

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WERLEIN, JR., EWING	2. Court or Organization USDC/SO. DIST. TEXAS	3. Date of Report 08/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2019 to 12/31/2019
7. Chambers or Office Address 515 RUSK AVENUE, ROOM 11521 HOUSTON, TX 77002-2605		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	The Methodist Hospital, Houston, Texas (d/b/a Houston Methodist)
2. Senior Chair of TMH Board, director ex officio w/vote	Methodist Health Centers; The Methodist Hospital Foundation; The Methodist Hospital Academic Institute; and TMH Health Care Group.
3. Trustee	Trust No. 2
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Houston Methodist	2 tickets to ALDS Playoff Game	\$642.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Halliburton com.	B	Dividend	K	T					
2. Halliburton com.	A	Dividend	K	T					
3. BP PLC, ADR	C	Dividend	K	T					
4. Exxon Mobil com.	D	Dividend	N	T	Buy (add'l)	11/12/19	L		
5. Anadarko Petroleum Corp. com.	A	Dividend			Sold	08/08/19	K	E	See Note (1) in Part VIII
6. Wal-Mart Stores com.	A	Dividend	K	T					
7. Exxon-Mobil com.	C	Dividend	L	T					
8. Johnson & Johnson, com.	D	Dividend	N	T					
9. Conoco Phillips, com.	C	Dividend	M	T					
10. Texas Capital Bankshares, com.		None	N	T					
11. AT&T, com.	C	Dividend	L	T					
12. Bristol-Myers Squibb, com.	A	Dividend	K	T					
13. Berkshire Hathaway CL B com.		None	M	T					
14. Cisco Systems, com.	A	Dividend	J	T					
15. Comcast Corp. Class A	A	Dividend	K	T					
16. HP, Inc. com	A	Dividend	J	T					
17. Hewlett Packard Enterprise Co., com.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Intel Corp., com.	B	Dividend	L	T					
19. IBM, com.	B	Dividend	K	T					
20. JP Morgan Chase, com.	B	Dividend	L	T					
21. Pepsico, Inc., com.	B	Dividend	L	T					
22. Target Corp., com.	B	Dividend	M	T					
23. Sector SPDR Financial, com.	B	Dividend	L	T					
24. Phillips 66, com.	B	Dividend	L	T					
25. Enterprise Prdts Prtn., LP	B	Dividend	K	T					
26. Kinder Morgan, com.	B	Dividend	K	T					
27. Consumer Discretionary SPDR, com.	A	Dividend	K	T					
28. EPR Properties, com.	A	Dividend	J	T					
29. Bank of America, com.	A	Dividend	K	T					
30. Occidental Pet. Corp., com.	A	Dividend	J	T	Merged (with line 5)	08/08/19	J		See Note (1) in Part VIII
31. MUTUAL FUNDS: (H)									
32. Fidelity MM Fund	A	Dividend	J	T					
33. Fidelity Low Price Stock	G	Dividend	P1	T					
34. Fidelity Contrafund	E	Dividend	P1	T					

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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35. Fidelity Mid-Cap Stock	F	Dividend	O	T					
36. Loomis Sayles Bond Fund	B	Dividend			Buy (add'l)	02/26/19	K		
37.					Sold	10/30/19	L	B	
38. FMI Large Cap Fund	C	Dividend	K	T					
39. Harbor Capital Appreciation Fund	C	Dividend	K	T					
40. Oakmark Global Sel Cl 1 Fund	A	Dividend	L	T					
41. LPL: Ins'd bank cash dep. sweep	A	Interest	K	T					
42. Western Asset Core Plus Bond	C	Dividend	L	T					
43. Blackrock Nat'l Mun. Instl. Cl.	B	Dividend	K	T					
44. JP Morgan Large Cap Growth Sel.	E	Dividend	M	T					
45. New Perspective Fund 2	B	Dividend	K	T					
46. Nuveen All American Mun. Bd. Cl 1	B	Dividend	K	T					
47. Invesco High Yield Mun. Cl. Y	B	Dividend	K	T					
48. Eaton Vance Flt. Rt. Advntg.	B	Dividend	K	T					
49. ISHS Russ 2000 Indx	A	Dividend	K	T					
50. BNY Mellon Equity Income f/k/a Dreyfus	B	Dividend	L	T	Buy	02/26/19	K		Name change on 5/31/19
51. Putnam Short Duration Income CLY	A	Dividend	K	T	Buy	02/26/19	K		

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	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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52. Touchstone Mid Cap CLY	B	Dividend	K	T	Buy	02/26/19	K		
53. Fidelity OTC Port. Fund	D	Dividend	M	T	Buy	04/29/19	M		
54. Voya Strategic Income Opptys CLI IISIX	A	Dividend	L	T	Buy	10/30/19	L		
55. BONDS and U.S. TREASURY NOTES: (H)									
56. Univ Tex Perm Univ FD RFDG Ser. B	B	Interest	K	T					
57. ACCOUNTS IN FINANCIAL INST: (H)									
58. Bank of America, Houston, TX (Accounts)	A	Interest	N	T					
59. Comerica Bank, Houston, TX (Accounts)	B	Interest	M	T					
60. Allegiance Bank, Houston (Accounts)	B	Interest	M	T					
61. Zions Bancorp. (Amegy) fka ZB Nat'l Assoc Amegy	A	Interest	M	T					
62. Allegiance Bank, Houston (Accounts)	C	Interest	M	T					
63. JP Morgan Secs. Bank dep. program	A	Interest	K	T					
64. REAL ESTATE: (H)									
65. Condo-Larimer County, CO	E	Rent	N	W					
66. Working Interest-Cabot U., Andrews County, TX	B	Royalty	J	W					
67. Mineral Interest, Wheeler County, TX	A	Royalty	J	W					
68. Mineral Interests, Martin and Dawson Counties, TX	F	Royalty	L	W					

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69. Mineral Interest, Glasscock County, TX (Laredo Pet)	B	Royalty	J	W					
70. Fractional int. in real property, Kerr County, TX	A	Rent	N	W					
71. Mineral Interests, Howard County, TX	F	Royalty	M	W					See Note (2) in Part VIII
72. RETIREMENT & IRA ACCOUNTS: (H)									
73. IRA Acct: John Hancock Ind. Ret.Annuity (Fixed)		None	K	V	Distributed (part)	12/31/19	J	A	See Note (3) in Part VIII
74. IRA ROLLOVER ACCOUNT: (H)									
75. --Citibank FDIC Ins. Bank Deposit Sweep	B	Interest	M	T					
76. --Berkshire Hathaway CL B		None	M	T	Sold (part)	07/31/19	M	F	
77. --Home Depot, com.	D	Dividend	N	T	Sold (part)	04/30/19	L	E	
78.					Sold (part)	11/20/19	K	E	
79. --Microsoft, com.	B	Dividend	M	T					
80. --Stryker Corp., com.	B	Dividend	M	T					
81. --Thermo Fish. Sc., com.	A	Dividend	M	T					
82. --Fidelity Low Priced Stock	F	Dividend	O	T					
83. --Fidelity Small Cap Disc. Fund	D	Dividend			Sold (part)	04/29/19	L	D	
84.					Sold	06/21/19	M	G	
85. --Fidelity OTC Port	E	Dividend	O	T					

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86. --Fidelity 500 Index	D	Dividend	O	T					
87. --Fidelity Select Medical Equip & System	B	Dividend	L	T					
88. --Fidelity Select Software & IT	D	Dividend	L	T					
89. --BNY Mellon FDIC Ins. Bank Deposit Sweep	A	Interest	M	T					
90. --Fidelity Contrafund	C	Dividend	M	T	Buy	07/30/19	L		
91. --CIBC Bank USA Bank Deposit Sweep	A	Interest	M	T					
92. IRA ROLLOVER ACCT NO. 2: (H)									
93. CORPORATE BONDS/NOTES IN IRA ROLLOVER ACCT #2: (H)									
94. --Goldman Sachs Notes due 10/23/19	C	Interest			Redeemed	10/23/19	L		
95. --Morgan Stanley Notes due 07/23/19	B	Interest			Redeemed	07/23/19	L		
96. --AT&T Bonds due 06/30/20	B	Interest	M	T					
97. --JP Morgan Chase Notes due 10/29/20	C	Interest	M	T					
98. --Pepsico Notes due 10/06/21	B	Interest	L	T					
99. --Wells Fargo Notes due 07/26/21	B	Interest	M	T					
100. --Amer Express Notes due 12/02/22	C	Interest	M	T					
101. --Philip Morris Internat'l Notes due 11/2/22	B	Interest	M	T					
102. --McDonald's Notes due 4/1/2023	C	Interest	M	T					

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103. --State Street Notes due 5/15/23	C	Interest	M	T					
104. --Morgan Stanley Notes due 10/23/24	B	Interest	M	T	Buy	09/09/19	M		
105. --Verizon Communications Notes due 11/1/24	B	Interest	M	T	Buy	10/23/19	M		
106. EQUITIES IN IRA ROLLOVER ACCT #2: (H)									
107. --American Express, com.	A	Dividend	K	T					
108. --Chevron, com. (fka Chevron Texaco)	C	Dividend	M	T					
109. --KO, com.	C	Dividend	M	T					
110. --Exxon Mobil, com.	D	Dividend	M	T					
111. --J.P. Morgan Chase, com.	C	Dividend	M	T					
112. --JNJ, com.	B	Dividend	L	T					
113. --Merck, com.	A	Dividend	K	T					
114. --PEP, com.	B	Dividend	K	T					
115. --Walgreen, com.	A	Dividend			Sold	09/09/19	K	C	
116. --S&P Global, com.	A	Dividend	L	T					
117. --Altria Group, com.	D	Dividend	M	T					
118. --Automatic Data Proc., com.	B	Dividend	L	T					
119. --Occidental Pete Corp. Cal., com.	B	Dividend	K	T					

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WERLEIN, JR., EWING

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. --Linde, PLC, com.	B	Dividend	L	T					
121. --Procter & Gamble., com.	B	Dividend	L	T					
122. --United Technologies Corp., com.	A	Dividend	K	T					
123. --Texas Instruments, com.	C	Dividend	L	T					
124. --Abbott Labs, com.	B	Dividend	L	T					
125. --McDonalds, com.	B	Dividend	L	T					
126. --Philip Morris Intl, com.	D	Dividend	M	T					
127. --Total SA., com.	A	Dividend	K	T					
128. --Nestle Sponsored ADR, com.	A	Dividend	K	T					
129. --Roche Holdings Ltd. Spon. ADR, com.	A	Dividend	L	T					
130. --Walt Disney Co., com.	A	Dividend	K	T					
131. --Air Products & Chems., com.	A	Dividend	K	T					
132. --Black Rock, com.	B	Dividend	L	T					
133. --Novo Nordisk AS ADR, com.	A	Dividend	L	T					
134. --Kinder Morgan, com.	A	Dividend			Sold	09/09/19	J		
135. --Abbvie Inc., com.	C	Dividend	L	T					
136. --Canadian Pac Ry Ltd., com.	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. --State Street Corp., com.	A	Dividend			Sold	09/09/19	J		
138. --Novartis AG Spon. ADR, com.	A	Dividend	K	T	Distributed (part)	04/09/19	J		spinoff Alcon l. 147
139. --Diageo PLC Spon. ADR New, com.	A	Dividend	K	T					
140. --Chubb Ltd., com	A	Dividend	K	T					
141. --Intercontinental Exchange Group, com.	A	Dividend	K	T					
142. --VISA, com.	A	Dividend	L	T					
143. --Constellation Brands, com.	A	Dividend			Sold	09/09/19	K	D	
144. --Intuitive Surgical, com.		None	K	T					
145. --Microsoft, com.	B	Dividend	M	T					
146. --Union Pac., com.	A	Dividend	K	T					
147. --Alcon Inc., com.		None	J	T	Spinoff (from line 138)	04/09/19	J		from Novartis l. 138
148. --United Health Group, com.	A	Dividend	L	T	Buy	09/09/19	L		
149. CASH ACCOUNTS IN IRA ROLLOVER ACCT NO. 2: (H)									
150. --Raymond James Ins'd Bank Dep Program	A	Interest	L	T					
151. IRA ROLLOVER NO. 3: (H)									
152. --Lord Abbett Short Fund	E	Dividend	O	T					
153. --iShares Barclays TIPS BO	B	Dividend	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Invesco Oppenheimer Senior Floating Rate	C	Dividend	L	T					Name change add Invesco
155. --AT&T Inc GLB bonds due 3/11/19	A	Interest			Redeemed	03/11/19	K		
156. --Goldman Sachs Group Inc. bonds due 2/15/19	B	Interest			Redeemed	02/15/19	K		
157. --Hewlett Packard GLB bonds due 1/14/19	B	Interest			Redeemed	01/14/19	K		
158. --JPMorgan Chase & Co. bonds due 1/28/19	A	Interest			Redeemed	01/28/19	K		
159. --AT&T Inc., com.	D	Dividend	M	T					
160. --JP Morgan Deposit Sweep Acct.	A	Interest	J	T					
161. --JP Morgan 100% Treas. Sec. MM Fd.	B	Dividend	J	T	Sold (part)	10/02/19	K		
162.					Sold (part)	12/16/19	L		
163. --U.S. Treasury Bills (due 9/26/19)	C	Interest			Buy	03/28/19	N		
164.					Redeemed	09/26/19	N		
165. --U.S. Treasury Bills (due 4/2/20)		None	N	T	Buy	10/02/19	N		
166. TRUST NO. 2: (H)									
167. --Fidelity MM	B	Dividend	M	T					
168. --Fidelity Low Price Stock	E	Dividend	O	T					
169. --Fidelity Small Cap Discovery Fund	D	Dividend			Sold (part)	04/26/19	K	D	
170.					Sold	06/20/19	M		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. --Fidelity OTC Portfolio Fund	E	Dividend	N	T					
172. --Fidelity MSCI Consumer ETF	A	Dividend	K	T					
173. --Fidelity MSCI Energy ETF		None			Sold	01/30/19	K		
174. --Fidelity MSCI Financials ETF		None			Sold	01/30/19	K		
175. --Fidelity MSCI Industrials ETF	A	Dividend			Sold	06/24/19	K	A	
176. --Fidelity 500 Index Fund	C	Dividend	M	T	Buy	02/07/19	L		
177.					Buy (add'l)	05/09/19	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

(1) Part VII, pages 4-5, lines 5 and 30: On 08/08/19, Occidental acquired Anadarko for cash and shares in Occidental. The cash portion I received for my shares is shown on line 5, and resulted in a gain. The Occidental shares portion I received is shown on line 30, which resulted in no gain, and which I refer to as a "merger."

(2) Part VII, page 8, line 71: In 2018 I used two line entries for mineral interests in Howard County, TX, one to show royalty income and the second to show rent income. There was no rent income in 2019 and these two lines are consolidated on line 71.

(3) Part VII, page 8, line 73: The year-end value of IRA account in John Hancock Ind. Retirement Annuity, which is not publicly traded, was provided by John Hancock Life Ins. Co.

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Name of Person Reporting

WERLEIN, JR., EWING

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ EWING WERLEIN, JR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544