

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Marks, Emily C.	2. Court or Organization U.S. District Court for the Middle District of Alabama	3. Date of Report 08/03/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse One Church Street Montgomery, Alabama 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Marks, Emily C.

Date of Report

08/03/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employed (staffing executive)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason University, Scalia Law School	April 25-26, 2019	Arlington, VA	Inaugural Scalia Forum	Transportation, meals, lodging
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Marks, Emily C.	08/03/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Marks, Emily C.

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08/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. ServisFirst (cash)	A	Interest	K	T					
3. Wells Fargo (cash)	A	Interest	J	T					
4. ServisFirst JobMarks, Inc. Operating Account (cash)		None	M	T					
5. ServisFirst JobMarks, Inc. Payroll Account (cash)		None	K	T					
6. Rapid-Paycard Account JobMarks, Inc. (cash)		None	J	T					
7. ServisFirst ZeldaMarks, LLC Cash Account (cash)		None	K	T					
8. JobMarks, Inc.	G	Dividend	N	U					
9. Rental Property #1, Montgomery, Alabama	E	Rent	N	W					
10. Brokerage Acct #1 (H)									
11. Fidelity Government Money Market Fund (SPAXX) (cash equivalent)	A	Dividend	M	T					
12. Fidelity 500 Index Fund (FXAIX)	D	Dividend	N	T	Buy (add'l)	05/31/19	L		
13. Fidelity Small Cap Index Fund (FSSNX)	C	Dividend	M	T	Sold (part)	12/23/19	J		
14. Fidelity Mid Cap Index Fund (FSMDX)	D	Dividend	M	T	Sold (part)	12/23/19	J		
15. OakMark Intl Investor Cl (OAKIX)	E	Dividend	L	T	Sold (part)	12/23/19	J		
16.					Sold (part)	12/23/19	J		
17. MFS International Diversification FD A (MDIDX)	B	Dividend	K	T	Buy	06/05/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Marks, Emily C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	12/23/19	K		
19. Vanguard Health Care Investor (VGHCX)	D	Dividend	L	T	Sold (part)	06/03/19	L		
20.					Sold (part)	12/23/19	J		
21. BlackRock Strategic Muni Opprotunities A (MEMTX)	A	Dividend	L	T	Buy	06/03/19	L		
22. Fidelity MMKT Premium Class (FZDXX)	A	Dividend			Buy	05/16/19	M		
23.					Sold (part)	05/17/19	L		
24.					Sold (part)	05/31/19	L		
25.					Sold (part)	06/03/19	J		
26.					Sold	06/05/19	K		
27. Fidelity Municipal Money Market Fund (FTEXX) (cash equivalent)	A	Dividend			Sold (part)	06/05/19	K		
28.					Sold	12/20/19	K		
29. IRA #1 (H)									
30. Fidelity Government Cash Reserves (FDRXX) (Cash Equiv) (X)	A	Interest	L	T					
31. Fidelity Contrafund (FCNTX)	D	Dividend	M	T	Sold (part)	12/23/19	J		
32. Artisan Internatl Investor Class (ARTIX)	B	Dividend	K	T	Sold (part)	12/23/19	L		
33. IRA #2 (H)									
34. Fidelity Government Cash Reserve (FDRXX) (Cash Equiv) (X)	A	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

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35. Fidelity 500 Index Fund (FXAIX)	A	Dividend	J	T	Sold (part)	12/23/19	J	C	
36. Fidelity Low Priced Stock (FLPSX)	C	Dividend			Sold	12/23/19	L	E	
37. Amana Mutual Fund Trust Growth (AMAGX)	A	Dividend	K	T	Sold (part)	12/23/19	J	D	
38. Artisan Internatl Investor Class (ARTIX)	B	Dividend	K	T	Sold (part)	12/23/19	K	B	
39. Janus Henderson Triton T (JATTX)	A	Dividend	K	T	Sold (part)	12/23/19	K	D	
40. Fidelity Total Bond (FTBFX)	A	Dividend	J	T	Buy	05/31/19	J		
41. Eaton Vance Floating Rate Advantage Cl A (EAFAX)	A	Dividend	K	T					
42. Janus Henderson Short-Term Bond T (JASBX)	A	Dividend	K	T					
43. Pimco Income Fund Cl A (PONAX)	B	Dividend	K	T					
44. Thompson Bond Fund (THOPX)	B	Dividend	K	T					
45. IRA #3 (H)									
46. Fidelity Government Cash Reserves (FDRXX) (Cash Equiv) (X)	A	Dividend	K	T					
47. Fidelity Intl Enhanced Idex Fund (FIENX)	A	Dividend	J	T					
48. Amana Mutual Fund Trust Growth (AMAGX)	A	Dividend	J	T					
49. Fidelity Total Bond (FTBFX)	A	Dividend	J	T					
50. Pimco Income Fund Cl A (PONAX)	A	Dividend	J	T					
51. UTMA #1 (H)									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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52. Fidelity Contrafund (FCNTX)	B	Dividend	K	T					
53. Fidelity Low Priced Stock (FLPSX)	C	Dividend	L	T					
54. Ishares Core S&P 500 ETF (IVV)	A	Dividend	K	T					
55. UTMA #2 (H)									
56. Fidelity Contrafund (FCNTX)	B	Dividend	K	T					
57. Fidelity Low Priced Stock (FLPSX)	C	Dividend	K	T					
58. Ishares Core S&P 500 ETF (IVV)	A	Dividend	K	T					
59. IRA #4 (H)									
60. Fidelity Government Cash Reserves (FDRXX) (Cash Equiv) (X)	A	Dividend	J	T					
61. Fidelity Low Priced Stock (FLPSX)	B	Dividend	J	T	Sold (part)	12/23/19	J		
62. Janus Henderson Triton T (JATTX)	B	Dividend	K	T	Sold (part)	12/23/19	J		
63. Brokerage Acct #2 (H)									
64. Accenture PLC (ACN)	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
65. Chubb Ltd (CB)	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
66. AT&T Inc. (T) (X)	A	Dividend	J	T	Sold (part)	01/31/19	J		
67.					Buy (add'l)	02/12/19	J		
68. Abbott Laboratories (ABT)	A	Dividend	J	T					

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3 Value Method Codes (See Column C2)					

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69. Abbvie Inc. (ABBV) (X)	A	Dividend	J	T					
70. Adobe INC COM (ADBE)		None	J	T	Buy	01/02/19	J		
71. Alphabet Inc Cl C (GOOG)		None	J	T	Buy (add'l)	09/03/19	J		
72.					Sold (part)	10/21/19	J		
73.					Buy (add'l)	11/20/19	J		
74. Alphabet Inc Cl A (GOOGL)		None	J	T	Buy (add'l)	08/05/19	J		
75.					Sold (part)	10/21/19	J		
76.					Buy (add'l)	11/20/19	J		
77. Amazon.Com Inc (AMZN)		None	J	T					
78. Amgen Inc (AMGN) (Y)									
79. Apple Inc (AAPL)	A	Dividend	J	T	Buy (add'l)	01/09/19	J		
80.					Sold (part)	01/12/19	J		
81.					Sold (part)	02/28/19	J		
82.					Buy (add'l)	05/01/19	J		
83.					Sold (part)	07/03/19	J		
84. Bank of America Corp (BAC)	A	Dividend	J	T					
85. Bank New York Mellon (BK) (Y)									

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86. Becton Dickinson Co (BDX) (Y)									
87. Berkshire Hathaway Inc Del Cl B New (BRKB)		None	J	T	Sold (part)	01/31/19	J		
88.					Sold (part)	02/28/19	J		
89.					Buy (add'l)	04/02/19	J		
90. Bristol Myers Squibb (BMY)	A	Dividend	J	T					
91. Broadcom Inc (AVGO)	A	Dividend	J	T					
92. Caterpillar (CAT) (Y)									
93. Chevron Corp New Com (CVX)	A	Dividend	J	T	Sold (part)	01/31/19	J		
94. Cisco Sys Inc Com (CSCO)	A	Dividend	J	T					
95. Citigroup Inc (C)	A	Dividend	J	T	Buy	01/23/19	J		
96. Coca Cola Co (KO)	A	Dividend	J	T					
97. Comcast Corp New Cl A (CMCSA)	A	Dividend	J	T					
98. Costco Corp. (COST) (X)	A	Dividend	J	T					
99. Danaher Corp (DHR)	A	Dividend	J	T					
100. Disney Walt Co (DIS)	A	Dividend	J	T	Sold (part)	03/29/19	J		
101. Exxon Mobil (XOM) (X)	A	Dividend	J	T	Sold	01/02/19	J		
102.					Buy	02/12/19	J		

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103.					Sold	08/20/19	J		
104.					Buy	09/10/19	J		
105.					Sold	10/21/19	J		
106.					Buy	11/20/19	J		
107. Facebook Inc Com (FB)		None	J	T	Buy (add'l)	02/28/19	J		
108. General Electric Co. (GE) (X)	A	Dividend	J	T					
109. Home Depot Inc Com (HD)	A	Dividend	J	T					
110. Honeywell International Inc. COM USD1 (HON)	A	Dividend	J	T	Buy	01/23/19	J		
111. Intel Corp (INTC)	A	Dividend	J	T	Sold (part)	05/16/19	J		
112.					Buy (add'l)	07/03/19	J		
113. Intl Business Mach (IBM)	A	Dividend	J	T					
114. Intuit.com (INTU) (X)	A	Dividend	J	T					
115. JP Morgan Chase & Co. (JPM) (X)	A	Dividend	J	T	Buy (add'l)	01/09/19	J		
116. Johnson & Johnson (JNJ)	A	Dividend	J	T	Sold (part)	01/23/19	J		
117.					Sold	08/05/19	J		
118.					Buy	09/18/19	J		
119. Eli Lilly and Co. (LLY) (Formerly Lilly Eli & Co)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Lockheed Martin Corp (LMT)	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
121. Mastercard Incorporated Cl A (MA)	A	Dividend	J	T	Sold	01/02/19	J		
122.					Buy	02/12/19	J		
123. McDonalds Corp (MCD)	A	Dividend	J	T					
124.									
125. Merck & Co Inc New Com (MRK)	A	Dividend	J	T					
126. Microsoft Corp (MSFT)	A	Dividend	J	T					
127. Micron Technology (MU) (X)	A	Dividend	J	T					
128. Mondelez Intl. Inc. (MDLZ) (X)	A	Dividend	J	T					
129. Netflix Com Inc Com (NFLX)		None	J	T					
130. Nike Inc. (NKE) (X)	A	Dividend	J	T					
131. Nextera Energy (NEE) (Y)									
132. Northrup Grumman Corp (NOC)	A	Dividend	J	T					
133. Oracle Corp Com (ORCL)	A	Dividend	J	T	Buy (add'l)	01/31/19	J		
134. Paypal Hldgs Inc Com (PYPL)		None	J	T					
135. Pepsico Inc (PEP)	A	Dividend	J	T	Sold (part)	01/31/19	J		
136. Pfizer Inc (PFE)	A	Dividend	J	T	Sold	01/31/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy	03/07/19	J		
138.					Sold (part)	05/01/19	J		
139.					Sold	08/20/19	J		
140.					Buy	09/18/19	J		
141. Procter & Gamble Co Com (PG)	A	Dividend	J	T					
142. Raytheon Co (RTN) (Y)									
143. Salesforce Com Inc (CRM)		None	J	T	Buy (add'l)	01/02/19	J		
144. Schlumberger Limited (SLB) (X)	A	Dividend	J	T					
145. Southern Co. (SO) (X)	A	Dividend	J	T					
146. Starbucks (SBUX) (X)	A	Dividend	J	T					
147. Stryker Corp. (SYK) (X)	A	Dividend	J	T					
148. Target (TGT) (X)	A	Dividend	J	T					
149. Texas Instruments (TXN) (X)	A	Dividend	J	T					
150. Thermo Fisher Scientific (TMO)	A	Dividend	J	T					
151. US Bancorp (USB)	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
152.					Buy (add'l)	02/12/19	J		
153. Union Pacific Corp. (UNP) (X)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Marks, Emily C.

Date of Report

08/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. United Technologies Corp Com USD1 (UTX) (X)	A	Dividend	J	T					
155. Unitedhealth Group (UNH)	A	Dividend	J	T	Sold (part)	03/07/19	J		
156.					Buy (add'l)	05/01/19	J		
157. Verizon Communications (VZ)	A	Dividend	J	T					
158. Visa Inc Com Cl A (V)	A	Dividend	J	T					
159. Walmart Inc Com (WMT)	A	Dividend	J	T					
160. Wells Fargo Co New Com (WFC)	A	Dividend	J	T	Sold (part)	01/02/19	J		
161.					Sold	05/01/19	J		
162.					Buy	06/03/19	J		
163. American Towers Corp. (AMT) (X)	A	Dividend	J	T					
164. Booking Hldgs Inc Com (BKNG)		None			Sold	01/23/19	J		
165.					Buy	05/01/19	J		
166.					Sold	06/03/19	J		
167.					Buy	07/03/19	J		
168.					Sold	08/05/19	J		
169.					Buy	10/21/19	J		
170.					Sold	11/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Marks, Emily C.

Date of Report

08/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Philip Morris Intl Inc Com (PM)	A	Dividend			Sold (part)	01/23/19	J		
172.					Sold	09/18/19	J		
173. Brokerage Acct #3 (H)									
174. Infimary Health Sys Spl Care Facs Fing Auth Mob Ala (45663NAZ5)	B	Interest	K	T					
175. Prattville Ala Wtr Wks Brd Wtr Rev Bds 2018 (740053HP1)	A	Interest	K	T					
176. Troy Ala Pub Edl Bldg Auth Edl Facs Rev Educ Fac (89732TBN3)	A	Interest	J	T					
177. IRA #5 (H)									
178. Vanguard 500 Index Fund Adm (VFIAX)	B	Dividend	L	T					
179. IRA #6 (H)									
180. Fidelity Government Cash Reserves Account (FDRXX) (Cash equiv)	A	Dividend	L	T					
181. Fidelity Total MKT Index Premium Cl (FSKAX) (Formerly FSTVX)	B	Dividend	M	T					
182. Fidelity Contrafund (FCNTX)	D	Dividend	L	T					
183. Fidelity Strategic Dividend & Income (FSDIX)	B	Dividend	K	T					
184. Amana Mutual Trust Fund Growth (AMAGX)	B	Dividend	L	T					
185. Oakmark Intl Investor Cl (OAKIX)	B	Dividend	K	T					
186. Janus Henderson Triton T (JATTX)	D	Dividend	L	T					
187. Laudus International Marketmasters Inv Cl (SWOIX)	C	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. Fidelity Total Bond (FTBFX)	A	Dividend	K	T					
189. Loomis Sayles Bond Retail Shares (LSBRX)	A	Dividend	K	T					
190. TCW Total Return Bond Class N (TGMNX)	A	Dividend	J	T					
191. TCW Core Fixed Income Class N (TGFNX)	A	Dividend	K	T					
192. Thompson Bond Fund (THOPX)	A	Dividend	K	T					
193. AL 529 Acct #1 (H)									
194. Alabama's 529 Vanguard Age-Based Aggressive Portfolio		None	M	T					
195. AL 529 Acct #2 (H)									
196. Alabama's 529 Vanguard Age-Based Aggressive Portfolio		None	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Marks, Emily C.

Date of Report

08/03/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 17 of 17

Name of Person Reporting

Marks, Emily C.

Date of Report

08/03/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Emily C. Marks**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544