

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lemmon, Mary A.	2. Court or Organization Eastern District of LA	3. Date of Report 11/25/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address U.S. District Courthouse 500 Poydras Street, C-406 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. General Partner	Family Partnership
2. General Partner	Heirs of Family Minerals Partnership
3. General Partner	Family Land Partnership
4. Board Member Emeritus	Reconcile New Orleans
5. Board of Directors	Federal Bar Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1996	Since July 26, 1996, I have been in the Louisiana State Employees Retirement System for State Judges
2.	
3.	

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Name of Person Reporting

Lemmon, Mary A.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	La. State Employees Retirement System	\$66,541.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	La. State Employees Retirement System
2. 2019	Self Employed (Legal Consultant)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Capital One Stock	B	Dividend	M	T					
2. Capital One Checking	A	Interest	L	T					
3. Capital One Checking		None			Closed	01/24/18	J		
4. Oil Royalty - St. Charles Parish	A	Royalty	L	W					
5. Ameriprise Common Stock	D	Dividend	M	T					
6. Ariel Common		None	J	T					
7. John Hancock Funds: Large Cap Equity A	A	Dividend	K	T					
8. Family Partnership Orleans Parish, LA	A	Rent	J	W					
9. Laura Plantation	C	Dividend	K	W					
10. 3.31% interest in Cutrone Carline Properties	A	Distribution	K	W					
11. Mary Ann Vial Lemmon and Irma Vial Brou Capital One Checking Acct		None	J	W					
12. Family Minerals Partnership St.Charles Parish, LA	C	Rent	J	W					
13. Merrill Lynch Stocks: AT&T	A	Dividend	J	T					
14. Alcoa		None	J	T					
15. J.P. Morgan Chase	C	Dividend	M	T					
16. Vail Resorts	B	Dividend	L	T					
17. BP Amoco PLC	B	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Rental Property Orleans Parish, LA	E	Rent	N	W					
19. St. Charles Land Trust	A	Dividend	J	W					
20. U.S. Savings Bonds, Series, HH	A	Interest	K	T					
21. Merrill Lynch Stocks: Com Cast	A	Dividend	J	T					
22. Series HH/H Bonds	B	Interest	L	T					
23. Merrill Lynch Money Account	A	Interest	N	T					
24. Merrill Lynch IRA-Cash Equivalent Account	A	Interest	K	T					
25. Merrill Lynch IRA-Cash Equivalent Account	B	Interest	K	T					
26. Merrill Lynch: Ginnie Mae	A	Interest	J	T					
27. Putnam Diversified	C	Dividend	M	T					
28. La. 529 START Saving Program (age based LS income) #1	A	Interest	J	T					
29. La. 529 START Saving Program (age based LS income) #2	A	Interest	J	T	Distributed (part)	03/11/19	J		
30.					Distributed (part)	03/14/19	J		
31.					Distributed (part)	10/24/19	J		
32. La. 529 START Saving Program (age based LS income) #4	A	Interest	K	T	Distributed (part)	03/26/19	J		
33.					Distributed (part)	03/29/19	J		
34.					Distributed (part)	09/18/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Distributed (part)	09/20/19	J		
36. La.529 START Saving Program (age based LS Conservative) #5	B	Interest	L	T					
37. La.529 START Saving Program (age based LS conservative)#6	B	Interest	L	T					
38. La.529 START Saving Program (age based LS conservative)#7	B	Interest	L	T					
39. La.529 START Saving Program (age based LS conservative)#8	B	Interest	L	T					
40. La.529 START Saving Program (age based LS conservativ3)#9	B	Interest	L	T					
41. La.529 START Saving Program (age based LS moderate) #10	B	Interest	L	T					
42. La.529 START Saving Program (age based LS moderate) #11	B	Interest	L	T					
43. La.529 START Saving Program (age based LS moderate) #12	B	Interest	L	T					
44. La.529 START Saving Program (La. Princ Prot Fund)#13	B	Interest	L	T					
45. -American Cap Agy Corp AGNC INV CORP	A	Dividend	J	T					
46. -Century Link Inc.	A	Dividend	J	T					
47. -Chimera Investment Corp	A	Dividend	J	T					
48. -First Eagle Mutual Fund	B	Dividend	K	T					
49. Merrill Lynch: Mutual Fund-Black Rock Global	A	Dividend	J	T					
50. Batture Lease-St. Charles Parish, LA Assessed Value \$1531	D	Rent	J	S					
51. Kinder Morgan Inc.	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. La.529 START Saving Program (La. Princ Prot Fund)#14	A	Interest	J	T	Distributed (part)	10/18/19	J		
53. Black Rock National	A	Dividend	L	T					
54. Franklin Louisiana Tare Free	B	Interest	L	T					
55. Merrill Lynch Stock: Arconic	A	Dividend	J	T					
56. Merrill Lynch Stock: SP 500 LIRNS Issuer CIBC		None			Sold	01/25/19	L	E	

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(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

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(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I: Name of Organization/Entity, continued . . .

#1 Family Partnership

Part I: Name of Organization/Entity, continued

#2 Family Limited Partnership

Part I: Name of Organization/Entity, continued . . .

#3 Land partnership: A Family Partnership owning undeveloped marshland inherited. I was replaced as General Partner in 3/96. My ownership of the assets of the partnership were transferred to the Family Partnership in 1994.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary A. Lemmon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544