

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Ruiz, David A.	2. Court or Organization U.S. District Court, Northern District of Ohio	3. Date of Report 08/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 801 West Superior Ave Cleveland, OH 44113		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Ruiz, David A.

Date of Report

08/11/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Benesch Friedlander Copland & Aromoff LLP- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Ruiz, David A.	08/11/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. PNC Bank (Cash Accounts)	A	Interest	K	T					
2. 401K#1 (H)									
3. -BR LifePath Dynamic 2030	B	Dividend	K	T					
4. -American Funds- EuroPacific Growth Fund	B	Dividend	L	T					
5. -American Funds-Growth Fund of America	D	Dividend	M	T					
6. -PGIM Total Return Bond Fund	C	Dividend	L	T					
7. -Vanguard 500 Index Fund	B	Dividend	L	T					
8. 401k#2 (H)									
9. -T Rowe Price Retirement 2040	A	Dividend	L	T					
10. 401k#3 (H)									
11. -Vanguard Target Retirement 2025	A	Dividend			Merged (with line 12)	12/05/19	K		
12. -Fidelity Freedom Index 2025	C	Dividend	K	T	Buy	12/05/19	K		
13. -Vanguard International Growth Adm	A	Dividend	K	T					
14. -Fidelity MidCap Index Fund	B	Dividend	L	T					
15. -TRowe Price Inst Large Cap Growth	C	Dividend	L	T					
16. 529 Accounts (H)									
17. -Vanguard Windsor II	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Ruiz, David A.

Date of Report

08/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Vanguard 500 Index	A	Dividend	L	T	Buy (add'l)	07/29/19	K		
19. -Vanguard Wellington	A	Dividend	K	T	Buy (add'l)	07/29/19	J		
20. -Vanguard Money Market Fund	A	Dividend			Sold	07/29/19	L	A	
21. -Vanguard Total Bond Market Index	A	Dividend	K	T	Buy	07/29/19	K		
22. -Vanguard Extended Market Index	A	Dividend	J	T	Buy	07/29/19	J		
23. 401k#4 (H)									
24. -Benesch Balanced Fund	A	Dividend	K	T					
25. -JH 2040	C	Dividend	K	T					
26. Brokerage Account (H)									
27. -Vanguard Prime Money Market Fund	A	Dividend	K	T	Buy (add'l)	02/04/19	J		
28.					Buy (add'l)	02/20/19	J		
29.					Sold (part)	02/25/19	K	A	
30.					Buy (add'l)	04/01/19	J		
31.					Buy (add'l)	04/16/19	J		
32.					Buy (add'l)	05/06/19	J		
33.					Buy (add'l)	06/25/19	J		
34.					Sold (part)	07/29/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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35.					Buy (add'l)	09/12/19	J		
36.					Buy (add'l)	09/13/19	J		
37.					Buy (add'l)	09/19/19	J		
38.					Buy (add'l)	09/26/19	J		
39.					Buy (add'l)	10/03/19	J		
40.					Buy (add'l)	10/10/19	J		
41.					Buy (add'l)	10/17/19	J		
42.					Buy (add'l)	10/24/19	J		
43.					Buy (add'l)	10/31/19	J		
44.					Buy (add'l)	11/07/19	J		
45.					Buy (add'l)	12/05/19	J		
46.					Buy (add'l)	12/12/19	J		
47.					Buy (add'l)	12/19/19	J		
48.					Buy (add'l)	12/26/19	J		
49. -Federal Money Market Fund	A	Dividend	J	T					
50. -Vanguard Balanced Index Fund	A	Dividend	L	T	Buy (add'l)	06/25/19	J		
51.					Buy (add'l)	07/29/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

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(See Columns C1 and D3)

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52. -Vanguard 500 Index Fund	A	Dividend	K	T	Buy (add'l)	06/25/19	J		
53.					Buy (add'l)	07/29/19	J		
54. -Vanguard Total Stock Market	A	Dividend	J	T	Buy	07/29/19	J		
55. -Vanguard International Stok Market Index	A	Dividend	J	T	Buy	07/29/19	J		
56. -Vanguard Intermediate Term Bond Fund	A	Dividend	K	T	Buy	07/29/19	K		
57. -Vanguard Mid-Cap Index	A	Dividend	J	T	Buy	07/29/19	J		
58. Third Federal Savings & Loan (Cash Account)	A	Interest	J	T					
59. IRA (H)									
60. -Calamos Growth Fund	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Ruiz, David A.

Date of Report

08/11/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Clarifications:

The funds in the mutual fund listed in Line 11 were transferred into the mutual fund in Line 12, when the Line 11 investment option was discontinued.

The funds in the Line 20 money market were sold to purchase funds in lines 18, 19, 21, 22.

The brokerage account in Line 26 of this Report and the mutual fund account reported in Line 41 of the 2018 Report were merged into one account during the 2018 reporting period, but were reported as separate accounts in the 2018 Report. They are reported as one Account in this 2019 Report.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Ruiz, David A.

Date of Report

08/11/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David A. Ruiz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544