

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                            |                                                                                                                                                                                                                                        |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Ginsburg, Douglas H.                                                                                                  | <b>2. Court or Organization</b><br><br>D.C. Circuit                                                                                                                                                                                    | <b>3. Date of Report</b><br><br>08/12/2020                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Circuit Judge (senior)                                         | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2019<br>to<br>12/31/2019 |
|                                                                                                                                                                                            | <b>5b.</b> <input type="checkbox"/> Amended Report                                                                                                                                                                                     |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>U.S. Courthouse<br>333 Constitution Avenue, NW<br>Washington, DC 20001                                                                         |                                                                                                                                                                                                                                        |                                                                  |
| <b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i> |                                                                                                                                                                                                                                        |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|                     |                                                   |
|---------------------|---------------------------------------------------|
| 1. Professor of Law | George Mason University Antonin Scalia Law School |
| 2.                  |                                                   |
| 3.                  |                                                   |
| 4.                  |                                                   |
| 5.                  |                                                   |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/12/2020

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                       | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|----------------------------------------------|----------------------------------------|
| 1. 2019     | George Mason University Foundation, Teaching | \$361,536.00                           |
| 2.          |                                              |                                        |
| 3.          |                                              |                                        |
| 4.          |                                              |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                   |
|-------------|------------------------------------------|
| 1. 2019     | Self Employed find raiser for non profit |
| 2.          |                                          |
| 3.          |                                          |
| 4.          |                                          |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

|    | <u>SOURCE</u>                           | <u>DATES</u>          | <u>LOCATION</u>     | <u>PURPOSE</u>           | <u>ITEMS PAID OR PROVIDED</u>                           |
|----|-----------------------------------------|-----------------------|---------------------|--------------------------|---------------------------------------------------------|
| 1. | The Federalist Society                  | 1/17/2019 - 1/21/2019 | West Palm Beach, FL | Professional Association | Travel related expenses, transportation & food          |
| 2. | Free to Choose Network Educational T.V. | 1/24/2019 - 1/28/2019 | West Palm Beach, FL | Meeting                  | Travel related expenses, transportation & food          |
| 3. | Free to Choose Network Educational T.V. | 1/31/2019-2/4/2019    | St. Louis, MO       | Meeting                  | Travel related expenses, transportation & food          |
| 4. | Free to Choose Network Educational T.V. | 2/7/2019 - 2/10/2019  | West Palm Beach, FL | Meeting                  | Travel related expenses, transportation & food          |
| 5. | Free to Choose Network Educational T.V. | 3/5/2019 - 3/6/2019   | Naples, FL          | Meeting                  | Travel related expenses, transportation, food & lodging |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ginsburg, Douglas H.

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|     |                                              |                         |                                     |                          |                                                         |
|-----|----------------------------------------------|-------------------------|-------------------------------------|--------------------------|---------------------------------------------------------|
| 6.  | ATLAS Network/CATO Institute                 | 3/10/2019 - 3/18/2019   | West Palm Beach, FL                 | Meeting                  | Travel related expenses, transportation, food & lodging |
| 7.  | Free to Choose Network Educational T.V.      | 3/28/2019 - 4/1/2019    | West Palm Beach, FL                 | Meeting                  | Travel related expenses, transportation & food          |
| 8.  | Free to Choose Network Educational T.V.      | 4/11/2019 - 4/15/2019   | West Palm Beach, FL                 | Meeting                  | Travel related expenses, transportation & food          |
| 9.  | Free to Choose Network Educational T.V.      | 4/30/2019 - 5/2/2019    | St. Louis, MO                       | Meeting                  | Travel related expenses, transportation & food          |
| 10. | George Mason Univ. Antonin Scalia Law School | 5/8/2019 - 5/18/2019    | Cartagena, Columbia                 | Teaching                 | Travel related expenses, transportation, food & lodging |
| 11. | George Mason Univ. Antonin Scalia Law School | 5/25/2019 - 5/31/2019   | Lisbon, Portugal                    | Teaching                 | Travel related expenses, transportation, food & lodging |
| 12. | George Mason Univ. Antonin Scalia Law School | 6/17/2019 - 6/19/2019   | London & Luxembourg, United Kingdom | Teaching                 | Travel related expenses, transportation, food & lodging |
| 13. | George Mason Univ. Antonin Scalia Law School | 6/23/2019 - 6/28/2019   | Los Angeles, CA                     | Teaching                 | Travel related expenses, transportation, food & lodging |
| 14. | George Mason Univ. Antonin Scalia Law School | 7/9/2019 - 7/9/2019     | New York, NY                        | Teaching                 | Travel related expenses, transportation & food          |
| 15. | Free to Choose Network Educational T.V.      | 7/17/2019 - 7/20/2019   | Las Vegas, NV                       | Meeting                  | Travel related expenses, transportation, food & lodging |
| 16. | George Mason Univ. Antonin Scalia Law School | 9/12/2019 - 9/14/2019   | Kona, HI                            | Teaching                 | Travel related expenses, transportation, food & lodging |
| 17. | New York State Bar Association               | 9/27/2019 - 9/29/2019   | New York, NY                        | Professional Association | Travel related expenses, transportation & food          |
| 18. | Free to Choose Network Educational T.V.      | 10/2/2019 - 10/3/2019   | Kansas City, MO                     | Meeting                  | Travel related expenses, transportation, food & lodging |
| 19. | The Federalist Society                       | 10/24/2019 - 10/27/2019 | New York, NY                        | Professional Association | Travel related expenses, transportation & food          |
| 20. | Atlas Network                                | 11/5/2019 - 11/10/2019  | New York, NY                        | Meeting                  | Travel related expenses, transportation & food          |
| 21. | George Mason Univ. Antonin Scalia Law School | 11/17/2019 - 11/22/2019 | Beijing, China                      | Teaching                 | Travel related expenses, transportation, food & lodging |
| 22. | George Mason Univ. Antonin Scalia Law School | 12/18/2019 - 12/19/2019 | New York, NY                        | Teaching                 | Travel related expenses, transportation & food          |
| 23. |                                              |                         |                                     |                          |                                                         |
| 24. |                                              |                         |                                     |                          |                                                         |
| 25. |                                              |                         |                                     |                          |                                                         |
| 26. |                                              |                         |                                     |                          |                                                         |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Ginsburg, Douglas H.     | 08/12/2020     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. TIAA Traditional                                                                                                        | D                                      | Interest                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 2. CREF Equity Index R3                                                                                                    | C                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 3. DSG TR WB 10323 (H)                                                                                                     |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 4. FDRXX                                                                                                                   | D                                      | Int./Div.                              | O                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 5. CVX                                                                                                                     | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 6. ECL                                                                                                                     | C                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 7. EPD                                                                                                                     |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 8. FAST                                                                                                                    | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 9. SYK                                                                                                                     | C                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 10. KMX                                                                                                                    |                                        | None                                   | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 11. SMG                                                                                                                    | D                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 12. SBUX                                                                                                                   | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 13. IDXX                                                                                                                   |                                        | None                                   | O                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 14. CHD                                                                                                                    | C                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 15. C                                                                                                                      | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 16. ALGN                                                                                                                   |                                        | None                                   | O                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 17. FTV                                                                                                                    | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. GOOG                                                                                                                   |                                        | None                                   | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 19. AXP                                                                                                                    | C                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 20. MSFT                                                                                                                   | D                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 21. TYL                                                                                                                    |                                        | None                                   | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 22. MMP                                                                                                                    |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 23. AMZN                                                                                                                   |                                        | None                                   | O                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 24. DHR                                                                                                                    | B                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 25. V                                                                                                                      | C                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 26. RPD                                                                                                                    |                                        | None                                   | L                                              | T                                  | Sold<br>(part)                            | 08/07/19         | L                        | E                       |                                                            |
| 27. WDAY                                                                                                                   |                                        | None                                   | M                                              | T                                  | Sold<br>(part)                            | 08/07/19         | L                        | E                       |                                                            |
| 28. JNJ                                                                                                                    | C                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 02/21/19         | L                        |                         |                                                            |
| 29. RP                                                                                                                     |                                        | None                                   | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 30. XOM                                                                                                                    | E                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 31. T                                                                                                                      |                                        | None                                   | M                                              | T                                  | Buy                                       | 11/01/19         | M                        |                         |                                                            |
| 32. CCI                                                                                                                    | B                                      | Dividend                               | M                                              | T                                  | Buy                                       | 10/23/19         | M                        |                         |                                                            |
| 33. CONE(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 34. PEP                                                                                                                    |                                        | None                                   | M                                              | T                                  | Buy                                       | 10/23/19         | M                        |                         |                                                            |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
J =\$15,000 or less  
N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000  
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U =Book Value

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G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000  
L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000  
S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 35. PHR                                                                                                                    |                                        | None                                          | M                                              | T                                         | Buy                                             | 07/18/19                | M                               |                                |                                                                   |
| 36. SO                                                                                                                     | B                                      | Dividend                                      | M                                              | T                                         | Buy                                             | 10/23/19                | M                               |                                |                                                                   |
| 37. VZ                                                                                                                     | C                                      | Dividend                                      | M                                              | T                                         | Buy                                             | 02/21/19                | M                               |                                |                                                                   |
| 38. APD(X)                                                                                                                 | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 39. GOOGL(X)                                                                                                               |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 40. CONE(X)                                                                                                                | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 41. DFS(X)                                                                                                                 | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 42. DIS(X)                                                                                                                 |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 43. LULU(X)                                                                                                                |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 44. UPS(X)                                                                                                                 | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 45. YETI                                                                                                                   |                                        | None                                          | L                                              | T                                         | Buy                                             | 02/21/19                | L                               |                                |                                                                   |
| 46.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 08/07/19                | K                               | D                              |                                                                   |
| 47. BDX(X)                                                                                                                 |                                        | None                                          |                                                |                                           | Sold                                            | 08/07/19                | K                               | A                              |                                                                   |
| 48. CSCO                                                                                                                   | C                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/21/19                | M                               |                                |                                                                   |
| 49.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 12/10/19                | M                               |                                |                                                                   |
| 50. DLB(X)                                                                                                                 |                                        | None                                          |                                                |                                           | Sold                                            | 08/07/19                | J                               |                                |                                                                   |
| 51. KMPR(X)                                                                                                                | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 08/23/19                | J                               |                                |                                                                   |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
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T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/12/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. ROL                                                                                                                    | A                                      | Dividend                               |                                                |                                    | Buy                                       | 04/22/19         | M                        |                         |                                                            |
| 53.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 08/07/19         | M                        |                         |                                                            |
| 54. ULTA                                                                                                                   |                                        | None                                   |                                                |                                    | Buy                                       | 01/04/19         | M                        |                         |                                                            |
| 55.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 09/24/19         | M                        |                         |                                                            |
| 56. WBA(X)                                                                                                                 |                                        | None                                   |                                                |                                    | Sold                                      | 08/07/19         | J                        | C                       |                                                            |
| 57.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 58. BCG TR WB 8711 (H)                                                                                                     |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 59. MRK                                                                                                                    | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 60. RDS A                                                                                                                  | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 61. CHD                                                                                                                    | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 62. ECL                                                                                                                    | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 63. VCSH                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 64. MSFT                                                                                                                   | A                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 02/21/19         | J                        |                         |                                                            |
| 65. ALRM                                                                                                                   |                                        | None                                   |                                                |                                    | Buy<br>(add'l)                            | 02/21/19         | K                        |                         |                                                            |
| 66.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 12/10/19         | K                        |                         |                                                            |
| 67. AXP                                                                                                                    | A                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 02/21/19         | K                        |                         |                                                            |
| 68. CONE                                                                                                                   | B                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 02/21/19         | L                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. DHR                                                                                                                    | A                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 02/21/19         | K                        |                         |                                                            |
| 70. DLB                                                                                                                    | A                                      | Dividend                               |                                                |                                    | Sold                                      | 08/07/19         | K                        |                         |                                                            |
| 71. GSHD                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 72. RPD                                                                                                                    |                                        | None                                   | K                                              | T                                  | Sold<br>(part)                            | 08/07/19         | K                        | D                       |                                                            |
| 73. RHT                                                                                                                    |                                        | None                                   |                                                |                                    | Sold                                      | 07/09/19         | K                        | D                       |                                                            |
| 74. ROL                                                                                                                    | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 02/21/19         | J                        |                         |                                                            |
| 75.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 08/07/19         | K                        |                         |                                                            |
| 76. SYK                                                                                                                    | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 77. VEEV                                                                                                                   |                                        | None                                   | K                                              | T                                  | Sold<br>(part)                            | 08/07/19         | K                        | D                       |                                                            |
| 78. VRSK                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 79. WDAY                                                                                                                   |                                        | None                                   | K                                              | T                                  | Sold<br>(part)                            | 08/07/19         | J                        | C                       |                                                            |
| 80. ZTS                                                                                                                    | A                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 02/21/19         | K                        |                         |                                                            |
| 81. ALLY FIN 02005NAW0                                                                                                     | C                                      | Int./Div.                              |                                                |                                    | Sold                                      | 11/18/19         | L                        |                         |                                                            |
| 82. TENET 87243QAB2                                                                                                        | D                                      | Int./Div.                              |                                                |                                    | Sold                                      | 09/11/19         | M                        | C                       |                                                            |
| 83. ANIXTER 035287AE1                                                                                                      | D                                      | Int./Div.                              | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 84. TREEHOUSE 89469AAB0                                                                                                    | C                                      | Int./Div.                              | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 85. GOOG                                                                                                                   |                                        | None                                   | L                                              | T                                  | Buy                                       | 02/21/19         | K                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

Page 10 of 28

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/12/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. T                                                                                                                      |                                        | None                                          | K                                              | T                                         | Buy                                             | 11/01/19                | K                               |                                |                                                                   |
| 87. BLL                                                                                                                    | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 09/24/19                | L                               |                                |                                                                   |
| 88. BAC                                                                                                                    | A                                      | Dividend                                      | L                                              | T                                         | Buy                                             | 10/23/19                | L                               |                                |                                                                   |
| 89. BDX                                                                                                                    | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 02/21/19                | K                               |                                |                                                                   |
| 90. BKI                                                                                                                    |                                        | None                                          | L                                              | T                                         | Buy                                             | 07/11/19                | L                               |                                |                                                                   |
| 91. CCI                                                                                                                    | A                                      | Dividend                                      | L                                              | T                                         | Buy                                             | 10/23/19                | L                               |                                |                                                                   |
| 92. LIN                                                                                                                    | A                                      | Dividend                                      | L                                              | T                                         | Buy                                             | 10/23/19                | L                               |                                |                                                                   |
| 93. SBUX                                                                                                                   | A                                      | Dividend                                      | L                                              | T                                         | Buy                                             | 02/21/19                | K                               |                                |                                                                   |
| 94. VZ                                                                                                                     | D                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 02/21/19                | K                               |                                |                                                                   |
| 95. CNH 12592BAF1                                                                                                          | C                                      | Int./Div.                                     | M                                              | T                                         | Buy                                             | 02/21/19                | M                               |                                |                                                                   |
| 96. NETFLIX 64110LAJ5                                                                                                      | D                                      | Int./Div.                                     | M                                              | T                                         | Buy                                             | 02/21/19                | M                               |                                |                                                                   |
| 97. WYNDHAM 98310WAL2                                                                                                      |                                        | None                                          | M                                              | T                                         | Buy                                             | 11/20/19                | M                               |                                |                                                                   |
| 98. CSCO                                                                                                                   | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/21/19                | K                               |                                |                                                                   |
| 99.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 12/10/19                | K                               |                                |                                                                   |
| 100. KMPR                                                                                                                  | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/21/19                | K                               |                                |                                                                   |
| 101.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 08/23/19                | K                               |                                |                                                                   |
| 102. PSTG                                                                                                                  |                                        | None                                          |                                                |                                           | Buy                                             | 02/21/19                | K                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 07/11/19         | K                        |                         |                                                            |
| 104. ULTA                                                                                                                  |                                        | None                                   |                                                |                                    | Buy                                       | 01/04/19         | L                        |                         |                                                            |
| 105.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 09/24/19         | K                        |                         |                                                            |
| 106.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 107. DSG IRA WB 6657 (H)                                                                                                   |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 108. MO                                                                                                                    | D                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 109. PM                                                                                                                    | D                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 110. PEP                                                                                                                   | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 111. SYK                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 112. MRCC                                                                                                                  | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 113. AMZN                                                                                                                  |                                        | None                                   | M                                              | T                                  | Buy<br>(add'l)                            | 09/16/19         | K                        |                         |                                                            |
| 114. ALRM                                                                                                                  |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 115. GOOG                                                                                                                  |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 116. AXP                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 117. MSFT                                                                                                                  | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 118. RP                                                                                                                    |                                        | None                                   | J                                              | T                                  | Donated<br>(part)                         |                  |                          |                         |                                                            |
| 119. WDAY                                                                                                                  |                                        | None                                   | J                                              | T                                  | Sold<br>(part)                            | 08/07/19         | J                        | C                       |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120.                                                                                                                       |                                        |                                        |                                                |                                    | Donated<br>(part)                         |                  |                          |                         |                                                            |
| 121. BDX                                                                                                                   | A                                      | Dividend                               |                                                |                                    | Sold                                      | 08/07/19         | J                        |                         |                                                            |
| 122. CONE                                                                                                                  | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 123. FLT                                                                                                                   |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 124. GSHD                                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 125. JBHT                                                                                                                  | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/22/19         | K                        |                         |                                                            |
| 126. INXN                                                                                                                  |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 127. KMPR                                                                                                                  | A                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 04/22/19         | J                        |                         |                                                            |
| 128.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 08/23/19         | J                        |                         |                                                            |
| 129. ROL                                                                                                                   | A                                      | Dividend                               |                                                |                                    | Sold                                      | 08/07/19         | J                        |                         |                                                            |
| 130. ZTS                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 131. SMG                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 02/21/19         | J                        |                         |                                                            |
| 132. SSTI                                                                                                                  |                                        |                                        |                                                |                                    | Buy                                       | 04/22/19         | J                        |                         |                                                            |
| 133.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 08/07/19         | J                        |                         |                                                            |
| 134.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 135. DSG IRA WB 3696 (H)                                                                                                   |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 136. FDRXX                                                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. AMZN                                                                                                                  |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 138. DHR                                                                                                                   | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 02/21/19         | J                        |                         |                                                            |
| 139. ROL                                                                                                                   | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 02/21/19         | J                        |                         |                                                            |
| 140.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 141. DSG TR UW 3902 (H)                                                                                                    |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 142. BP                                                                                                                    | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 143. KO                                                                                                                    | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 144. SLB                                                                                                                   | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 145. DUK                                                                                                                   | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 146. EMR                                                                                                                   | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 147. NEE                                                                                                                   | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 148. MCD                                                                                                                   | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 149. ACN                                                                                                                   | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 150. CVX                                                                                                                   | C                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 151. CBSH                                                                                                                  | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 152. PAA                                                                                                                   |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 153. BA                                                                                                                    | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. HDSIX                                                                                                                 |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 155. FZBXX                                                                                                                 | A                                      | Interest                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 156. NKE                                                                                                                   | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 157. AMT                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 158. VNQ                                                                                                                   | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 159. NSC                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 160. HDV                                                                                                                   | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 161. CMCSA                                                                                                                 | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 162. CB                                                                                                                    | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 163. LOW                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 164. MDT                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 165. XLK                                                                                                                   | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 166. XLF                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 167. SYK                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 168. GOOG                                                                                                                  |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 169. DGRO                                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 170. CI                                                                                                                    |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. ENB                                                                                                                   | A                                      | Dividend                               |                                                |                                    | Sold                                      | 02/22/19         | J                        | B                       |                                                            |
| 172. ET                                                                                                                    |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 173. ICSH                                                                                                                  | D                                      | Dividend                               | N                                              | T                                  | Buy<br>(add'l)                            | 10/03/19         | M                        |                         |                                                            |
| 174. T                                                                                                                     | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 10/03/19         | K                        |                         |                                                            |
| 175. AVGO                                                                                                                  | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 10/03/19         | K                        |                         |                                                            |
| 176. DIS                                                                                                                   |                                        | None                                   | K                                              | T                                  | Buy                                       | 10/03/19         | K                        |                         |                                                            |
| 177. MSFT                                                                                                                  | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 10/03/19         | K                        |                         |                                                            |
| 178. LDLFX                                                                                                                 | A                                      | Dividend                               | L                                              | T                                  | Buy                                       | 10/03/19         | L                        |                         |                                                            |
| 179. IVV                                                                                                                   | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/03/19         | L                        |                         |                                                            |
| 180.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 181. DHG BLAIR IRA 6813 (H)                                                                                                |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 182. FDRXX                                                                                                                 | A                                      | Int./Div.                              | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 183. AMZN                                                                                                                  |                                        | None                                   | M                                              | T                                  | Sold<br>(part)                            | 02/05/19         | J                        | B                       |                                                            |
| 184. DHR                                                                                                                   | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 185. ECL                                                                                                                   | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 186. IDXX                                                                                                                  |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 187. SYK                                                                                                                   | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Ginsburg, Douglas H.     | 08/12/2020     |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. V                                                                                                                     | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 189. ALGN                                                                                                                  | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 190. GOOG                                                                                                                  | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 191. AXP                                                                                                                   | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 192. MSFT                                                                                                                  | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 193. RP                                                                                                                    |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 194. TYL                                                                                                                   |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 195. VEEV                                                                                                                  |                                        | None                                   | K                                              | T                                  | Sold<br>(part)                            | 08/06/19         | K                        | E                       |                                                            |
| 196.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/11/19         | J                        | B                       |                                                            |
| 197. WDAY                                                                                                                  |                                        | None                                   | K                                              | T                                  | Sold<br>(part)                            | 08/07/19         | K                        | D                       |                                                            |
| 198. ZTS                                                                                                                   | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 199. CSCO                                                                                                                  | B                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 11/04/19         | K                        | B                       |                                                            |
| 200. DLB                                                                                                                   | A                                      | Dividend                               |                                                |                                    | Sold                                      | 08/07/19         | K                        |                         |                                                            |
| 201. GRUB                                                                                                                  |                                        | None                                   |                                                |                                    | Sold                                      | 03/06/19         | J                        |                         |                                                            |
| 202. INXN                                                                                                                  |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 203. RPD                                                                                                                   |                                        | None                                   | K                                              | T                                  | Sold<br>(part)                            | 08/07/19         | J                        | D                       |                                                            |
| 204. ROL                                                                                                                   | A                                      | Dividend                               |                                                |                                    | Sold                                      | 08/07/19         | K                        |                         |                                                            |

1 Income Gain Codes: A =\$1,000 or less B =\$1,001 - \$2,500 C =\$2,501 - \$5,000 D =\$5,001 - \$15,000 E =\$15,001 - \$50,000  
(See Columns B1 and D4) F =\$50,001 - \$100,000 G =\$100,001 - \$1,000,000 H1 =\$1,000,001 - \$5,000,000 H2 =More than \$5,000,000  
2 Value Codes J =\$15,000 or less K =\$15,001 - \$50,000 L =\$50,001 - \$100,000 M =\$100,001 - \$250,000  
(See Columns C1 and D3) N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P1 =\$1,000,001 - \$5,000,000 P2 =\$5,000,001 - \$25,000,000  
P3 =\$25,000,001 - \$50,000,000 P4 =More than \$50,000,000  
3 Value Method Codes Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market  
(See Column C2) U =Book Value V =Other W =Estimated

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/12/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205. SIX                                                                                                                   | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/06/19                | K                               |                                |                                                                   |
| 206. VZ                                                                                                                    | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 207. CERN                                                                                                                  | C                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 12/12/19                | J                               |                                |                                                                   |
| 208. GENL MTRS 37045XBS4                                                                                                   |                                        | None                                          | L                                              | T                                         | Buy                                             | 09/04/19                | L                               |                                |                                                                   |
| 209. DAVITA 23918KAQ1                                                                                                      |                                        | None                                          | L                                              | T                                         | Buy                                             | 08/27/19                | L                               |                                |                                                                   |
| 210. ZAYO 989194AL9                                                                                                        | A                                      | Int./Div.                                     | K                                              | T                                         | Buy                                             | 08/27/19                | K                               |                                |                                                                   |
| 211. ULTA                                                                                                                  |                                        | None                                          |                                                |                                           | Buy                                             | 03/06/19                | K                               |                                |                                                                   |
| 212.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 09/24/19                | K                               |                                |                                                                   |
| 213.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 214. DMS FBO DSG UW 4857 (H)                                                                                               |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 215. FZBXX (X)                                                                                                             | B                                      | Int./Div.                                     | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 216. ACN (X)                                                                                                               | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 217. GOOG (X)                                                                                                              |                                        | None                                          | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 218. MO (X)                                                                                                                | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 219. AMT (X)                                                                                                               | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 220. T                                                                                                                     | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 10/07/19                | K                               |                                |                                                                   |
| 221. BA(X)                                                                                                                 | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/12/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 222. AVGO                                                                                                                  | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 10/07/19         | K                        |                         |                                                            |
| 223. CVX(X)                                                                                                                | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 224. CB(X)                                                                                                                 |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 225. CMCSA(X)                                                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 226. CBSH(X)                                                                                                               | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 227. COST(X)                                                                                                               | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 228. DIS                                                                                                                   |                                        | None                                   | K                                              | T                                  | Buy                                       | 10/07/19         | K                        |                         |                                                            |
| 229. DTE(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 230. DUK(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 231. EMR(X)                                                                                                                | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 232. ES(X)                                                                                                                 | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 233. XOM(X)                                                                                                                | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 234. FB(X)                                                                                                                 |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 235. HPQ(X)                                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 236. ITW(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 237. JPM(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 238. LOW(X)                                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/12/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 239. MCD(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 240. MDT(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 241. MSFT                                                                                                                  | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 10/07/19         | K                        |                         |                                                            |
| 242. NEE(X)                                                                                                                | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 243. NKE(X)                                                                                                                | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 244. NI(X)                                                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 245. PM(X)                                                                                                                 | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 246. PG(X)                                                                                                                 | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 247. CRM(X)                                                                                                                |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 248. SYK(K)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 249. USB(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 250. VZ(X)                                                                                                                 | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 251. WEC(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 252. XEL(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 253. 469404SD0(X)                                                                                                          |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 254. LDLFX                                                                                                                 | A                                      | Dividend                               | L                                              | T                                  | Buy                                       | 10/04/19         | K                        |                         |                                                            |
| 255. XLV(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 256. XLF(X)                                                                                                                | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 257. XLK(X)                                                                                                                | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 258. VIG(X)                                                                                                                | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 259. ICSH(X)                                                                                                               | A                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 260. VNQ(X)                                                                                                                | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 261.                                                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 262. DMS FAM TR FBO DSG WB 17121 (H)                                                                                       |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 263. FDRXX(X)                                                                                                              | A                                      | Int./Div.                                     | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 264. ALGN(X)                                                                                                               |                                        | None                                          | M                                              | T                                         | Sold<br>(part)                                  | 08/07/19                | K                               | E                              |                                                                   |
| 265. GOOG(X)                                                                                                               |                                        | None                                          | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 266. AMZN(X)                                                                                                               |                                        | None                                          | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 267. AXP(X)                                                                                                                | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 268. CHD(X)                                                                                                                | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 269. CONE(X)                                                                                                               | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 270. DHR(X)                                                                                                                | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 271. DFS(X)                                                                                                                | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 272. ECL(X)                                                                                                                | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ginsburg, Douglas H.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 273. FAST(X)                                                                                                               | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 274. FTV(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 275. IDXX(X)                                                                                                               |                                        | None                                   | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 276. MSFT(X)                                                                                                               | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 277. PEP(X)                                                                                                                | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 278. RPD(X)                                                                                                                |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 279. RP(X)                                                                                                                 |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 280. SMG(X)                                                                                                                | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 281. SBUX(X)                                                                                                               | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 282. SYK(X)                                                                                                                | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 283. TYL(X)                                                                                                                |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 284. UPS(X)                                                                                                                | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 285. VZ(X)                                                                                                                 | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 286. V(X)                                                                                                                  | A                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 287. WDAY(X)                                                                                                               |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 288. ZTS(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 289. BLL                                                                                                                   | A                                      | Dividend                               |                                                |                                    | Buy                                       | 09/24/19         | L                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
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| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ginsburg, Douglas H.

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
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| 290.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 12/10/19         | K                        |                         |                                                            |
| 291. CSCO(X)                                                                                                               | A                                      | Dividend                               |                                                |                                    | Sold                                      | 12/10/19         | K                        |                         |                                                            |
| 292. DLB(X)                                                                                                                | A                                      | Dividend                               |                                                |                                    | Sold                                      | 08/07/19         | J                        |                         |                                                            |
| 293. KMPR(X)                                                                                                               | A                                      | Dividend                               |                                                |                                    | Sold                                      | 08/23/19         | J                        |                         |                                                            |
| 294. NTNX(X)                                                                                                               |                                        | None                                   |                                                |                                    | Sold                                      | 06/07/19         | J                        |                         |                                                            |
| 295. PSTG(X)                                                                                                               |                                        | None                                   |                                                |                                    | Sold                                      | 12/10/19         | J                        |                         |                                                            |
| 296. ROL(X)                                                                                                                | A                                      | Dividend                               |                                                |                                    | Sold                                      | 08/07/19         | L                        |                         |                                                            |
| 297. SSTI(X)                                                                                                               |                                        | None                                   |                                                |                                    | Sold                                      | 08/07/19         | J                        |                         |                                                            |
| 298.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 299. DMS Trust BL 17122 (H)                                                                                                |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 300. FDRXX(X)                                                                                                              | A                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 301. AMZN(X)                                                                                                               |                                        | None                                   | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 302. AXP(X)                                                                                                                | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 303. BLL                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 09/24/19         | K                        |                         |                                                            |
| 304. BAC                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 10/23/19         | K                        |                         |                                                            |
| 305. DHR(X)                                                                                                                | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 306. ECL(X)                                                                                                                | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
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| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 307. FAST(X)                                                                                                               | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 308. FTV(X)                                                                                                                | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 309. IDXX(X)                                                                                                               |                                        | None                                          | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 310. MSFT(X)                                                                                                               | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 311. PEP(X)                                                                                                                | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 312. SMG(X)                                                                                                                | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 313. SYK(X)                                                                                                                | A                                      | Dividend                                      | K                                              | T                                         | Sold<br>(part)                                  | 08/07/19                | K                               | B                              |                                                                   |
| 314. TYL(X)                                                                                                                |                                        | None                                          | K                                              | T                                         | Sold<br>(part)                                  | 08/07/19                | K                               | C                              |                                                                   |
| 315. UPS(X)                                                                                                                | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 316. VEEV(X)                                                                                                               |                                        | None                                          | K                                              | T                                         | Sold<br>(part)                                  | 08/07/19                | K                               | D                              |                                                                   |
| 317. V(X)                                                                                                                  | A                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 318. GENL MTRS 37045XBV7(X)                                                                                                | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 319. AMER TWR 03027XAE0(X)                                                                                                 | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 320. CSCO(X)                                                                                                               | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 12/10/19                | K                               |                                |                                                                   |
| 321. WDAY(X)                                                                                                               |                                        | None                                          |                                                |                                           | Sold<br>(part)                                  | 08/07/19                | K                               |                                |                                                                   |
| 322.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/10/19                | K                               |                                |                                                                   |
| 323. BCG TRUST UW 3952 (H)                                                                                                 |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 324. FZBXX(X)                                                                                                              | C                                      | Int./Div.                              | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 325. FRIRX                                                                                                                 | B                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/04/19         | K                        |                         |                                                            |
| 326. JNBSX                                                                                                                 | C                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/04/19         | L                        |                         |                                                            |
| 327. ANAYX                                                                                                                 | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/04/19         | K                        |                         |                                                            |
| 328. AGDYX                                                                                                                 | B                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/04/19         | K                        |                         |                                                            |
| 329. HLIPX                                                                                                                 | C                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/04/19         | L                        |                         |                                                            |
| 330. PGDIX                                                                                                                 | C                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/04/19         | L                        |                         |                                                            |
| 331. LRGF                                                                                                                  | B                                      | Dividend                               | M                                              | T                                  | Buy                                       | 01/04/19         | L                        |                         |                                                            |
| 332. SPDW                                                                                                                  | C                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/04/19         | L                        |                         |                                                            |
| 333. SPEM                                                                                                                  | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/04/19         | L                        |                         |                                                            |
| 334. SPY(X)                                                                                                                | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 335. VV                                                                                                                    | C                                      | Dividend                               | M                                              | T                                  | Buy                                       | 01/04/19         | M                        |                         |                                                            |
| 336. VB                                                                                                                    | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/04/19         | L                        |                         |                                                            |
| 337. VWO                                                                                                                   | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/04/19         | L                        |                         |                                                            |
| 338. DWM                                                                                                                   | C                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/04/19         | L                        |                         |                                                            |
| 339. DON                                                                                                                   | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/04/19         | L                        |                         |                                                            |
| 340. AGG(X)                                                                                                                | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 341. HYG                                                                                                                   | B                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/04/19         | K                        |                         |                                                            |
| 342. SHV(X)                                                                                                                | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 343. ICSH(X)                                                                                                               | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 344. VNQ                                                                                                                   | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/04/19         | K                        |                         |                                                            |
| 345. FREL(X)                                                                                                               |                                        | None                                   |                                                |                                    | Sold                                      | 01/04/19         | L                        |                         |                                                            |
| 346. AGG(X)                                                                                                                |                                        | None                                   |                                                |                                    | Sold                                      | 01/04/19         | L                        | A                       |                                                            |
| 347. BIL(X)                                                                                                                |                                        | None                                   |                                                |                                    | Sold                                      | 01/04/19         | N                        | A                       |                                                            |
| 348.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 349. ZIVO                                                                                                                  |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 350. RAD                                                                                                                   |                                        | None                                   | J                                              | T                                  | Sold                                      | 04/30/19         | J                        |                         |                                                            |
| 351.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 352. DHG UW 3933 (H)                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 353. JNBSX                                                                                                                 | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 04/29/19         | J                        |                         |                                                            |
| 354. AGDYX                                                                                                                 | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 04/29/19         | J                        |                         |                                                            |
| 355. HLIPX                                                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 356. IJH                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 357. IJR                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 04/29/19         | J                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 358. IVV                                                                                                                   | B                                      | Dividend                               | L                                              | T                                  | Sold<br>(part)                            | 04/29/19         | J                        | A                       |                                                            |
| 359. IEMG                                                                                                                  | B                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 04/29/19         | J                        |                         |                                                            |
| 360. DGRO                                                                                                                  | B                                      | Dividend                               | L                                              | T                                  | Sold<br>(part)                            | 04/29/19         | J                        | A                       |                                                            |
| 361. IEFA                                                                                                                  | B                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 04/29/19         | J                        |                         |                                                            |
| 362. ICSH                                                                                                                  | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 04/29/19         | J                        |                         |                                                            |
| 363. VNQ                                                                                                                   | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 04/29/19         | J                        |                         |                                                            |
| 364. QPRMQ (FZBXX)                                                                                                         | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 365.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 366. DSG-DAF                                                                                                               |                                        | None                                   | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 367. DHG-DAF                                                                                                               |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 368. DMS-DAF                                                                                                               |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/12/2020

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

# FINANCIAL DISCLOSURE REPORT

Page 28 of 28

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/12/2020

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Douglas H. Ginsburg**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544