

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Oldshue Jr., Jerry C.	2. Court or Organization Alabama Southern District Bankruptcy Court	3. Date of Report 08/04/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States Bankruptcy Court 201 St. Louis Street Mobile, AL 36602-6602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____	_____
2.	_____	_____
3.	_____	_____

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Oldshue Jr., Jerry C.

Date of Report

08/04/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Law and Economics Center, George Mason University Antonin Scalia Law School	January 23-28, 2019	Islamorada, FL	Judicial Education	Transportation, meals, and lodging
2.	American Bankruptcy Institute	April 11-14, 2019	Washington, DC	Bankruptcy CLE	Transportation, meals, and lodging
3.	Northern District of Florida Bankruptcy Bar	September 12-13, 2019	Pensacola, FL	Bankruptcy CLE	Transportation, meals, and lodging
4.	Cumberland Law School	October 24-25, 2019	Birmingham, AL	Bankruptcy CLE	Transportation, meals, and lodging
5.	University of Alabama School of Law	November 15-16, 2019	Birmingham, AL	Bankruptcy CLE	Transportation, meals, and lodging

Name of Person Reporting	Date of Report
Oldshue Jr., Jerry C.	08/04/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. ISHARES INC MSCI JAPAN ETF (EWJ)	A	Dividend	J	T					
3. ISHARES JP MORGAN EM BOND ETF (EMB)	A	Dividend	J	T					
4. BLACKROCK EQUITY DIVIDEND I (MADVX)	B	Dividend	J	T					
5. BLACKROCK HI YIELD BD PTF INST (BHYIX)	A	Dividend			Sold	05/22/19	J		
6. BLACKSTONE ALT MULT-STRAT INST (BXMIX)	A	Dividend	K	T					
7. COLUMBIA SELECT LG CAP GW I (UMLGX)	C	Dividend	J	T	Buy (add'l)	12/13/19	J		
8. COLUMBIA SELECT LG CAP VAL I (CSVZX)	A	Dividend	J	T					
9. CONGRESS MID CAP GROWTH INSTL (IMIDX)	A	Dividend	J	T					
10. DIAMOND HILL LONG-SHORT I (DHLSX)	B	Dividend	K	T					
11. HARDING LOEVNER EMERG MKTS ADV (HLEMX)	A	Dividend	K	T	Sold (part)	05/22/19	K	B	
12. INVESCO GLB REAL ESTATE Y (ARGYX)	A	Dividend	J	T					
13. INVESCO OPP STLPTH MLP SEL40 Y (MLPTX) Formerly: OPI STEELPATH MLP	A	Dividend	J	T	Buy (add'l)	07/22/19	J		
14.		Dividend			Buy (add'l)	12/13/19	J		
15. INVESCO INTL GROWTH Y (AIIYX)		None			Sold	05/22/19	K	B	
16. JANUS HENDERSON FLEXIBLE BD I (JFLEX)	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Oldshue Jr., Jerry C.

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
17. JANUS HENDERSON INTL MNG VOL I (JMIIX)	B	Dividend	K	T	Buy	05/22/19	K		
18. JANUS HENDERSON INTL OPP I (HFOIX)	B	Dividend	K	T					
19. LOCORR MACRO STRATEGIES I (LFMIX)	A	Dividend	J	T					
20. LORD ABBETT SHT DURATION INC F (LDLFX)	A	Dividend			Sold	05/22/19	K		
21. PGIM HIGH YIELD Z (PHYZX)	A	Dividend	J	T	Buy	05/22/19	J		
22. PGIM JENNISON GROWTH Z (PJFZX)	A	Dividend	J	T					
23. PIMCO LOW DURATION INC I2 (PFTPX)	A	Dividend	K	T	Buy	05/22/19	K		
24. PIMCO SHORT TERM I2 (PTSPX)	A	Dividend	J	T					
25. VICTORY RS PARTNERS Y (RSPYX)	A	Dividend	J	T					
26. VICTORY RS SMALL CAP GROWTH Y (RSYEX)	A	Dividend	J	T					
27. VICTORY RS VALUE Y (RSVYX)	A	Dividend	J	T					
28. VICTORY SOPHUS EMERG MKTS Y (RSENX)	A	Dividend	K	T	Buy	05/22/19	K		
29. WILLIAM BLAIR INTL GROWTH I (BIGIX)	A	Dividend	K	T					
30. IRA #2 (H)									
31. MORGAN STANLEY BANK N.A. (CASH)	A	Interest	J	T					
32. AMER CENT EMERG MKTS I (AMKIX)	A	Dividend	K	T					
33. BLACKROCK INFLAT PROT BOND I (BPRIX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. BNY MELLON NATURAL RESOURCES I (DLDRX)	A	Dividend	J	T	Buy	05/21/19	J		
35. COLUMBIA SELECT LG CAP GROWTH I (UMLGX)	C	Dividend	J	T					
36. COLUMBIA SELECT LG CAP VAL I (CSVZX)	A	Dividend	J	T					
37. DIAMOND HILL LONG-SHORT I (DHLSX)	A	Dividend	J	T					
38. GUGGENHEIM TOTAL RETURN BD I (GIBIX)	A	Dividend	K	T	Buy (add'l)	05/21/19	K		
39. HARBOR INTERNATIONAL INST (HAINX)	A	Dividend	J	T					
40. INVESCO DEVELOPING MARKETS Y (GTDYX)		None			Sold	05/21/19	K	C	
41. INVESCO DIVERSIFIED DIVIDEND Y (LCEYX)	A	Dividend	J	T	Sold (part)	05/21/19	J	A	
42. INVESCO INTL GROWTH Y (AIIYX)		None			Sold	05/21/19	J	A	
43. INVESCO SMALL CAP VALUE Y (VSMIX)	A	Dividend	J	T					
44. JANUS HENDERSON ENTERPRISE I (JMGRX)	A	Dividend	K	T					
45. JANUS HENDERSON INTL MNG VOL I (JMIIX)	B	Dividend	K	T					
46. JANUS HENDERSON INTL OPP I (HFOIX)	B	Dividend	K	T	Sold (part)	05/21/19	J		
47. JANUS HENDERSON RESEARCH I (JRAIX)	B	Dividend	J	T					
48. JPMORGAN MID CAP VALUE I (JMV SX)	A	Dividend	J	T					
49. JPMORGAN SMALL CAP BLEND I (JDSCX)	A	Dividend	J	T					
50. LOCORR MACRO STRATEGIES I (LFMIX)	B	Dividend	K	T	Sold (part)	05/21/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
51. PGIM JENNISON GROWTH Z (PJFZX)	A	Dividend	J	T	Sold (part)	05/21/19	J	B	
52. PIONEER MLTI AST ULT SHT INC Y (MYFRX)	A	Dividend	J	T					
53. VICTORY GLB NATURAL RES Y (RSNYX)		None			Sold	05/21/19	J		
54. VICTORY RS VALUE Y (RSVYX)	A	Dividend	J	T	Buy (add'l)	05/21/19	J		
55. VICTORY SOPHUS EMERG MKTS Y (RSENX)	A	Dividend	K	T	Buy	05/21/19	K		
56. VICTORY TRIVLNT INTL SML CAP Y (MYSIX)	A	Dividend	K	T	Buy (add'l)	05/21/19	J		
57. VIRTUS NWFLT MLTSEC SHTM BD I (PIMSX)	A	Dividend	K	T	Sold (part)	05/21/19	J		
58. 529 #1 (H)									
59. VANGUARD EM MKT SEL STK C (VESCZ) (X)		None	J	T					
60. CC 529 DFA US LARGE CAP VAL C (DFALZ)		None	J	T					
61. AL AMER CENT EQ GW C (NAAMC)		None	J	T					
62. AL NEUBRGR INTL LGCP C (NUBRR)		None	J	T					
63. NORTHERN FUNDS INTERNATIONAL EQUITY INDEX (NRIIE)		None	J	T					
64. LAZARD EMERGING MARKETS C (Y)									
65. 529 #2 (H)									
66. CC 529 DFA US LARGE CAP VAL C (DFALZ)		None	J	T					
67. NORTHERN BOND INDEX C 529 (NRBOO)		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
68. NORTHERN FUNDS INTERNATIONAL EQUITY INDEX (NRIIE)		None	J	T					
69. NORTHERN MID CAP INDEX C 529 (NRMMM)		None	J	T					
70. T ROWE PRICE BALANCED C (NTROO)		None	J	T					
71. T ROWE PRICE LARGE CAP GROWTH (NRTWW)		None	J	T					
72. T ROWE PRICE REAL ESTATE C (Y)									
73. LAZARD EMERGING MARKETS C (Y)									
74. 529 #3 (H)									
75. DFA REAL EST SEC C (DRSCZ) (X)		None	J	T					
76. VANGUARD EMERGING MARKETS SEL STK C (VESCZ) (X)		None	J	T					
77. CC 529 DFA US LARGE CAP VAL C (DFALZ)		None	J	T					
78. T ROWE PRICE US SM CAP GROWTH (CTQGZ)		None	J	T					
79. NORTHERN BOND INDEX C 529 (NRBOO)		None	J	T					
80. NORTHERN FUNDS INTERNATIONAL EQUITY INDEX (NRIIE)		None	J	T					
81. NORTHERN MID CAP INDEX C 529 (NRMMM)		None	J	T					
82. NORTHERN SM CAP INDEX C (NRTCC) (Y)									
83. PIMCO SHORT TERM C (NRPPM)		None	J	T					
84. T ROWE PRICE BALANCED C (NTROO)		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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P3 =\$25,000,001 - \$50,000,000

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R =Cost (Real Estate Only)

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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85. T ROWE PRICE LARGE CAP GROWTH (NRTWW)		None	J	T					
86. LAZARD EMERGING MARKETS C (Y)									
87. T ROWE PRICE REAL ESTATE C (Y)									
88. Brokerage #1 (H)									
89. ALPHABET INC CL C (GOOG)		None	J	T					
90. AMAZON COM INC (AMZN)		None	J	T					
91. Brokerage #2 (H)									
92. MS PATHWAY ALTERN STRATEGIES (TALTX) (X)		None	J	T					
93. MS PATHWAY INTERNATIONAL EQ (TIEUX)	A	Dividend	J	T					
94. Brokerage #3 (H)									
95. APPLE INC (AAPL)	A	Dividend	J	T					
96. MICROSOFT CORP (MSFT)	A	Dividend	J	T					
97. Brokerage #4 (H)									
98. MSPBNA PREFERRED SAVINGS - QC (CASH)	A	Dividend	L	T	Open	10/07/19	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

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(See Column C2)

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Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

OPI STEELPATH MLP SELECT 40 Y is now INVESCO OPP STLPTH MLP SEL40 Y (MLPTX).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jerry C. Oldshue Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544