

FINANCIAL DISCLOSURE REPORT

FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Craig, Carla E.	2. Court or Organization U.S. Bankruptcy Court, E.D.N.Y	3. Date of Report 01/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 10/01/2020
7. Chambers or Office Address 271 Cadman Plaza E. Suite 1595 Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Kidspirit Magazine
2. Director	Academy of Clinical and Applied Psychoanalysis
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Schulte Roth and Zabel LLP-partnership distribution
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Mt. Kellett Capital Partners II LP	Commitment to make capital contributions to investment limited partnership	P1
2. RS Global Energy and Power Private Investors VI	Commitment to make capital contributions to investment LLC	N
3. Yeshiva University	Commitment to make tuition payments	K
4. Prospect Realty Group	Obligation to make rent payment	K
5. 1912 Huthinson LLC	Obligation to make rent payment	K

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Checking and Money Market Accounts	B	Interest	O	T					
2. J.P. Morgan Money Market Fund	A	Dividend	J	T					
3. JPM US Real Estate Income and Growth Direct LP ()		None	P1	T					
4. JPM Floating Rate Income Fund (JPHSX)		None	O	T					
5. JP Morgan Strategic Income Opportunitess Fund 3844 (JSOSX)	B	Dividend	M	T					
6. iShares MSCI All Country Asia Ex Japan Index Fund (AAXJ)	A	Dividend	M	T					
7. JP Morgan Intl. Value Fund (JIESX)		None	O	T					
8. JP Morgan Tax Aware R/R Fund	B	Dividend	M	T					
9. IShares MSCI EAFE Index Fund (EFA)	D	Dividend	N	T					
10. JP Morgan Equity Income Fund-Select Fund (HLIEX)	D	Dividend	N	T					
11. RS Global Energy Energy and Power Private Investors VI ()		None	M	T					
12. Artisan Intl. Sm Mid Fd Adv (APDJX)		None	K	T	Buy (add'l)	01/17/20	J		
13.					Buy (add'l)	07/23/20	J		
14. Artisan High Income Adv (APDFX)	C	Dividend			Buy (add'l)	01/31/20	J		
15.					Buy (add'l)	02/06/20	K		
16.					Buy (add'l)	02/28/20	J		
17.					Sold (part)	03/05/20	K	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000 M =\$100,001 - \$250,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	03/09/20	M	C	
19.					Sold	05/12/20	L		
20. Baillie Gifford Long Term Gbl Gr Eq I (BSGLX)		None	K	T	Buy (add'l)	01/17/20	J		
21.					Buy (add'l)	07/23/20	J		
22. Fidelity Advisor Health Care Fund (FIKCX)		None	L	T	Buy (add'l)	01/17/20	J		
23.					Buy (add'l)	02/06/20	J		
24.					Buy (add'l)	04/14/20	J		
25.					Buy (add'l)	04/17/20	J		
26.					Buy (add'l)	07/23/20	J		
27. Fidelity Money Market Account (FCASH)	A	Int./Div.	J	T					
28. Fidelity Advisor Int'l Disc (FZAIX)		None			Sold	03/23/20	M		
29. Fidelity Adv Intl Growth Z (FZAJX)		None	O	T	Buy (add'l)	01/17/20	J		
30.					Buy (add'l)	01/06/20	K		
31.					Buy (add'l)	03/23/20	M		
32.					Buy (add'l)	07/23/20	J		
33. Fidelity Advisor Intl Small Cap Opp Z (FIQJX)		None	K	T	Buy (add'l)	01/17/20	J		
34.					Buy (add'l)	07/23/20	J		

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3 Value Method Codes (See Column C2)					

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35. Fidelity Advisor Total Bond Z (FBKWX)	B	Dividend	L	T	Buy (add'l)	01/17/20	J		
36.					Buy (add'l)	01/31/20	J		
37.					Buy (add'l)	02/28/20	J		
38.					Buy (add'l)	03/05/20	K		
39.					Buy (add'l)	03/31/20	J		
40.					Buy (add'l)	04/30/20	J		
41.					Buy (add'l)	05/29/20	J		
42.					Buy (add'l)	06/30/20	J		
43.					Buy (add'l)	07/13/20	K		
44.					Buy (add'l)	07/23/20	J		
45.					Buy (add'l)	07/31/20	J		
46.					Buy (add'l)	08/31/20	J		
47.					Buy (add'l)	09/30/20	J		
48. Fidelity Low Priced Stock (FLPSX)		None			Buy (add'l)	01/17/20	J		
49.					Buy (add'l)	02/06/20	L		
50.					Buy (add'l)	03/10/20	O		
51.					Sold (part)	03/16/20	M		

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52.					Sold	04/13/20	O		
53. Fidelity Government Cash Reserves (FDRXX)	A	Dividend	N	T					
54. Fidelity Tax Free Bond Fund (FTABX)	D	Dividend	O	T	Buy (add'l)	02/06/20	K		
55.					Buy (add'l)	03/09/20	M		
56.					Buy (add'l)	04/30/20	M		
57.					Buy (add'l)	05/12/20	K		
58.					Buy (add'l)	07/15/20	M		
59. Fidelity Value (FDVLX)		None			Sold (part)	01/22/20	J	A	
60.					Sold	03/10/20	O		
61. Hartford Healthcare F (HGHFX)		None	N	T					
62. Primecap Odyssey Aggressive Growth (POAGX)		None	P1	T	Buy (add'l)	01/17/20	J		
63.					Buy (add'l)	02/06/20	L		
64.					Buy (add'l)	07/23/20	J		
65. Primecap Odyssey Stock (POSKX)		None	M	T					
66. Vanguard Core Bond Fund Admiral Shares (VCOBX)	A	Dividend	M	T	Buy	07/13/20	M		
67.					Buy (add'l)	07/23/20	J		
68.					Buy (add'l)	07/31/20	J		

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69.					Buy (add'l)	08/31/20	J		
70.					Buy (add'l)	09/30/20	J		
71. Vanguard Dividend Growth (VDIGX)	D	Dividend	P1	T	Buy (add'l)	01/17/20	J		
72.					Buy (add'l)	02/06/20	L		
73.					Buy (add'l)	03/16/20	L		
74.					Buy (add'l)	03/27/20	J		
75.					Buy (add'l)	04/13/20	N		
76.					Buy (add'l)	06/26/20	J		
77.					Buy (add'l)	07/23/20	J		
78. Vanguard Intermediate Term Treasury Admiral (VFIUX)	A	Dividend			Buy	04/14/20	L		
79.					Buy (add'l)	04/30/20	J		
80.					Buy (add'l)	05/29/20	J		
81.					Buy (add'l)	06/30/20	J		
82.					Sold	07/13/20	L	A	
83. Vanguard Intermediate Term Tax Exempt (VWIUX)	E	Dividend	P1	T	Buy (add'l)	02/06/20	L		
84.					Buy (add'l)	04/17/20	N		
85.					Buy (add'l)	07/15/20	N		

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86. Vanguard Intermediate Term Investment Grade Admiral (VFIDX)	C	Dividend			Buy (add'l)	01/17/20	J		
87.					Buy (add'l)	01/31/20	J		
88.					Buy (add'l)	02/28/20	J		
89.					Buy (add'l)	03/31/20	J		
90.					Buy (add'l)	04/01/20	J		
91.					Buy (add'l)	04/30/20	J		
92.					Buy (add'l)	05/29/20	J		
93.					Buy (add'l)	06/30/20	J		
94.					Sold	07/13/20	M	E	
95. Vanguard Limited Term Tax Exempt (VMLUX)	D	Dividend			Buy (add'l)	02/06/20	L		
96.					Sold (part)	04/17/20	N	B	
97.					Sold (part)	04/30/20	M	A	
98.					Sold	07/15/20	N	D	
99. Vanguard Short Term Investment Grade (VFSUX)	B	Dividend			Buy (add'l)	01/31/20	J		
100.					Buy (add'l)	02/28/20	J		
101.					Buy (add'l)	03/31/20	J		
102.					Sold (part)	04/14/20	L	A	

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103.					Sold (part)	04/30/20	K	A	
104.					Buy (add'l)	05/29/20	J		
105.					Buy (add'l)	06/30/20	J		
106.					Sold	07/13/20	L	D	
107. NY College Savings Program (H)									
108. -Moderate Age Based Income Option Portfolio		None	K	T					
109. Vanguard Educational IRA(H)									
110. -Vanguard Small Cap Index Fund Investor Shares		None	K	T					
111. Vanguard Educational IRA (H)									
112. -Vanguard Small Cap Index Fund Investor Shares		None	K	T					
113. BlackRock CollegeAdvantage (H)									
114. -BR Growth Portfolio Option A		None	K	T					
115. B & McG Holdings (H)									
116. -Shelter Venture Fund		None	K	T					
117. Special Situations Technology Fund, L.P.		None	P1	U					
118. SRZ Savings Plan		None	P1	T	Buy (add'l)	09/03/20	J		
119. -Fidelity Government Cash REserves	B	Dividend	K	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Merck common stock	B	Dividend	L	T					
121. -Pfizer common stock	B	Dividend	K	T					
122. SRZ Cash Balance Plan		None	O	T	Buy (add'l)	09/03/20	L		
123. SRZ Thrift Plan		None	O	T	Buy (add'l)	09/03/20	J		
124. -T. Rowe Price U.S. Treasury Money Market	A	Dividend	K	T					
125. SRZ Partners Pension Plan		None	O	T	Buy (add'l)	09/03/20	L		
126. Schulte Roth & Zabel Supplemental Retirement Fund		None	P1	T					
127. -American Funds Ins Ser International - Class 2 Shares		None			Sold	01/29/20	O		
128. -T Rowe Price Blue Chip Growth Portfolio		None	P1	T	Buy (add'l)	01/29/20	N		
129. -MainStay VP US Govt Money Mkt Portfolio fka Mainstay Cash Management		None	J	T					
130. -Fidelity VIP Equity Income Portfolio-Initial Class		None	P1	T	Buy (add'l)	01/29/20	M		
131. -Fidelity VIP Mid Cap Portfolio-Initial Class		None	O	T	Sold (part)	01/29/20	M		
132. -Fidelity VIP Value Portfolio-Initial Class		None			Sold	01/29/20	O		
133. -Fidelity VIP International Capital Appreciation Portfolio		None	P1	T	Buy	01/29/20	O		
134. Special Situation Fund III QP, LLP		None	O	U					
135. SRZ Group Trust		None	N	T					
136. -Castle Hook Offshore Fund, Ltd.		None	J	T	Redeemed (part)	01/24/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Redeemed (part)	04/17/20	J		
138.					Redeemed (part)	07/21/20	J		
139. -Citadel Kensington Global Strategies		None	L	T	Distributed (part)	01/02/20	J		
140.					Distributed (part)	01/28/20	J		
141. -D.E. Shaw Composite International Fund		None	K	T	Distributed (part)	01/22/20	J		
142. -D.E. Shaw Oculus International Fund		None	K	T	Distributed (part)	01/15/20	J		
143. -Echo Street Capital Partners Ltd (QP)		None	K	T	Redeemed (part)	07/07/20	J		
144. -Ellington Private Opportunities Fund II LP		None	K	T	Buy (add'l)	02/04/20	J		
145.					Buy (add'l)	03/20/20	J		
146.					Buy (add'l)	05/15/20	J		
147.					Buy (add'l)	05/22/20	J		
148.					Buy (add'l)	06/04/20	J		
149.					Distributed (part)	07/09/20	J		
150. -Golden Tree Offshore Fund Ltd		None	K	T					
151. -Highfields Capital IV LP		None	J	T					
152. -iShares Global Energy ETF (IXC)		None			Sold (part)	01/09/20	J		
153.					Buy (add'l)	02/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	03/10/20	J		
155.					Sold (part)	04/09/20	J		
156.					Sold	04/15/20	J		
157. --iShares Global Financials ETF (IXG)		None			Sold (part)	01/09/20	J		
158.					Buy (add'l)	02/06/20	J		
159.					Buy (add'l)	03/10/20	J		
160.					Sold (part)	04/09/20	J		
161.					Sold	04/15/20	J		
162. -iShares Global Materials ETF (MXI)		None			Sold (part)	01/09/20	J		
163.					Buy (add'l)	02/06/20	J		
164.					Buy (add'l)	03/10/20	J		
165.					Sold (part)	04/09/20	J		
166.					Sold	04/15/20	J		
167. -iShares Global Consumer Stap ETF (KXI)		None			Sold (part)	01/09/20	J		
168.					Buy (add'l)	02/06/20	J		
169.					Buy (add'l)	03/10/20	J		
170.					Sold (part)	04/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold	04/15/20	J		
172. iShares Global Consumer Disc ETF (RXI)		None	J	T	Buy	02/06/20	J		
173.					Sold	03/10/20	J		
174. -Kylin Offshore Fund, Ltd.		None	J	T					
175. -Kylin Offshore Long Fund Ltd.		None	J	T					
176. -Long Pond Offshore Ltd		None	K	T	Redeemed (part)	07/06/20	J		
177. -Millenium International Ltd		None	K	T	Distributed (part)	01/30/20	J		
178. -PFM Healthcare Offshore Fund		None	J	T	Redeemed (part)	01/28/20	J		
179.					Redeemed (part)	04/17/20	J		
180.					Redeemed (part)	07/17/20	J		
181. -Renaissance Institutional Equities Fund LLC		None	K	T	Redeemed (part)	07/14/20	J		
182. Sound Point Discovery Fund		None	J	T	Buy	09/30/20	J		
183. -Scopus Fund Ltd.		None			Redeemed	04/03/20	J		
184. -SQN Investors Offshore Ltd.		None	K	T	Redeemed (part)	07/16/20	J		
185. -Taiyo Fund LP		None	K	T	Redeemed (part)	04/14/20	K		
186. -The Childrens' Investment Fund		None	K	T					
187. -Two Sigma Absolute Return Macro Cayman Fund, Ltd.		None	K	T	Redeemed (part)	07/06/20	J		

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188. -Vanguard Wellesley Income Fund (VWIAIX)		None	L	T	Sold (part)	03/16/20	J		
189.					Distributed (part)	03/23/20	J		
190.					Sold (part)	03/24/20	J		
191.					Sold (part)	04/16/20	K		
192.					Sold (part)	04/20/20	K		
193.					Buy (add'l)	07/28/20	K		
194.					Buy (add'l)	09/10/20	J		
195. -JP Morgan Trust Co. of Delaware deposit account		None	J	T					
196. AutoNation common stock		None	L	T					
197. Akami Technology common stock		None	K	T					
198. CollegeBound Fund (Alliance-RI)		None	K	T					
199. -CBF Appreciation Portfolio Alt-AX		None	K	T					
200. Northwestern Mutual Whole Life Ins. Policy		None	N	T					
201. AXA Life Insurance Policy-whole life		None	L	T					
202. Mt. Kellett Capital Partners II LP	E	Distribution	L	T					
203. Merrill Lynch Retirement Account-Blackrock Global (MDLOX)		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 136-196 - the SRZ Group Trust is a Revenue Ruling 81-100 Trust that pools the employee pension plan sponsored by the law firm of which my [REDACTED] is a member and IRAs of partners and former partners of the firm. He does not have an interest in any of the assets of the Group Trust; however, because he is a member of the committee which oversees Group Trust's investments, I have reported the assets in the Group Trust. The SRZ Savings Plan (line 119), the SRZ Cash Balance Plan (line 123), the SRZ Partners Pension Plan (line 126) and the SRZ Thrift Plan (line 124) are invested in the SRZ Group Trust. I have reported "None" in Column B throughout as I am unable to provide a breakdown of the amount of income earned because the statements provided do not disclose the income earned for each of the individual assets held in the Group Trust.

Part VII, Lines 127-134 - the SRZ Supplemental Retirement Fund is a retirement fund in which my [REDACTED] has an interest. Because he directs the investments for his share of the fund, I have reported the assets in which his interest is invested. I have reported "none" in column B because the statements provided do not disclose the income earned for each of the individual assets held in this retirement fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carla E. Craig**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544