

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) GLASSER, ISRAEL L.	2. Court or Organization UNITED STATES DISTRICT COURT	3. Date of Report 08/03/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address UNITED STATES COURTHOUSE 225 CADMAN PLAZA EAST BROOKLYN, NEW YORK 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Council	Hebrew Free Loan Society
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

GLASSER, ISRAEL L.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	NYS Employee's Retirement System	\$8357.16
2. 2019	TIAA Retirement Annuity	\$36000.
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	TIAA Retirement Annuity
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
GLASSER, ISRAEL L.	08/03/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

GLASSER, ISRAEL L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T (T)	D	Dividend	M	T					
2. PPL Corp. (PPL)	B	Dividend	J	T					
3. Chevron (CVX)	D	Dividend	K	T					
4. Exxon Mobil (XOM)	E	Dividend	N	T					
5. Conoco Phillips (COP)	B	Dividend	L	T					
6. Norfolk Southern (NSC)	D	Dividend	N	T					
7. Pepsico, Inc. (PEP)	D	Dividend	M	T					
8. TIAA/CREF Managed Allocation Fund	A	Dividend	M	T					
9. State of Israel	A	Interest	J	T					
10. IBM (IBM)	B	Dividend	L	T					
11. Comcast (CMCSA)	A	Dividend	J	T					
12. Verizon (VZ)	B	Dividend	K	T					
13. Southern Co. (SO)	D	Dividend	M	T					
14. Burlington Northern 4.7%	B	Interest			Matured	10/01/19	K	A	
15. Goldman Sachs 5.375%	A	Interest	L	T					
16. Proctor & Gamble (PG)	B	Dividend			Sold	07/31/19	L	E	
17. Abbott Labs (ABT)	B	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

GLASSER, ISRAEL L.

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08/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. First Eagle Global Fund	A	Dividend			Sold	11/12/19	L	D	
19. Growth Fund of America	A	Dividend			Sold	02/21/19	L	E	
20. Centerpoint Energy (CNP)	A	Dividend	J	T					
21. Berkshire Hathaway B (BRK'B)	A	Dividend	M	T					
22. NYS Dorm. Auth. (64990BNG4)	A	Interest	J	T					
23. New York NY GO (64966JL95)	A	Interest	J	T					
24. Black Rock Equity Dividend	A	Dividend			Sold	06/11/19	L	D	
25. Black Rock Balanced Cap	A	Dividend			Sold	06/11/19	L	A	
26. Johnson & Johnson (JNJ)	C	Dividend	M	T					
27. Metro. Trans. Auth. NY (59259YZB8)	A	Interest	K	T					
28. Metro. Trans. Auth. NY (59259NR70)	A	Interest	K	T					
29. Phillips 66 (PSX)	C	Dividend	L	T					
30. New York NY GO (64966LZ61)	A	Interest	K	T					
31. NYS Dorm. Auth. (64990AAR6)	A	Interest	J	T					
32. Pfizer (PFE)	B	Dividend	L	T					
33. Walmart (WMT)	B	Dividend			Sold	09/05/19	L	E	
34. New York NY GO (64966LSQ5)	A	Interest	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

GLASSER, ISRAEL L.

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Brookhaven NY (113152VH2)	A	Interest	J	T					
36. NY City Transitional Fin. Auth. (64971W6Z8)	A	Interest	K	T					
37. Welltower (WELL)	A	Dividend			Sold	01/28/19	K	D	
38. United Parcel Service (UPS)	B	Dividend	L	T					
39. General Mills (GIS)	B	Dividend	K	T					
40. Merck (MRK)	B	Dividend	L	T					
41. Coca Cola (KO)	B	Dividend	L	T					
42. Walt Disney (DIS)	A	Dividend	L	T					
43. Kimberly Clark (KMB)	A	Dividend			Sold	04/22/19	L	D	
44. 3M Company (MMM)	B	Dividend	L	T					
45. Texas Instruments (TXN)	B	Dividend	L	T					
46. Apple (AAPL)	B	Dividend	M	T					
47. Amgen (AMGN)	B	Dividend	L	T	Buy	03/08/19	L		
48. Archer Daniels Midland (ADM)	B	Dividend	L	T	Buy	05/21/19	L		
49. Dominion (D)	C	Dividend	L	T	Buy	01/22/19	L		
50. Caterpillar (CAT)	A	Dividend	L	T	Buy	08/12/19	L		
51. Franklin Resources (BEN)	A	Dividend	L	T	Buy	11/25/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Cisco Systems (CSCO)	A	Dividend	L	T	Buy	12/03/19	L		
53. NY City Transitional Fin. Auth. (64971WVV9)	A	Interest	J	T					
54. Metro Trans. Auth. NY (59259YMW6)	A	Interest	K	T					
55. Hicksville NY Water (429164CT1)	A	Interest	K	T					
56. New York NY GO (649791KW9)	A	Interest	K	T					
57. New York NY GO (64966MTD1)	A	Interest	K	T					
58. New York NY Housing (64972CUX9)	A	Interest	J	T					
59. New York NY Housing (64987BZ84)	A	Interest	K	T					
60. NY City Transitional GO (64972HXY3)	A	Interest	J	T					
61. NYS Dorm. Auth. (64990FGM0)	A	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ ISRAEL L. GLASSER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544