		None	K	T					
106. WELLS FARGO 2.1% CD FDIC INS DUE 04/15/19 [REDACTED]	A	Interest			Matured	04/15/19	K		
107. WELLS FARGO CD FDIC INS DUE 06/07/22 [REDACTED]		None	K	T					
108. Western Union Sr Unsecrued M-W Callable [REDACTED]	B	Interest			Matured	12/27/19	K		
109. 401(k) Profit Sharing Plan (H)									
110. Stable Value (Wells Galliard) Cash Fund	A	Interest	K	T					
111.									
112.									
113.									
114.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Walker, Susan R.

Date of Report

03/15/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Walker, Susan R.

Date of Report

03/15/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Susan R. Walker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544