

FINANCIAL DISCLOSURE REPORT

FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Atlas, Nancy F.	2. Court or Organization U.S. District Court, Southern District of Texas	3. Date of Report 09/01/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court 515 Rusk St., Room 9015 Houston, TX 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Associate Member	VICTORY (Houston American Cancer Society)
2. Co-Trustee	Trust 1
3. Co-Trustee (see Section VIII, Section 1, Note 1)	Trust 2
4. Co-Trustee (see Section VIII, Section 1, Note 1)	Trust 3
5. Task Force Co-Chair	American Bar Association Section of Litigation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Trustee fees
2. 2019	Self employed - legal consulting
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Texas	02/06/19	Houston, TX	Panelist, Adv. IP Law course	transportation
2. State Bar of Texas	07/18/19	San Antonio, TX	Speaker, Adv. Patent Law seminar	transportation, hotel
3. New York University	09/26/19 to 09/29/19	New York, NY	NYU, New York Civil Jury Project	transportation, hotel, meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Estate #2									
2. -BBVA cash equivalent		None	L	T					
3. -NOGXX- MFB Northern US Govt Money Market FDS	E	Interest	M	T					
4. -US Treasury Bills	E	Interest			Matured	02/18/19	P1		
5.					Buy	03/04/19	P1		
6.					Matured	05/02/19	P1		
7. IRA #2:									
8. -BHYIX - MFO Blackrock FDS V High Yield BD Port Instl C	B	Interest	K	T	Buy	01/16/19	K		
9. -Chevron Corp Bnds 2.193% Due 11-15-2019	B	Interest			Matured	11/15/19	K		
10. -Deere John Cap Corp Medium Term NTS- Book 3.15% Due 10-15-2021	B	Interest	L	T					
11. -GLAXOSMITHKLINE CAP PLC 3.125% 05-14-2021	B	Interest	L	T	Buy	01/07/19	L		
12. -GUNR - MFC Flexshares TR Morningstar Global Upstream Nat Res Index	B	Dividend	L	T					
13. - HOME DEPOT INC 3.125% DUE 03-01-2022 REG	B	Interest	L	T	Buy	01/17/19	L		
14. -JP Morgan Chase & Co 4.5% Due 01-24-2022 REG	B	Interest	L	T					
15. -NFRA - MFC Flexshares Tr Stoxx Global Broad Infrastructure Index FD	A	Dividend	K	T	Buy (add'l)	01/17/19	J		
16. -NGREX - MFB Northern FDS Global Real Estate Index FD	B	Dividend	K	T	Buy (add'l)	01/16/19	J		
17. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD	C	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD	A	Dividend	K	T	Buy (add'l)	01/16/19	K		
19.					Sold (part)	09/12/19	K	B	
20. -NOGXX - MFB Northern US Govt Money Market FDS	C	Interest	N	T					
21. -NOINX - MFB Northern Intl Equity Index FD	B	Dividend	L	T	Buy (add'l)	01/16/19	J		
22.					Sold (part)	09/12/19	K	C	
23. -NOMIX - MFB Northern Mid Cap Index FD	A	Dividend	K	T	Sold (part)	09/12/19	J	C	
24. -NOSIX - MFB Northern FDS STK Index FD	C	Dividend	M	T	Sold (part)	09/12/19	L	E	
25.					Buy (add'l)	12/20/19	J		
26. -NOVARTIS CAP CORP 2.4% DUE 09-21-2022	B	Interest	L	T	Buy	01/17/19	K		
27. -NSIDX- MFB Northern FDS Small Cap Index FD	A	Dividend	J	T	Sold (part)	09/12/19	J	B	
28. -ORACLE CORP 2.5% Due 05-15-2022/05-15-2015 REG	B	Interest	L	T	Buy	01/17/19	K		
29. -Pfizer Inc. 5.2% 08-12-2020 REG	C	Interest	L	T					
30. - SHELL INTL FIN B V 3.5% DUE 11-13-2023	B	Interest	L	T	Buy	01/17/19	L		
31. -TDTT- MFC Flexshares TR IBOX 3 Yr Target Duration TIPS Index FD	A	Interest	K	T					
32. - TOYOTA MOTOR CREDIT CORP 3.45% DUE 09-20-2023	B	Interest	L	T	Buy	01/17/19	L		
33. -Wells Fargo & Co 3.5% Due 03-08-2022 REG	B	Interest	L	T					
34. IRA #3									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. -3M CO 2.0% DUE 02-14-2025/08-26-2019		None	L	T	Buy	08/26/19	L		
36. -American Express Credit 2.6% 09-14-2020	C	Interest	M	T					
37. -Bank Montreal Med. Term SR NTS Book Entry Tranche # TR 00279 2.5% Due	B	Interest	M	T	Buy	08/23/19	M		
38. - BB&T Corp SR Med. Term NTS Book Entry Tranche # TR 00028 3.2% Due	C	Interest	M	T	Buy	01/18/19	M		
39. - Berkshire Hathaway Inc DEL 2.75% Due 03-15-2023/03-15-2016 REG	C	Interest	M	T	Buy	01/18/19	L		
40. -BHYIX- MFO Blackrock FDS V High Yield BD Port Instl C	C	Interest	L	T	Buy	01/16/19	L		
41. - Chevron Corp Bnds 2.193% Due 11-15-2019	B	Interest			Matured	11/15/19	L		
42. -Comcast Corp. New 5.7% Due 05-15-2018 BEO	C	Interest			Buy	01/18/19	M		
43.					Matured	11/13/19	M		
44. -Deere John Cap Corp Medium Term NTS-Book 3.15% Due 10-15-2021	B	Interest	L	T					
45. -ExxonMobil Corp. 2.275% Due08-16-2026/08-16-2019 REG		None	M	T	Buy	08/23/19	M		
46. -Gen Elec Cap Corp 4.625% Due 01-07-2021	C	Interest	M	T					
47. -GLAXOSMITHKLINE CAP PLC 3.125% 05-14-2021	C	Interest	M	T	Buy	01/18/19	M		
48. -GUNR - MFC Flexshares TR Morningstar Global Upstream Nat Res Index	C	Dividend	M	T	Sold (part)	09/13/19	K	D	
49. - HOME DEPOT INC 3.125% DUE 03-01-2022 REG	C	Interest			Buy	01/18/19	M		
50.					Sold	09/13/19	M	B	
51. -JP Morgan Chase & Co 4.5% Due 01-24-2022 REG	C	Interest			Sold	09/13/19	L		

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52. -Medtronic Inc. 3.15% Due 03-15-2022 REG	C	Interest			Sold	09/13/19	M		
53. -NFRA - MFC Flexshares Tr Stock Global Broad Infrastructure Index FD	B	Dividend	L	T	Buy (add'l)	01/17/19	K		
54.					Sold (part)	09/13/19	K	C	
55. -NGREX- MFB Northern FDS Global Real Estate Index FD	C	Dividend	L	T	Buy (add'l)	01/16/19	K		
56. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD	D	Interest	M	T					
57. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD	C	Dividend	L	T	Buy (add'l)	01/16/19	J		
58.					Sold (part)	09/12/19	K	C	
59. -NOGXX - MFB Northern US Govt Money Market FDS	E	Interest	P1	T	Buy	09/18/19	M		
60. -NOINX - MFB Northern Intl Equity Index FD	D	Dividend	M	T	Buy (add'l)	01/16/19	J		
61.					Sold (part)	09/12/19	L	D	
62. -NOMIX - MFB Northern Mid Cap Index FD	B	Dividend	L	T	Sold (part)	09/12/19	K	D	
63. -NOSIX - MFB Northern FDS STK Index FD (X)	D	Dividend	N	T	Sold (part)	09/12/19	M	F	
64.					Buy (add'l)	12/20/19	J		
65. -NOVARTIS CAP CORP 2.4% DUE 09-21-2022	B	Interest			Buy	01/18/19	L		
66.					Sold	09/13/19	M	C	
67. -NSIDX - MFB Northern FDS Small Cap Index FD	B	Dividend	L	T					
68. -SHELL INTL FIN B V 3.5% DUE 11-13-2023	C	Interest	M	T	Buy	01/18/19	M		

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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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69. -TDDT - MFC Flexshares TR IBOX 3 Yr Target Duration TIPS Index	B	Interest	L	T					
70. -Toronto Dominion BK SR Med. Term BK NT 3.5% Due 07-19-2023	C	Interest	M	T	Buy	01/18/19	M		
71. -TOTAL Cap Intl 2.7% Due 01-25-2023 REG	C	Interest	M	T	Buy	01/18/19	L		
72. -Toyota Mtr Cr Corp Md Term NTS Bk Entry Mtn 2.125% due 07-18-2019	B	Interest			Matured	07/18/19	L		
73. -US Bancorp Med. Term NTS- Bk Entry Tranche #TR 00171 3.0% Due 03-15-2	C	Interest			Buy	01/18/19	L		
74.					Sold	09/13/19	M	C	
75. -U.S. Treasury Bill		None	N	T	Buy	10/30/19	M		
76. -VSMAX - Small Cap Index Fund	A	Dividend			Sold	08/02/19	K		
77. -VSMAX-Vanguard Small Cap Index Fund (X)		None			Sold	09/13/19	K		
78. -Wells Fargo & Co New Medium Term SR NTS Book Entry Tranche # TR 0016	B	Interest	L	T					
79. -Westpac BKG Corp 2.15% Due 03-06-2020 REG	B	Interest	L	T					
80. IRA #6									
81. -GUNR - MFC Flexshares TR Morningstar Global Upstream Nat Res Index	A	Dividend	J	T	Buy	02/20/19	J		
82. -NGREX- MFB Northern FDS Global Real Estate Index FD	A	Dividend	J	T	Buy	02/19/19	J		
83. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD	B	Interest	K	T	Buy	02/19/19	K		
84. -NOBOX - MFB Northern FDS BD Index FD	C	Interest	M	T	Buy	02/19/19	M		
85. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD	A	Dividend	J	T	Buy	02/19/19	J		

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86. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest	J	T	Buy	02/19/19	M		
87. -NOINX - MFB Northern Intl Equity Index FD	A	Dividend	K	T	Buy	02/19/19	K		
88. -TDTT - MFC Flexshares TR IBOXX 3 Yr Target Duration TIPS Index	A	Interest	J	T	Buy	02/20/19	J		
89. -VFIAX- MFO Vanguard 500 Index Fund (X)	A	Dividend	K	T	Sold (part)	02/19/19	L		
90. -VSMAX-MFO Vanguard Small Cap Index Fund (X)	A	Dividend	K	T	Sold (part)	02/19/19	M		
91. -VFIAX - MFO Vanguard 500 Index Fund	A	Dividend			Sold	02/08/19	L		
92. -VSMAX - MFO Vanguard Small Cap Index Fund	A	Dividend			Sold	02/08/19	M		
93. -VUSXX - Vanguard Treasury Money Market Fund	B	Interest			Sold	02/19/19	J		
94. IRA #7									
95. -GUNR - MFC Flexshares TR Morningstar Global Upstream Nat Res Index	A	Dividend	J	T	Buy	07/17/19	J		
96. -NGREX- MFB Northern FDS Global Real Estate Index FD	A	Dividend	J	T	Buy	07/16/19	J		
97. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD	A	Interest	J	T	Buy (add'l)	07/16/19	J		
98. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD	A	Dividend	J	T					
99. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest	J	T					
100. -NOINX-Northern Intl Equity Index FD	A	Dividend	J	T					
101. -NOSIX - MFB Northern FDS STK Index FD	A	Dividend	K	T					
102. -NSIDX - MFB Northern FDS Small Cap Index FD (FN 11)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Atlas, Nancy F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. IRA #8									
104. -DFISX - MFO DFA Intl Small Co Portfolio Fund	A	Dividend	J	T	Buy	02/07/19	J		
105. -GUNR - MFC Flexshares TR Morningstar Global Upstream Nat Res Index	A	Dividend	J	T	Buy	01/11/19	J		
106.					Buy (add'l)	01/24/19	J		
107.					Sold (part)	12/31/19	J	A	
108. -NFRA - MFC Flexshares Tr Stock Global Broad Infrastructure Index FD	A	Dividend	J	T	Buy	01/11/19	J		
109.					Sold (part)	02/08/19	J	A	
110.					Buy (add'l)	06/24/19	J		
111. -NGREX- MFB Northern FDS Global Real Estate Index FD	A	Dividend	J	T	Buy	01/10/19	J		
112.					Buy (add'l)	01/23/19	J		
113.					Buy (add'l)	02/07/19	J		
114.					Buy (add'l)	12/13/19	J		
115. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD	B	Interest	K	T	Buy	01/10/19	J		
116.					Buy (add'l)	01/23/19	J		
117.					Buy (add'l)	02/07/19	J		
118.					Sold (part)	02/27/19	J	A	
119.					Sold (part)	06/21/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Atlas, Nancy F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	12/13/19	J		
121. -NOBOX - MFB Northern FDS BD Index FD	C	Interest	M	T	Buy	01/10/19	L		
122.					Buy (add'l)	01/23/19	L		
123.					Sold (part)	02/07/19	J	A	
124.					Sold (part)	02/27/19	J	A	
125.					Buy (add'l)	06/21/19	J		
126.					Sold (part)	07/19/19	J	A	
127. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD	A	Dividend	J	T	Buy	01/10/19	J		
128.					Buy (add'l)	01/23/19	J		
129.					Buy (add'l)	02/07/19	J		
130.					Sold (part)	06/21/19	J	A	
131.					Sold (part)	08/16/19	J		
132. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest	J	T					
133. -NOINX - MFB Northern Intl Equity Index FD	A	Dividend	K	T	Buy	01/10/19	J		
134.					Buy (add'l)	01/23/19	J		
135.					Buy (add'l)	02/07/19	J		
136.					Sold (part)	02/27/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -NOMIX - MFB Northern Mid Cap Index FD	A	Dividend	J	T	Buy	01/10/19	J		
138.					Buy (add'l)	01/23/19	J		
139.					Buy (add'l)	02/07/19	J		
140. -NOSIX - MFB Northern FDS STK Index FD (X)	B	Dividend	L	T	Buy	01/10/19	K		
141.					Buy (add'l)	01/23/19	J		
142.					Buy (add'l)	02/07/19	J		
143.					Sold (part)	02/24/19	J	A	
144.					Sold (part)	06/21/19	J	A	
145.					Buy (add'l)	07/19/19	J		
146.					Buy (add'l)	08/16/19	J		
147. -NSIDX - MFB Northern FDS Small Cap Index FD (FN 11)	A	Dividend	J	T	Buy	01/10/19	J		
148.					Buy (add'l)	01/23/19	J		
149.					Sold (part)	02/07/19	J	A	
150. -TDTT - MFC Flexshares TR IBOXX 3 Yr Target Duration TIPS Index	A	Interest	J	T	Buy	02/08/19	J		
151. JOINT:									
152. -BBVA cash equivalent	A	Interest	L	T					
153. -BNY Mellon Appreciation Fund (formerly "Dreyfus Apprec. Fund")	E	Dividend	M	T	Buy (add'l)	03/29/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	06/28/19	J		
155.					Buy (add'l)	09/30/19	J		
156.					Buy (add'l)	12/20/19	J		
157. -DFISX - MFO DFA Intl Small Co Portfolio Fund	A	Dividend			Sold	09/16/19	J		
158. -GUNR-MFC Flexshares TR Morningstar Global Upstream Nat Res Ind (X)	A	Dividend			Sold	09/13/19	J	B	
159. -GUNR-MFC Flexshares TR Morningstar Global Upstream Nat Res Ind	A	Dividend			Sold	09/16/19	J	A	
160. -Nexus BSP LLC (merger Nexus Resources & Blind Squirrel Ptnrs) (Y)									
161. -NFRA - MFC Flexshares Tr Stock Gbl Broad Infrastructure Index FD (X)	A	Dividend			Sold	09/13/19	J	B	
162. -NFRA - MFC Flexshares Tr Stock Global Broad Infrastructure Index FD	A	Dividend			Buy (add'l)	06/24/19	J		
163.					Sold	09/16/19	J	A	
164. -NGREX-MFB Northern FDS Global Real Estate Index FD (X)	A	Dividend			Sold	09/12/19	J	A	
165. -NGREX-MFB Northern FDS Global Real Estate Index FD	A	Dividend			Buy (add'l)	01/18/19	J		
166.					Sold	09/13/19	J	A	
167. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD (X)	B	Interest	K	T					
168. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD	B	Interest			Buy (add'l)	04/02/19	J		
169.					Sold (part)	06/21/19	J		
170.					Sold	11/22/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Atlas, Nancy F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD		None			Buy (add'l)	01/18/19	J		
172.					Buy (add'l)	04/02/19	J		
173.					Sold (part)	06/21/19	J		
174.					Sold (part)	08/16/19	J		
175.					Sold	09/13/19	J		
176. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD (X)		None			Sold	09/12/19	K	A	
177. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest			Sold (part)	11/21/19	J		
178.					Sold (part)	11/22/19	J		
179.					Sold (part)	12/02/19	J		
180.					Sold	12/05/19	J		
181. -NOGXX - MFB Northern US Govt Money Market FDS (X)	A	Interest	N	T	Buy	11/21/19	J		
182. -NOINX - MFB Northern Intl Equity Index FD		None			Buy (add'l)	04/02/19	J		
183.					Sold	09/13/19	K	B	
184. -NOINX - MFB Northern Intl Equity Index FD (X)	A	Dividend			Sold	09/12/19	K	B	
185. -NOITX - MFB Northern FDS Inter Tax Exempt FD (X)	A	Interest	L	T					
186. -NOITX - MFB Northern FDS Inter Tax Exempt FD	B	Interest			Sold (part)	01/18/19	J		
187.					Buy (add'l)	04/02/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Atlas, Nancy F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	06/21/19	J		
189.					Sold (part)	07/19/19	J	A	
190.					Sold	11/22/19	K		
191. -NOMIX - MFB Northern Mid Cap Index FD		None			Sold	09/13/19	J	A	
192. -NOMIX - MFB Northern Mid Cap Index FD (X)		None			Sold	09/12/19	J	A	
193. -NOSIX - MFB Northern FDS STK Index FD	A	Dividend			Buy (add'l)	01/18/19	J		
194.					Buy (add'l)	04/02/19	J		
195.					Sold (part)	06/21/19	J	A	
196.					Buy (add'l)	07/19/19	J		
197.					Buy (add'l)	08/16/19	J		
198.					Sold	09/13/19	K	D	
199. -NOSIX - MFB Northern FDS STK Index FD (X)	A	Dividend			Sold	09/12/19	K	D	
200. NSIDX - MFB Northern FDS Small Cap Index FD		None			Sold	09/13/19	J	A	
201. NSIDX - MFB Northern FDS Small Cap Index FD (X)		None			Sold	09/12/19	J	A	
202. -NTAUX-MFB Northern Funds Tax Advantaged Ultra Short Fixed (X)	A	Interest	K	T					
203. ██████████	A	Interest			Closed	03/01/19	J		
204. -TDTT - MFC Flexshares TR IBOXX 3 Yr Target Duration TIPS Index (X)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Atlas, Nancy F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -TDDT - MFC Flexshares TR IBOXX 3 Yr Target Duration TIPS Index	A	Interest			Sold	11/12/19	J		
206. TPG Partners V, L.P.	A	Int./Div.	J	T					
207. -VFIAX - MFO Vanguard 500 Index Fund Admiral Shares (X)	A	Dividend			Sold	09/12/19	K	D	
208. -Vista Foundation Fund II, FAF, L.P. (X)		None	N	T	Sold (part)	02/27/19	J		
209.					Sold (part)	06/27/19	J		
210.					Sold (part)	12/26/19	J		
211. -Wegoma Berkshire VII, LLC	E	Int./Div.	J	T					
212. LLC #1:									
213. - BBVA cash equivalent		None	M	T					
214. -IJH - MFC Ishares Core S&P Mid-Cap ETF	A	Dividend	K	T	Buy (add'l)	01/21/19	K		
215.					Sold (part)	09/13/19	J	B	
216. - BHYIX - MFO Blackrock FDS V High Yield BD Port Instl C	C	Interest	L	T					
217. -GUNR-MFC Flexshares TR Morningstar Global Upstream Nat Res Ind	A	Dividend	K	T	Sold (part)	01/02/19	K	C	
218. -IWM - MFC Ishares TR Russell 2000 ETF	A	Dividend	J	T	Sold (part)	01/02/19	J		
219.					Sold (part)	09/13/19	J		
220. -Minerals - Dimmit Cnty, TX (X)	D	Royalty	K	W					
221. -Minerals - Starr Cnty, TX (X)	D	Royalty	K	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. -Minerals - Zapata Cnty, TX (X)	B	Royalty	J	W					
223. -NGREX-MFB Northern FDS Global Real Estate Index FD	A	Dividend	J	T					
224. -NMFIX - MFB Northern Multi Manager Global Listed Infrastructure FD	A	Dividend	J	T					
225. -NMMEX - MFB Northern Active FDS Active M Emerging Markets Equity FD	B	Dividend	K	T	Sold (part)	09/12/19	J		
226.					Buy (add'l)	12/20/19	J		
227. -NOGXX - MFB Northern US Govt Money Market FDS	B	Interest	M	T					
228. -NOINX - MFB Northern Intl Equity Index FD	B	Dividend	K	T	Sold (part)	09/12/19	K		
229. -NSITX - MFB Northern FDS Short Inter Tax Exempt FD	D	Interest	N	T	Buy (add'l)	12/20/19	J		
230. -NTAUX - MFB Northern Funds Tax Advantaged Ultra Short Fixed	C	Interest	N	T	Buy (add'l)	01/02/19	M		
231. -Real Property, Cameron Cnty, TX		None	N	W					
232. -SPY-MFC SPDR S&P 500 ETF Trust Units Ser 1 S&P	C	Dividend	M	T	Sold (part)	01/02/19	L		
233.					Sold (part)	09/13/19	L	C	
234. LLC #2:									
235. -Ojo Labs, Inc.		None	K	U					
236. BBVA cash equivalent		None	J	T					
237. 401(K)									
238. -State Street Global All Cap Equity EX-US Index NL Ser K (X)		None			Sold (part)	09/11/19	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Sold	09/11/19	N		
240. -State Street S&P 500 Ind NL Ser K		None			Buy (add'l)	08/21/19	K		
241.					Sold (part)	09/11/19	M		
242.					Sold	09/11/19	N		
243. -State Street US Bd Ind NL Ser K (X)		None			Sold (part)	09/11/19	M		
244.					Sold	09/11/19	O		
245. -State Street US InfProt Bd Ind CI C		None			Buy (add'l)	05/22/19	K		
246.					Buy (add'l)	05/22/19	J		
247.					Sold (part)	08/21/19	K		
248.					Sold (part)	09/11/19	L		
249.					Sold	09/11/19	N		
250. -State Street Russell Small/Mid/Cap Ind NL Ser CI K		None			Sold (part)	05/22/19	J		
251.					Sold (part)	05/22/19	K		
252.					Buy (add'l)	08/21/19	J		
253.					Sold (part)	09/11/19	K		
254.					Sold	09/11/19	M		
255. Wells Fargo Stable Value Fund Class D		None			Sold	10/01/19	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. TRUST 1									
257. -BBVA cash equivalent (X)		None	J	T					
258. -BNY Mellon Appreciation Fund (formerly "Dreyfus Apprec. Fund")	F	Dividend	O	T					
259. TRUST 4 (FN 1)									
260. -BBVA cash equivalent		None	J	T					
261. -DIVRS - PSF Dividend Growth Cl I (formerly "T Rowe Price Dividend Gro		None	L	T					
262. -EMGMK - PSF Emerging Mkts Cl I (formerly "Oppenheimer Funds, Inc.Emer		None	K	T					
263. -EQNDX - PSF Equity Index Cl I (formerly "Blackrock - Equity Index")		None	L	T					
264. -FIDMM - Fidelity VP Govt Money Mkt SC		None	J	T					
265. -GLBHA - VanEck VIP Gbl HrdAssets IC (formerly "Van Eck Worldwide In		None	K	T					
266. -HYDBD - PSF High Yield Bond Cl I (formerly "Pacific Asset Mngmt High		None	K	T					
267. -INFMG - PSF Inflation Managed Cl I (formerly "PIMCO Inflation Managed		None	J	T					
268. -INTLC - PSF Intl Large-Cap Cl I (formerly "MFS Inv Mgmt Intl Large-Ca		None	L	T					
269. -ISCAP - PSF Intl Sm-Cap Cl I (formerly "QS Investors Intl Small Cap")		None	J	T					
270. -LMPMC - ClearBridge Var Mid Cap Core CL II		None	K	T					
271. -MNGBD - PSF Managed Bond Cl I (formerly "PIMCO Managed Bond")		None	L	T					
272. -RELST - PSF Real Estate Cl I (formerly "Principal Real Estate")		None	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. -SCEQY - PSF Small-Cap Equity Cl 1 (formerly "Franklin and BlackRock S		None	K	T					
274. TRUST 6									
275. -Baltimore Cnty MD 4% Due 08-01-2020 BEO	A	Interest	K	T					
276. -Baltimore Cnty MD 5% Due 03-01-2019 BEO	B	Interest			Matured	03/01/19	K		
277. -Carroll Cnty MD 5% 11-01-2021 BEO	B	Interest	K	T					
278. -Clatsop Cnty Ore Sch Dist No 010 4.0% Due 06-15-2021 BEO	B	Interest	L	T					
279. -Connecticut St. Revolving FD Gen Rev 3.0% Due 05-01-2020 BEO	B	Interest	L	T					
280. -Denver COLO City & Cnty Sch Dist No 1 5% 12-01-2021 BEO	B	Interest	K	T					
281. -Fairfax Cnty VA 5% 04-01-2020 BEO	B	Interest	K	T					
282. -Forsythe Cnty NC 5.0% Due 03-01-2022 BEO	B	Interest	L	T	Buy	03/20/19	L		
283. -GUNR: MFC Flexshares TR Morningstar Global Upstream Nat Res Index	A	Dividend	K	T	Sold (part)	09/13/19	K	D	
284. -Kansas St Dev Fin Auth Rev 5% 02-01-2021 BEO	B	Interest	K	T					
285. -Maricopa Cnty Ariz Sch Dist No 3 Tempe Elem 3.5% Due 07-01-2022	B	Interest	L	T					
286. -Massachusetts St. 4% 08-01-2019 BEO	B	Interest			Matured	08/01/19	K		
287. -Metropolitan Govt. Nashville & Davidson Cnty Tenn 2.0% Due 07-01-2023	A	Interest	L	T	Buy	03/07/19	L		
288. -Minnesota St 5% 10-01-2020 BEO	B	Interest	K	T					
289. -New York St Dorm Auth St Pers Income Tax Rev 5% 12-15-2020 BEO	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -NMMEX: MFB Northern FDS Multi-Manager Emerging Mkts FD		None			Sold	09/12/19	M	E	
291. -NOGXX: MFB Northern US Govt Money Market FDS	B	Interest	N	T					
292. -NOGXX: MFB Northern US Govt Money Market FDS	A	Interest	L	T					
293. -NOINX: MFB Northern Intl Equity Index FD		None			Sold	09/12/19	M	E	
294. -North Kansas City MO Sch Dist No 74 5% 03-01-2019 BEO	B	Interest			Matured	03/01/19	K		
295. -NOSIX: MFB Northern FDS STK Index Fd	B	Interest			Sold	09/12/19	M	F	
296. -Pennsylvania St 5% 05-01-2020 BEO	B	Interest	L	T					
297. -Phoenix Ariz Civic Impt Corp Tran Excise Tax Rev 5% 07-1-2019 BEO	B	Interest			Matured	07/01/19	K		
298. -Real Property, Travis Cnty, TX	E	Rent	N	W					
299. -TDTT: MFC Flexshares Tr Iboxx 3 yr Target Duration Tips Index Fd	A	Interest	K	W					
300. TRUST 7									
301. -NFRA: MFC Flexshares Tr Stoxx Global Broad Infrstrcture Index FD	B	Dividend	L	T					
302. -NGREX: MFB Northern FDS Global Real Estate Index FD	C	Dividend	L	T					
303. -NMHYX: MFB Northern FundsMulti Manager High Yield Opportunity Fund	D	Dividend	M	T					
304. -NMMEX: MFB Northern FDS Multi-Manager Emerging Mkts FD	C	Dividend	L	T	Sold (part)	09/12/19	K	D	
305.					Buy (add'l)	12/20/19	J		
306. -NOGXX - MFB Northern US Govt Money Market FDS	C	Interest	O	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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307. -NOINX: MFB Northn Intl Eqty Index FD	C	Dividend	M	T	Sold (part)	09/12/19	M	D	
308. -NOMIX: MFB Northn Mid Cap Index FD	B	Dividend	L	T	Sold (part)	09/12/19	L	D	
309. -NOSIX: MFB Northern FDS Stk Index FD	D	Dividend	M	T	Sold (part)	09/12/19	N	G	
310.					Buy (add'l)	12/20/19	J		
311. -NSIDX: MFB Northern FDS Small Cap Index FD	A	Dividend	K	T	Sold (part)	09/12/19	K	C	
312. PCRIX: MFO PIMCO FDS PAC INVT MGMT SER COMMODITY REALRETURN STRATEGY F	A	Dividend	K	T					
313. -Real Property, Travis Cnty, TX	E	Rent	N	W					
314. TRUST 8									
315. -GUNR: MFC Flexshres TR Morningstar Global Upstream Nat Res Index FD	A	Dividend			Sold (part)	03/08/19	K		
316.					Sold	03/22/19	J		
317. -NFRA: MFC Flexshares Tr Stoxx Global Broad Infrastructure Index FD	A	Dividend			Sold (part)	03/08/19	K		
318.					Sold	03/22/19	J		
319. -NGREX: MFB Northern FDS Global Real Estate Index FD	A	Dividend			Sold (part)	03/11/19	K		
320.					Sold	03/28/19	J		
321. -NHFIX: MFB Northn Hi Yield Fxd Inc FD	B	Interest			Sold (part)	03/11/19	L		
322.					Sold	03/28/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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323. -NOEMX: MFB Northn Fds Emerging Mkts Eqty Eqty Index FD		None			Sold (part)	03/11/19	L		
324.					Sold	03/28/19	K		
325. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest			Sold (part)	03/08/19	K		
326.					Sold (part)	03/22/19	J		
327.					Sold (part)	04/23/19	J		
328.					Sold	05/16/19	J		
329. -NOINX: MFB Northn Intl Eqty Index FD		None			Sold (part)	03/11/19	M		
330.					Sold	03/28/19	K		
331. -NOITX: MFB Northern Funds Inter Tax Exempt FD	A	Interest			Sold (part)	03/11/19	M		
332.					Sold	03/28/19	J		
333. -NOMIX: MFB Northn Mid Cap Index FD		None			Sold (part)	03/11/19	K		
334.					Sold	03/28/19	J		
335. -NOSIX: MFB Northern FDS Stk Index FD	A	Dividend			Sold (part)	03/11/19	M		
336.					Sold	03/28/19	K		
337. -NSIDX: MFB Northern FDS Small Cap Index FD		None			Sold (part)	03/11/19	K		
338.					Sold	03/28/19	J		
339. - NTAUX: MFB Northern Funds Tax Advantaged Ultra Short Fixed	A	Interest			Sold (part)	03/11/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
340.					Sold	03/28/19	K		
341. -TDDT: MFC Flexshares Tr Iboxx 3 yr Target Duration Tips Index Fd		None			Sold (part)	03/08/19	J		
342.					Sold	03/22/19	J		
343. -VFIAX: Vanguard 500 Index Fund (X)	A	Dividend			Sold (part)	03/15/19	K		
344.					Sold	03/27/19	K		
345. TRUST 9									
346. -Alabama Fed Aid Hwy Fin Auth Spl Oblig Rev 5.0% Due 09-01-2025 BEO	B	Interest	K	T					
347. -Arapahoe Cnty Colo Sch Dist No 006 Littleton 5.5% Due 12-01-2027 BEO	B	Interest	K	T	Buy	01/24/19	K		
348. -Bay Area Toll Auth Calif Toll Brdg Rev Rmrkted 2.0% Due 04-01-2053 /	A	Interest	K	T					
349. -Bellmore-Merrick NY Cent High Sch Dist 2% 07-15-2024 BEO	A	Interest			Sold	05/10/19	K		
350. -California St 5.0% Due 10-01-2024 BEO	B	Interest	K	T					
351. -Chippewa Falls Wis Area Uni Sch Dist. 5.0% Due 03-01-2027/03-01-2026	A	Interest	K	T					
352. -Clark Cnty Wash Sch Dist No 037 Vancouver 5.0% Due 12-01-2027 BEO		None	K	T	Buy	08/13/19	K		
353. -Colorado Springs Colo Utils Rev 5% Due 11-15-2025 BEO	A	Interest	K	T					
354. -Connecticut St SPL Tax Oblig 5% 01-01-2025 BEO	B	Interest	K	T					
355. -Florida St Brd Ed Pub Ed 4% 06-01-2023/06-01-2021 BEO	B	Interest	L	T					
356. -Honolulu Hawaii City & Cnty 5.0% Due 09-01-2028 BEO	A	Interest	K	T	Buy	03/12/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
357. -Kansas St Dev Fin Auth Rev 5% 12-01-2021 BEO	B	Interest	L	T					
358. -Massachusetts St. 5% 07-01-2023 BEO	B	Interest	K	T					
359. -Metropolitan Council Minn Minneapolis- StPaul Met Area 5% 03-01-2022	B	Interest			Sold	07/12/19	K	A	
360. -New York St Dorm Auth St Pers Income Tax Rev 5% 12-15-2020 BEO	B	Interest			Sold	11/22/19	K	A	
361. -NMMEX: MFB Northern FDS Multi- Manager Emerging Mkts FD		None			Sold	09/12/19	K	D	
362. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest	J	T					
363. -NOGXX - MFB Northern US Govt Money Market FDS	B	Interest	M	T					
364. -NOINX: MFB Northern Intl Equity Index FD		None			Sold	09/12/19	L	D	
365. -NOSIX: MFB Northern FDS STK Index FD	B	Dividend			Sold	09/12/19	M	F	
366. -Pennsylvania St 5% 05-01-2020 BEO	B	Interest	L	T					
367. -Phoenix Ariz 4% Due 07-01-2024 BEO	A	Interest	K	T					
368. -San Francisco Calif City & Cnty Pub Utils Commn Wtr Rev 5% Due 11-01	A	Interest			Sold	03/04/19	K	A	
369. -Sun Prairie Wis Area Sch Dist 4.0% Due 03-01-2023/03-01-2028 BEO		None	K	T	Buy	08/29/19	K		
370. -TDTT: MFC Flexshares Tr Tr Iboxx 3 yr Target Duration Tips Index FD	A	Interest	K	T					
371. -Texas Transn Commn St Hwy FD Rev 3% Due 10-01-2021 BEO	A	Interest	K	T					
372. -Triborough Brdg & Tunl Auth NY Revs 5% 11-15-2021 BEO	B	Interest	K	T					
373. -Trinity River Auth Tex Regl Wastewtr Sysrev 3.0% Due 08-01-2023 BEO	A	Interest	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
374. -U.S. Treasury Bill		None	N	T	Buy	09/19/19	M		
375. -Virginia Comwlth Transn Brd Transn Rev 5.0% Due 05-15-2025 BEO	A	Interest			Sold	01/14/19	K	A	
376. -Wake Cnty N C 5.0% Due 03-01-2025 BEO	B	Interest	K	T					
377. -Washington St 5% Due 07-01-2024 BEO	B	Interest			Sold	07/22/19	K	A	
378. -Washington St 5% Due 08-01-2021 BEO	B	Interest	K	T					
379. -West Virginia St. 5.0% Due 06-01-2028 BEO		None	K	T	Buy	12/17/19	K		
380. TRUST 10									
381. -DFISX: MFO DFA Intl Small Co Portfolio Fund	A	Dividend			Buy	01/23/19	L		
382.					Buy (add'l)	06/21/19	J		
383.					Sold	09/13/19	L	C	
384. -GUNR: MFC Flexshares TR Morningstar Global Upstream Nat Res Index FD	C	Dividend	L	T	Buy (add'l)	06/24/19	J		
385.					Sold (part)	08/16/19	J	A	
386.					Sold (part)	09/16/19	K	D	
387. -NFRA: MFC Flexshares Tr Stoxx Global Broad Infrastructure Index FD	A	Dividend	K	T	Sold (part)	01/23/19	J		
388.					Buy (add'l)	06/24/19	J		
389.					Buy (add'l)	08/19/19	J		
390. -NGREX: MFB Northern FDS Global Real Estate Index FD	B	Dividend	K	T	Sold (part)	01/23/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
391.					Buy (add'l)	06/21/19	J		
392.					Buy (add'l)	08/16/19	J		
393. -NHFIX: MFB Northern Hi Yield Fxd Inc FD	D	Interest	L	T	Buy	01/23/19	L		
394.					Buy (add'l)	06/21/19	J		
395. -NMHYX: MFB Northern Funds Multi Manager High Yield Opportunity Fund		None			Sold	01/23/19	M	B	
396. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD	B	Dividend	L	T	Sold (part)	01/23/19	J	A	
397.					Sold (part)	06/21/19	J	A	
398.					Sold (part)	08/16/19	L	B	
399.					Sold (part)	09/13/19	L	D	
400. -NOGXX - MFB Northern US Govt Money Market FDS	C	Interest	O	T					
401. -NOINX: MFB Northern Intl Equity Index FD	C	Dividend	M	T	Sold (part)	01/23/19	J		
402.					Buy (add'l)	06/21/19	J		
403.					Buy (add'l)	08/16/19	J		
404.					Sold (part)	09/13/19	M	E	
405. -NOMIX: MFB Northern Mid Cap Index FD	B	Dividend	L	T	Buy (add'l)	01/25/19	J		
406.					Buy (add'l)	08/16/19	J		
407.					Sold (part)	09/13/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
408. -NOSIX: MFB Northern FDS STK Index FD	D	Dividend	N	T	Buy (add'l)	01/25/19	K		
409.					Sold (part)	06/21/19	K	B	
410.					Buy (add'l)	08/16/19	K		
411.					Sold (part)	09/13/19	M	G	
412.					Buy (add'l)	12/20/19	J		
413. -NSIDX: MFB Northern FDS Small Cap Index FD	A	Dividend	K	T	Buy (add'l)	01/25/19	J		
414.					Buy (add'l)	08/16/19	J		
415.					Sold (part)	09/13/19	K	C	
416. -U.S. Treasury Bill		None	N	T	Buy	09/19/19	M		
417. -VEU: MFC Vanguard Intl Equity Index FDS Vanguard FTSE All World Ex		None			Sold	01/23/19	K	A	
418. TRUST 11 (X)									
419. -GUNR: MFC Flexshares TR Morningstar Global Upstrm Nat Res Ind FD (X)	A	Dividend			Sold	12/27/19	J	A	
420. -IWB: MFC Ishares TR Russell 1000 ETF (X)	A	Dividend			Sold (part)	09/13/19	K	B	
421.					Sold	12/27/19	K	C	
422. -IWR: MFC Ishares TR Russell Mid-Cap ETF (X)	A	Dividend			Sold (part)	09/13/19	J	A	
423.					Sold	12/27/19	J	A	
424. -NGREX: MFB Northern FDS Global Real Estate Index FD (X)	A	Dividend			Sold	12/26/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
425. -NMFIX: MFB Northern Multi Manager Global Listed Infrastructure (X)	A	Dividend			Sold	12/26/19	J	A	
426. -NMHYX: MFB Northern Funds Multi Manager High Yield Opportunity (X)	B	Dividend			Sold	12/26/19	K		
427. -NMMEX: MFB Northern FDS Multi-Manager Emerging Mkts FD (X)	A	Dividend			Sold (part)	09/12/19	J		
428.					Sold	12/26/19	J		
429. -NOGXX - MFB Northern US Govt Money Market FDS (X)	A	Interest	K	T					
430. -NOINX: MFB Northern Intl Equity Index FD (X)	A	Dividend			Sold (part)	09/12/19	K		
431.					Sold	12/26/19	J		
432. -NOITX: MFB Northern Funds Inter Tax Exempt FD (X)	A	Interest			Sold	09/12/19	K	B	
433. -NSITX: MFB Northern FDS Short Inter Tax Exempt (X)	A	Interest			Sold	12/26/19	K	A	
434. -NTAUX: MFB Northern Funds Tax Advantaged Ultra Short Fixed (X)	A	Interest			Buy	09/12/19	K		
435.					Sold	12/26/19	K		
436. Trust 12									
437. -Beaufort Cnty S C Sch Dist 5.0% Due 03-01-2021	C	Interest			Sold	09/16/19	L	A	
438. -Bismarck N D 5.0% Due 05-01-2022	C	Interest			Sold	09/16/19	M	B	
439. -Connecticut St 5.0% DUE 10-15-2020 BEO	C	Interest			Sold	12/27/19	L	A	
440. -Georgia St 5.0% Due 07-01-2021 BEO	C	Interest			Sold	09/16/19	L	A	
441. -GUNR: MFC Flexshares TR Morningstar Global Upstream Nat Res Ind	A	Dividend			Sold	12/27/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
442. -IWB: MFC Ishares TR Russell 1000 ETF	D	Dividend			Sold (part)	09/13/19	M	D	
443.					Sold	12/27/19	N	E	
444. - IWR: MFC Ishares TR Russell Mid-Cap ETF	B	Dividend			Sold (part)	09/13/19	L	B	
445.					Sold	12/27/19	L	D	
446. -Logan City Utah Sch Dist 5.0% Due 06-15-2021 BEO	C	Interest			Sold	09/16/19	L	A	
447. -Mecklenburg Cnty N C 5.0% Due 12-01-2021 BEO	C	Interest			Sold	09/16/19	M	B	
448. -New York St Dorm Auth St Pers Income TaxRev Unrefunded Balance	D	Interest			Sold	12/27/19	L		
449. -NGREX: MFB Northern FDS Global Real Estate Index FD	C	Dividend			Sold	12/26/19	L	C	
450. -NMFIX: MFB Northern Multi Manager Global Listed Infrastructure	B	Dividend			Sold	12/26/19	K	A	
451. -NMHYX: MFB Northern Funds Multi Manager High Yield Opportunity	D	Dividend			Sold	12/26/19	M		
452. -NMMEX: MFB Northern FDS Multi- Manager Emerging Mkts FD	B	Dividend			Sold (part)	09/12/19	K		
453.					Sold	12/26/19	K		
454. -NOGXX - MFB Northern US Govt Money Market FDS	E	Interest	M	T	Buy	09/11/19	N		
455. -NOINX: MFB Northern Intl Equity Index FD	C	Dividend			Sold (part)	09/12/19	K		
456.					Sold	12/26/19	M		
457. -NTAUX: MFB Northern Funds Tax Advantaged Ultra Short Fixed	B	Interest			Sold	12/26/19	L	A	
458. -Pennsylvania St 5.0% Due 05-01-2020 BEO	C	Interest			Sold	12/27/19	L	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
459. -Portland Ore Swr Sys Rev 5.0% Due 06-01-2021	C	Interest			Sold	09/16/19	L	A	
460. -Texas St Fltg Rt.5.0% Due 10-01-2022 BEO (was TX St. 5% Due 10-01-22)	C	Interest			Sold	09/16/19	M	B	
461. Trust 13									
462. -NOGXX- MFB Northern US Govt Money Market FDS (X) (FN9)	A	Interest			Sold	12/18/19	J		
463. Trust 14									
464. -GUNR - MFC Flexshares TR Morningstar Global Upstream Nat Res Index	A	Dividend	J	T	Sold (part)	04/02/19	J	A	
465.					Sold (part)	08/23/19	J	A	
466. -NFRA: MFC Flexshares Tr Stoxx Global Broad Infrastructure Index FD	A	Dividend	J	T					
467. -NGREX- MFB Northern FDS Global Real Estate Index FD	A	Dividend	J	T					
468. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD	B	Distribution	K	T					
469. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD	A	Dividend	K	T	Sold (part)	04/01/19	J		
470.					Sold (part)	08/22/19	J		
471. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest	K	T					
472. -NOINX-Northern Intl Equity Index FD	A	Dividend	K	T	Sold (part)	04/01/19	J		
473.					Sold (part)	08/22/19	J		
474. -NOITX: MFB Northern Funds Inter Tax Exempt FD	B	Interest	L	T	Buy (add'l)	04/01/19	J		
475.					Buy (add'l)	08/22/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
476. -NOMIX: MFB Northern Mid Cap Index FD	A	Dividend	J	T	Sold (part)	08/22/19	J		
477. -NOSIX - MFB Northern FDS STK Index FD	B	Dividend	K	T	Sold (part)	08/22/19	J	B	
478. -NSIDX - MFB Northern FDS Small Cap Index FD (FN 11)	A	Dividend	J	T	Sold (part)	08/22/19	J	A	
479. -NTAUX: MFB Northern Funds Tax Advantaged Ultra Short Fixed	A	Interest	K	T					
480. -TDTT: MFC Flexshares Tr Tr Iboxx 3 yr Target Duration Tips Index FD	A	Dividend	J	T					
481. -VFIAX: Vanguard 500 Index Fund (X)	A	Dividend	J	T	Sold (part)	04/01/19	J	C	
482. Trust 15									
483. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest	O	T	Buy	12/26/19	M		
484. Trust 16									
485. -GUNR - MFC Flexshares TR Morningstar Global Upstream Nat Res Index	A	Dividend			Sold	03/25/19	J		
486. -NFRA: MFC Flexshares Tr Stoxx Global Broad Infrastructure Index FD	A	Dividend			Sold	03/25/19	J		
487. -NGREX- MFB Northern FDS Global Real Estate Index FD (X)	A	Dividend			Sold	03/28/19	J		
488. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD	A	Interest			Sold	03/28/19	K		
489. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD		None			Sold	03/28/19	K		
490. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest			Sold	06/14/19	J		
491. -NOINX-Northern Intl Equity Index FD		None			Sold	03/28/19	K		
492. -NOITX: MFB Northern Funds Inter Tax Exempt FD	A	Interest			Sold	03/28/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
493. -NOMIX: MFB Northern Mid Cap Index FD		None			Sold	03/28/19	J		
494. -NOSIX - MFB Northern FDS STK Index FD	A	Dividend			Sold	03/28/19	K		
495. -NSIDX - MFB Northern FDS Small Cap Index FD (FN 11)		None			Sold	03/28/19	J		
496. -NTAUX: MFB Northern Funds Tax Advantaged Ultra Short Fixed	A	Interest			Sold	03/28/19	K		
497. -TDDT: MFC Flexshares Tr Tr Iboxx 3 yr Target Duration Tips Index FD		None			Sold	03/25/19	J		
498. -VFIAX: Vanguard 500 Index Fund (X)	A	Dividend			Sold	03/25/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section 1 Notes:

(1) Neither I nor my spouse has a beneficial interest in Trust 2 or Trust 3. Nor did we create either trust.

Section V Notes:

(1) A few times each year, friends invite my spouse and me to sit with them at tables they have purchased at charity events. We occasionally invite friends to do the same, i.e., join us at tables we have purchased for charity events. Since my confirmation, each time a friend has invited us to sit at his/her table, my [REDACTED] has made a contribution to the organization hosting the event equal to or greater than the fair market value of two tickets to the event. Each time, the invitation has come from a close friend whose friendship long predated my nomination. A few of those friends are lawyers and could someday represent clients in my court. To my knowledge, none of those friends was a party or represented clients in my court at the time of either their invitation to attend the event or the event at which we sat at their table.

Section VII Notes:

(1) All the assets in Trust 4 except BBVA cash equivalent are investment funded by the cash value of the Pacific Life Insurance Co. life insurance policy (listed on line 168). Assets in lines 261-273 are part of that life insurance policy. Pacific Life informed my [REDACTED] that it cannot provide the income for any year. Company representatives explained that because I hold an interest in funds in which the cash value of an insurance policy has been invested, and not an individual taxable investment, there are no individual dividends or realized gains to report; all that is reported are gains or losses to the policy cash value. In addition, according to the company, under applicable government regulations an insurance policy is considered an asset, not an investment.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Nancy F. Atlas**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544