

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crews, Shane K.	2. Court or Organization U.S. District Court, Colorado	3. Date of Report 02/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 01/31/2019
7. Chambers or Office Address Byron G. Rogers United States Courthouse 1929 Stout St, C253 Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)
☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	University of Northern Colorado
2.	Director	Colorado Lawyer Trust Account Foundation
3.	Director	CHOICE Education Foundation
4.	Director	Colorado Bar Association Continuing Legal Education
5.	Partner	Hoffman Crews Nies Waggener & Foster LLP
6.	Member	Hoffman Crews Nies LLC
7.	Member	State Bar of Colorado

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)
☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2018	Hoffman Nies Dave LLP f/k/a Hoffman Crews Nies Waggener & Foster LLP: receipt of fees collected in the future for work done prior to leaving the firm
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Hoffman Crews Nies Waggener & Foster LLP: earned income (net)	\$248,883.00
2. 2017	Hoffman Crews Nies Waggener & Foster LLP: earned income (net)	\$139,387.00
3. 2018	Hoffman Crews Nies Waggener & Foster LLP: earned income (estimated net/partial year)	\$97,505.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Monument Academy Charter School: wages for part-time employment
2. 2018	Monument Academy Charter School: wages for part-time employment
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. EXEMPT for this Initial Report				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT for this Initial Report		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank Cash Accounts	A	Interest	L	T	Exempt				
2. Edward Jones Mutual Funds (H)									
3. - American AMCAP C	A	Dividend	J	T	Exempt				
4. - American Europacific Growth C	A	Dividend	J	T	Exempt				
5. - American Fundamental INV C	A	Dividend	J	T	Exempt				
6. - American INTL Growth & Inc C	A	Dividend	J	T	Exempt				
7. - American Invest Co of Amer C	A	Dividend	J	T	Exempt				
8. - American New Economy C	A	Dividend	J	T	Exempt				
9. - American New World C	A	Dividend	J	T	Exempt				
10. - American SMALLCAP World C	A	Dividend	J	T	Exempt				
11. - American Wash Mutual INV C	A	Dividend	J	T	Exempt				
12. SC 529 Age Based 16-18 A		None	K	T	Exempt				
13. SC 529 Age Based 16-18 C		None	J	T	Exempt				
14. SC 529 Age Based 13-15 A		None	K	T	Exempt				
15. SC 529 Age Based 13-15 C		None	J	T	Exempt				
16. SIMPLE IRA (H)									
17. - Edward Jones Retirement Money Market	A	Interest	J	T	Exempt				

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - iShares MSCI EAFE Small Cap Et	A	Dividend	J	T	Exempt				
19. - iShares Core US Aggregate Bond	A	Dividend	J	T	Exempt				
20. - iShares Russ MC Growth ETF	A	Dividend	J	T	Exempt				
21. - iShares Russ MC Value ETF	A	Dividend	J	T	Exempt				
22. - iShares Russ 1000 Value ETF	A	Dividend	J	T	Exempt				
23. - iShares Russ 2000 ETF	A	Dividend	J	T	Exempt				
24. - iShares S&P 500 Growth ETF	A	Dividend	J	T	Exempt				
25. - iShares MSCI EAFE Growth ETF	A	Dividend	J	T	Exempt				
26. - iShares MSCI EAFE Value ETF	A	Dividend	J	T	Exempt				
27. - Vanguard Value ETF	A	Dividend	J	T	Exempt				
28. - Vanguard Large Cap ETF	A	Dividend	J	T	Exempt				
29. - Vangrd FTSE All-Wrld exUS ETF	A	Dividend	J	T	Exempt				
30. - Vanguard Ttl BD Market ETF	A	Dividend	J	T	Exempt				
31. - Pgim High Yield R6	A	Dividend	J	T	Exempt				
32. IRA #1 (H)									
33. - Edward Jones Retirement Money Market	A	Int./Div.	J	T	Exempt				
34. - iShares MSCI EAFE Small Cap Et	A	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - iShares Core US Aggregate Bond	A	Dividend	K	T	Exempt				
36. - iShares Russ MC Growth ETF	B	Dividend	J	T	Exempt				
37. - iShares Russ MC Value ETF	A	Dividend	K	T	Exempt				
38. - iShares Russ 1000 Value ETF	B	Dividend	K	T	Exempt				
39. - iShares Russ 2000 ETF	A	Dividend	K	T	Exempt				
40. - iShares S&P 500 Growth ETF	A	Dividend	K	T	Exempt				
41. - iShares MSCI EAFE Growth ETF	A	Dividend	K	T	Exempt				
42. - iShares MSCI EAFE Value ETF	B	Dividend	K	T	Exempt				
43. - Vanguard Value ETF	A	Dividend	K	T	Exempt				
44. - Vanguard Large Cap ETF	A	Dividend	K	T	Exempt				
45. - Vangrd FTSE All-Wrld exUS ETF	B	Dividend	K	T	Exempt				
46. - Vanguard Ttl BD Market ETF	B	Dividend	K	T	Exempt				
47. - JPM Federal Money Market I	A	Int./Div.	J	T	Exempt				
48. - Pgim High Yield R6	A	Dividend	J	T	Exempt				
49. IRA #2 (H)									
50. - Edward Jones Retirement Money Market	A	Int./Div.	J	T	Exempt				
51. - iShares MSCI EAFE Small Cap Et	A	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - iShares Core US Aggregate Bond	A	Dividend	J	T	Exempt				
53. - iShares Russ MC Growth ETF	A	Dividend	J	T	Exempt				
54. - iShares Russ MC Value ETF	A	Dividend	J	T	Exempt				
55. - iShares Russ 1000 Value ETF	A	Dividend	J	T	Exempt				
56. - iShares Russ 2000 ETF	A	Dividend	J	T	Exempt				
57. - iShares S&P 500 Growth ETF	A	Dividend	J	T	Exempt				
58. - iShares MSCI EAFE Growth ETF	A	Dividend	J	T	Exempt				
59. - Shares MSCI EAFE Value ETF	A	Dividend	J	T	Exempt				
60. - Vanguard Value ETF	A	Dividend	J	T	Exempt				
61. - Vanguard Large Cap ETF	A	Dividend	J	T	Exempt				
62. - Vangrd FTSE All-Wrld exUS ETF	A	Dividend	J	T	Exempt				
63. - Vanguard Ttl BD Market ETF	A	Dividend	J	T	Exempt				
64. PGIM High Yield R6	A	Dividend			Exempt				
65.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I: I resigned my volunteer positions listed in #1 (Trustee), #2 (Director), and #3 (Director) in 2018 prior to my formal appointment to the bench. I also resigned my positions listed in #5 (Partner) and #6 (Member) in 2018 prior to my formal appointment to the bench.

Part I: My position in #2 as a Director of the Colorado Lawyer Trust Account Foundation was a volunteer position--I received no income nor do or did I have a beneficial interest in the principal or income of the funds administered by that organization.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Shane K. Crews**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544