

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shodeen, Anita L.	2. Court or Organization Bankruptcy Southern Iowa	3. Date of Report 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Federal Courthouse Annex 110 East Court Avenue, Suite 447 Des Moines, IA 50309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2011	Drake University Law School Speaker fee \$900 lump sum payment (approved by Circuit Chief Judge 10-10-2011)
2. 2011	Successor panel trustee and Beving, Swanson & Forrest, P.C. for percentage fees paid upon collection related to work completed prior to appointment.
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Beving, Swanson & Forrest, P.C.	\$8,872.00
2. 2011	Drake University Law School speaker fee	\$900.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Des Moines University salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	10/11/2011 - 10/15/2011	Tampa, FL	Annual Meeting	Hotel ,transportation and meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	credit card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	A	Dividend	J	T					
2. -Vanguard Inflation Protected Securities Fund	A	Dividend	J	T					
3. IRA#2	A	Dividend	K	T					
4. -Vanguard REIT Index	A	Dividend	K	T					
5. Rollover IRA #1	C	Dividend	L	T					
6. -Vanguard Pacific Stock Index	A	Dividend	K	T					
7. -Vanguard European Stock Index	A	Dividend	K	T					
8. -Vanguard REIT Index	B	Dividend	K	T					
9. Rollover IRA #2	A	Dividend	K	T					
10. -Vanguard REIT Index	A	Dividend	J	T					
11. Rollover IRA #3	C	Dividend	M	T					
12. -Vanguard Energy Fund	C	Dividend	L	T					
13. -Vanguard Inflation Protected Securities Fund	A	Dividend	K	T					
14. Equitable 403(b)	A	Dividend	N	T					
15. -EQ Equity 500 Index	A	Dividend	M						
16. -EQ Small Company Index	A	Dividend	M						
17. -EQ Gameco Small Company Value	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Multi Manager Core Bond	A	Dividend	K	T					
19. -EQ Global Bond	A	Dividend	K	T					
20. TIAA-CREF 403(b)	A	Dividend	M	T					
21. -CREF Bond Market	A	Dividend	K	T					
22. CREF Equity Index	A	Dividend	L	T					
23. Vanguard Prime Money Market	A	Dividend	J	T					
24. Uniform Gift to Minor Act #1	B	Dividend	L	T					
25. -Vanguard Total Stock Market Index	A	Dividend	J	T	Sold (part)	12/28/11	J		
26. Uniform Gift to Minor Act #2	A	Dividend	K	T					
27. -Vanguard Pacific Stock Index Fund	A	Dividend	J	T					
28. -Vanguard European Stock Index Fund	A	Dividend	J	T					
29. -Vanguard Total Stock Market Index	A	Dividend	K	T					
30. -Vanguard Small Cap Val. Index	A	Dividend	J	T					
31. Wells Fargo Bank (accounts)	A	Interest	K	T					
32. IRA #3	A	Dividend	K	T					
33. -Vanguard Precious Metals & Mining Fund	A	Dividend	K	T					
34. -Vanguard Total International Stock Index Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. SEP-IRA	D	Dividend	N	T					
36. -Vanguard Total International Stock Index	C	Dividend	L	T	Buy (add'l)	01/4/11	K		
37. -Vanguard Inflation Protected Securities	B	Dividend	K	T					
38. -Vanguard International Explorer	C	Dividend	L	T	Sold (part)	01/04/11	J		
39. -Vanguard Emerging Markets Stock Index	B	Dividend	L	T	Sold (part)	01/04/11	J		
40. -Vanguard Total Bond Market Index	C	Dividend	M	T		12/31/11			
41. Vanguard Taxable Joint Account	A	Int./Div.	K	T					
42. -Total International Stock	A		J		Buy (add'l)		J		
43. -Total Stock	A		J		Buy (add'l)		J		
44. -Intermediate Tax Exempt	A		J		Buy (add'l)		J		
45. -Limited Term Tax Exempt	A				Buy (add'l)		J		
46. -Vanguard Prime Money Market	A	Int./Div.	J	T	Sold (part)	5/20/11	J		
47. John Hancock Life Insurance Company	A	Interest	J	T					
48. SEP IRA #2 Vanguard Total Stk Mkt. Ind.	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III (A)(1) Non-Investment Income

Payment is made directly to my former law firm, Beving, Swanson & Forrest, P.C.. Based upon an agreement in place with Beving, Swanson & Forrest, P.C. I then receive a percentage of collected amounts that are reported on a 1099 tax form at year end.

VII. Investments and Trusts

25. TIAA-CREF 403(b) This account is [REDACTED] retirement vehicle through [REDACTED] employment. A standardized amount is contributed on a regular monthly basis. The totals at this entry are aggregated and noted as being purchased at year end.

46. Vanguard Taxable Joint Account Additions to this account, and those listed within this account have been made on a monthly basis in varying amounts during the year. The totals at this entry are aggregated at line 46 and noted as being purchased at year end on the entry for the main account. Amounts of purchases are itemized at each individual account on lines 42 through 45 in the total amount of all transactions within the specific account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Anita L. Shodeen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544