

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Torres, Analisa N.	2. Court or Organization U.S. District Court for the Southern District of New York	3. Date of Report 07/19/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address U.S. District Court for the Southern District of New York 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2019	New York State Local & Retirement System/Defined Benefit Pension Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	New York State Local & Retirement System/Defined Benefit Pension Plan	\$48,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Wells Fargo Advisors-salary, commissions and bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Wells Fargo Visa	Credit Card	J
2. Citibank MC	Credit Card	J
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Brokerage Account-TOD #1 (H)									
2. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
3. -iShares Dividend Index-DVY	A	Dividend	J	T					
4. -Wisdom Tree Equity Income-DHS	A	Dividend	J	T					
5. -Center Coast MLP Fund-CCCNX	B	Dividend	K	T					
6. -Calamos Strategic Fund-CSQ	A	Dividend	J	T					
7. -Nuveen Pref Inc Fund-JPC	B	Dividend	K	T					
8. Spider S&P 500-SPY	A	Dividend	J	T					
9.									
10. Brokerage Account #2 (H)									
11. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
12.									
13. Brokerage Account #3 (H)									
14. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
15.									
16. SW-IRA#1 (H)									
17. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Apple-AAPL	B	Dividend	K	T	Sold (part)	07/11/19	J		
19. -AT&T-T	C	Dividend	L	T	Buy	11/19/19	L		
20. -ADOBE-ADBE		None			Buy	05/03/19	J		
21.					Sold	11/11/19	J		
22. -Atlassian-TEAM		None			Buy	08/02/19	J		
23.					Sold	11/11/19	J		
24. -Bloom-BE		None			Buy	05/03/19	J		
25.					Sold	06/12/19	J		
26. -Facebook-FB	A	Dividend	K	T	Sold (part)	07/29/19	J		
27. -JPM Chase-JPM	A	Dividend	L	T					
28. -Microsoft-MSFT	A	Dividend	K	T	Buy (add'l)	08/06/19	J		
29. -Beyond Meat-BYND		None			Buy	08/02/19	J		
30.					Sold	11/11/19	J		
31. -Ring Central-RNG		None			Buy	05/03/19	J		
32.					Sold	07/29/19	J		
33. -Sage-SAGE		None			Buy	06/17/19	J		
34.					Sold	07/29/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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35. _Salesforce-CRM		None			Buy	01/24/19	J		
36.					Sold	11/11/19	J		
37. -Square-SQ		None			Buy	01/24/19	J		
38.					Sold	07/29/19	J		
39. AMZN		None	L	T	Buy (add'l)	08/02/19	J		
40.					Sold (part)	07/29/19	J	E	
41. -TPI Comp-TPIC		None			Buy	05/03/19	J		
42.					Sold	06/12/19	J		
43. GOOGL		None			Sold	07/29/19	K		
44.									
45. SCW Roth IRA #2 (H)									
46. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					
47. -Bank of America-BAC	A	Dividend			Sold	07/30/19	K	D	
48. -Fidelity-FNSXX		None			Buy	04/30/19	K		
49.					Sold	05/29/19	J		
50. AT- Roth IRA #3 (H)									
51. -Wells Fargo Bank Deposit Sweep	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. First Eagle Global	A	Dividend			Sold	12/19/19	J		
53. -NHHEF		None	J	T	Buy	12/19/19	J		
54.									
55. AT-Eq Inc-IRA#5 (H)									
56. -ABBV	A	Dividend			Sold	06/25/19	J		
57. -MO	A	Dividend			Sold	02/05/19	J		
58. -AEP	A	Dividend	J	T					
59. -T	A	Dividend	J	T					
60. -CSCO	A	Dividend	J	T					
61. -DEO	A	Dividend	J	T					
62. -D	A	Dividend	J	T					
63. -DOV	A	Dividend	J	T					
64. -DD	A	Dividend	J	T					
65. -GD	A	Dividend	J	T					
66. -GPC	A	Dividend	J	T					
67. -HCN	A	Dividend	J	T					
68. -HD	A	Dividend	J	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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69. -ITW	A	Dividend	J	T					
70. -INTC	A	Dividend	J	T					
71. -JNJ	A	Dividend	J	T					
72. -JPM	A	Dividend	J	T					
73. -KMB	A	Dividend	J	T					
74. -LMT	A	Dividend	J	T					
75. -MTB	A	Dividend	J	T					
76. -MAT	A	Dividend	J	T					
77. -MXIM	A	Dividend	J	T					
78. -MCD	A	Dividend	J	T					
79. -MRK	A	Dividend	J	T					
80. -MSFT	A	Dividend	J	T					
81. -NEE	A	Dividend	J	T					
82. -PBCT	A	Dividend	J	T					
83. -PEP	A	Dividend	J	T					
84. -PSX	A	Dividend	J	T					
85. -PG	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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86. -SO	A	Dividend			Sold	06/28/19	J	A	
87. -UPS	A	Dividend	J	T					
88. -VZ	A	Dividend	J	T					
89. -VOD	A	Dividend	J	T					
90. -WAG	A	Dividend	J	T					
91. -WM	A	Dividend	J	T					
92. QCOM	A	Dividend	J	T					
93. PFE	A	Dividend	J	T					
94. NUE	A	Dividend	J	T					
95. -XOM	A	Dividend	J	T					
96. -IBM	A	Dividend	J	T					
97. -USB	A	Dividend	J	T					
98. WELL	A	Dividend	J	T					
99. TGT	A	Dividend	J	T					
100. - AMGN	A	Dividend	J	T	Buy	02/05/19	J		
101. -ADP	A	Dividend	J	T	Buy	11/13/19	J		
102. -CSCSA	A	Dividend	J	T	Buy	12/12/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

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103. -SBUX	A	Dividend	J	T	Buy	08/14/19	J		
104.									
105. SCW-IRA#6 DSIP (H)									
106. -AFL	A	Dividend	J	T					
107. -ACN	A	Dividend	J	T					
108. -APD	A	Dividend	J	T					
109. -ADI	A	Dividend	J	T					
110. -ADP	A	Dividend	J	T					
111. -AMGN	A	Dividend	J	T					
112. -AAPL	A	Dividend	J	T					
113. -BDX	A	Dividend	J	T					
114. -CVX	A	Dividend	J	T					
115. -CB	A	Dividend	J	T					
116. -CLX	A	Dividend	J	T					
117. -CL	A	Dividend	J	T					
118. -CSCO	A	Dividend	J	T					
119. -EV	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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120. -EMR	A	Dividend	J	T					
121. -FDS	A	Dividend	J	T					
122. -GD	A	Dividend	J	T					
123. -HRS	A	Dividend	J	T					
124. -IBM	A	Dividend							
125. -JNJ	A	Dividend	J	T					
126. -JPM	A	Dividend	J	T					
127. -MCD	A	Dividend	J	T					
128. -MDT	A	Dividend	J	T					
129. -MSFT	A	Dividend	J	T					
130. -NEE	A	Dividend	J	T					
131. -NSC	A	Dividend	J	T					
132. -NVS	A	Dividend	J	T					
133. -PAYX	A	Dividend	J	T					
134. -PEP	A	Dividend	J	T					
135. -PSX	A	Dividend	J	T					
136. -PII	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Torres, Analisa N.

Date of Report

07/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - PG	A	Dividend	J	T					
138. -SIAL	A	Dividend	J	T					
139. -SY Y	A	Dividend	J	T					
140. -TGT	A	Dividend	J	T					
141. -USB	A	Dividend	J	T					
142. -VFC	A	Dividend	J	T					
143. -WMT	A	Dividend	J	T					
144. -WEC	A	Dividend	J	T					
145. -MMM	A	Dividend	J	T					
146. -ES	A	Dividend	J	T					
147. -NKE	A	Dividend	J	T					
148. -GMCSA	A	Dividend	J	T	Buy	12/12/19	J		
149.									
150. TD Bank Savings Account	A	Dividend	K	T					
151.									
152. Chase Checking Plus Account	A	Dividend	J	T					
153.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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Name of Person Reporting

Torres, Analisa N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. 401K #1 (H)									
155. -Wells Fargo 401k Large Cap Growth Multi-Manager Fund	A	Dividend	K	T					
156. -Wells Fargo 401k LargeCap Value Multi-Manager Fund	A	Dividend	K	T					
157. -Wells Fargo 401k Russell SC Index	A	Dividend	J	T					
158. -Wells Fargo 401k Small Cap Multi Manager Fund	A	Dividend	J	T					
159. -State Street Emerging Mkts Fund	A	Dividend	K	T					
160. -Wells Fargo 401k Intl Equity Multi Manager Fund	A	Dividend	K	T					
161. -Wells Fargo 401k State Street International Index	A	Dividend	K	T					
162. WF/State Street Target 2020	A	Dividend	J	T					
163. WF/State Street Target 2025	A	Dividend	J	T					
164. WF/State Street Target 2030	A	Dividend	J	T					
165. WF/State Street Target 2035	A	Dividend	J	T					
166. WF/State Street Target 2040	A	Dividend	J	T					
167. WF/State Street Target 2045	A	Dividend	J	T					
168. WF/State Street Target 2050	A	Dividend	J	T					
169. WF/State Street Target 2050	A	Dividend	J	T					
170.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.									
172.									
173.									
174.									
175.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Wells Fargo 401(k) plan is a custom, multi-manager investment fund created exclusively for the employees of Wells Fargo & Company. A commingled fund is not a registered product but a collective trust fund. A collective fund is not a mutual fund and is exempt from SEC registration. Designed for and exclusively sold to qualified retirement plans and their participants, the funds are not available to individual retail investors.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Analisa N. Torres**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544