

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Patti, Anthony P.	2. Court or Organization Michigan Eastern District Court	3. Date of Report 08/10/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Theodore Levin United States Courthouse 231 West Lafayette Boulevard, Room 629 Detroit, MI		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Lecturer	University of Michigan-Dearborn
2. Custodian	Investment Accounts 4-7
3. Trustee	Trust #1
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 01/01/2015	Hooper Hathaway, P.C. Memorandum of Redemption, tendering all shares, waiving salary continuation and agreeing to payment of outstanding receivables
2.	
3.	

Name of Person Reporting	Date of Report
Patti, Anthony P.	08/10/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2019	Hooper Hathaway PC fees earned prior to departure consistent with the terms of agreement listed in Part II	\$63,286.19
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[x] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[x] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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Patti, Anthony P.	08/10/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA (H) 21									
2. - Merrill Lynch Cash/Money Account a/k/a Bank of America NA Rasp	A	Interest	J	T					
3. - Invesco Intl Divi	A	Dividend	K	T					
4. - iShares MSCI Emerging Mkts (EEM)	A	Dividend	K	T					
5. - Lord Abbett Bond Fund (LBDFX)	A	Dividend	J	T					
6. - Vanguard Dividend (VIG)	A	Dividend	K	T					
7. - Vanguard Growth ETF (VUG)	A	Dividend	K	T					
8. - Vanguard Small Cap (VB)	A	Dividend	K	T					
9. - Vanguard Mid-Cap ETF (VO)	A	Dividend	K	T					
10. - Vanguard Real Estate ETF	A	Dividend	J	T					
11. - Vanguard Total Bn MKT (BND)	A	Dividend	K	T					
12. - Vanguard Windsor II (Y)									
13. - Vanguard Wellington Fund (Y)									
14.									
15. SEP (H) 10									
16. -Merrill Lynch Cash/Money Account n/k/a Bank of America NA RASP	A	Interest	J	T					
17. - Invesco Intl Divid Achievers ETF (PID)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Patti, Anthony P.

Date of Report

08/10/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - iShares MSCI Emerging Mkts (EEM)	A	Dividend	J	T					
19. - Lord Abbett Bond Fund (LBDFX)	A	Dividend	J	T					
20. - Vanguard Growth ETF (VUG)	A	Dividend	J	T					
21. - Vanguard Small Cap (VB)	A	Dividend	J	T					
22. - Vanguard Mid-Cap ETF (VO)	A	Dividend	J	T					
23. - Vanguard Div Appreciation ETF (VIG)	A	Dividend	J	T					
24. - Vanguard Real Estate ETF	A	Dividend	J	T					
25. - Vanguard Total Bn MKT (BND)	A	Dividend	J	T					
26.									
27. Merrill Lynch #44 (H)									
28. - Bank of America NA	A	Interest	J	T					
29. - iShares MSCI Emerging Mkts (EEM)	A	Dividend	L	T					
30. - Invesco Intl Divid (PID)	C	Dividend	L	T					
31. - Lord Abbett Bond Fund ((LBDFX)	C	Dividend	L	T					
32. - Powershares Inter DVD (PDI)	A	Dividend	J	T					
33. - Vanguard Growth ETF	B	Dividend	M	T					
34. - Vanguard Small Cap (VB)	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Vanguard Mid-Cap ETF (VO)	B	Dividend	M	T					
36. - Vanguard Div Appreciation ETF (VIG)	B	Dividend	M	T					
37. - Vanguard Total Bn MKT (BND)	C	Dividend	M	T					
38. - Vanguard Real Estate ETF	B	Dividend	K	T					
39.									
40. Merrill Lynch #37G -4 (H)									
41. - Bank of America NA	A	Dividend	J	T					
42. - Invesco Intl Divid (PID)	A	Dividend	J	T					
43. - iShares MSCI Emerging Mkts (EEM)	A	Dividend	J	T					
44. - Lord Abbett Bond (EEM)	A	Dividend	J	T					
45. - Vanguard Growth ETF	A	Dividend	J	T					
46. - Vanguard Small Cap (VB)	A	Dividend	J	T					
47. - Vanguard Mid-Cap ETF (VO)	A	Dividend	J	T					
48. - Vanguard Div Appreciation ETF (VIG)	A	Dividend	J	T					
49. - Vanguard Real Estate ETF	A	Dividend	J	T					
50. - Vanguard Total Bn MKT (BND)	A	Dividend	J	T					
51.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Merrill Lynch #38L-5 (H)									
53. - Bank of America NA	A	Dividend	J	T					
54. - Invesco Intl Divid (PID)	A	Dividend	J	T					
55. - iShares MSCI Emerging Mkts (EEM)	A	Dividend	J	T					
56. - Lord Abbett Bond (LBDFX)	A	Dividend	J	T					
57. - Vanguard Growth ETF	A	Dividend	J	T					
58. - Vanguard Small Cap (VB)	A	Dividend	J	T					
59. - Vanguard Mid-Cap ETF (VO)	A	Dividend	J	T					
60. - Vanguard Div Appreciation ETF (VIG)	A	Dividend	J	T					
61. - Vanguard Total Bn MKT (BND)	A	Dividend	J	T					
62. - Vanguard Real Estate ETF	A	Dividend	J	T					
63.									
64. Merrill Lynch #39S -6 (H)									
65. - Bank of America NA	A	Interest	J	T					
66. -Invesco Intl Divid (PID)	A	Dividend	J	T					
67. - iShares MSCI Emerging Mkts (EEM)	A	Dividend	J	T					
68. -Lord Abbett Bond (LBDFX)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

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R =Cost (Real Estate Only)

V =Other

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H1 =\$1,000,001 - \$5,000,000

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69. - Vanguard Growth ETF	A	Dividend	J	T					
70. - Vanguard Small Cap (VB)	A	Dividend	J	T					
71. - Vanguard Mid-Cap ETF (VO)	A	Dividend	J	T					
72. - Vanguard Div Appreciation ETF (VIG)	A	Dividend	J	T					
73. - Vanguard Total Bn MKT (BND)	A	Dividend	J	T					
74. - Vanguard Real Estate ETF	A	Dividend	J	T					
75.									
76. Merrill Lynch #41M -7 (H)									
77. - Bank of America NA	A	Dividend	J	T					
78. -Invesco Intl Divid (PID)	A	Dividend	J	T					
79. - iShares MSCI Emerging Mkts	A	Dividend	J	T					
80. -Lord Abbett Bond (LBDFX)	A	Dividend	J	T					
81. - Vanguard Growth ETF	A	Dividend	J	T					
82. - Vanguard Small Cap (VB)	A	Dividend	J	T					
83. - Vanguard Mid-Cap ETF (VO)	A	Dividend	J	T					
84. - Vanguard Div Appreciation ETF (VIG)	A	Dividend	J	T					
85. - Vanguard Total Bn MKT (BND)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. - Vanguard Real Estate ETF	A	Dividend	J	T					
87.									
88. Northwestern Mutual extraordinary life policy (whole life)	A	Dividend	J	T					
89. Northwestern Mutual adjustable complete life policy (whole life)	A	Dividend	J	T					
90.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Date of Report

08/10/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Anthony P. Patti**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544