

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nordberg, John A.	2. Court or Organization Northern District of Illinois	3. Date of Report 07/11/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Inactive Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 04/21/2014
7. Chambers or Office Address 26 Briar Road Gold, Illinois		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee - non-paid	Trust # 1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mylan Labs, Inc. C.S.		None	M	T					
2. Valspar Corp. C.S.	C	Dividend	N	T					
3.					Sold (part)	01/24/14	M		
4. Sprint Nextel Corp. C.S.		None	J	T					
5. Waste Management C.S.	A	Dividend	J	T					
6. Dreyfus W.W.D.M.M.F.		None	J	T					
7. Fidelity Slect Health M.F.		None	L	T					
8. Nicholas M.F.	A	Distribution	K	T					
9. Nicholas II M.F.	A	Distribution	M	T					
10. Nicholas Ltd. M.F.	A	Distribution	J	T					
11. T.R. Price Int'l Disc. M.F.	A	Distribution	K	T					
12. T.R. Price Int'l Stk. M.F.	A	Distribution	K	T					
13. T.R. Price Sci-Tech. M.F.		None	L	T					
14. Columbia Mid-Cap Growth	C	Distribution	N	T					
15. American Century Ultra M.F.	B	Dividend	O	T					
16. Fidelity Div. Growth M.F. IRA	D	Distribution	M	T	Sold (part)	12/31/13	K		Redemption MRD
17. Illinois Judges' Retir. Pension	F	Distribution	N	W					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. AIM Helath Sciences F.		None	J	T					
19. U.S. Bancorp C.S.	A	Dividend	K	T					
20. Gabelli Global Telecomm. F.	A	Distribution	K	T					
21. Trust # 1- See VIII	C	Royalty	J	W					
22. ComCast Corp. New Class A C.S.	A	Dividend	K	T					
23. Wells Fargo Co.	B	Dividend	M	T					
24. Wells Fargo Common Stock Mutual Fund		None	L	T					
25. Pfizer Corp. C.S.	A	Dividend	K	T					
26. Charles Schwab M.M.F.	A	Interest	J	T					
27. Janus Overseas M.F.	A	Dividend	K	T					
28. Paychex C.S.	A	Dividend	K	T					
29. General Dynamics	A	Dividend			Sold	08/06/13	J		
30. AT&T Corp.	B	Dividend	K	T					
31. Western Union Co.	A	Dividend	J	T					
32. Spousal Investments									
33. AT&T Corp.	B	Dividend	K	T					
34. DeVry Inc. C.S.	A	Dividend	K	T					

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35. Century Link Inc.	A	Dividend	J	T					
36. Diamond Hill Finl Trends	A	Dividend			Sold	03/22/13	J		
37. Johnson & Johnson C.S.	C	Dividend	M	T					
38. Kilroy Realty C.S.	A	Dividend	K	T					
39. M.G.I.C. C.S.		None	J	T					
40. Mylan Labs		None			Buy (add'l)	01/14/13	J		
41.					Sold	03/28/13	J		
42. Northern Trust C.S.	A	Dividend	J	T					
43. Paychex Inc. C.S.	A	Dividend	J	T					
44. Sprint Nextel Corp.		None	J	T					
45. Windstream C.S.	A	Dividend	J	T					
46. Columbia Acorn Int'l F.	A	Dividend	K	T					
47. Evergreen M.M. Trust	A	Dividend	J	T					
48. Expeditors Int'l of Washington	A	Dividend			Buy	05/03/13	J		
49.					Sold	10/11/13	K		
50. General Dynamics	A	Dividend	J	T					
51. Phillips 66		None			Sold	01/14/13	J		

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52. ENI SPA Sponsored ADR	A	Dividend			Sold	09/11/13	J		
53. Celgene Corp.		None	J	T					
54. Mastercard Inc. Class A	A	Dividend	J	T					
55. Vanguard Short Term Investment Grade Fund	A	Int./Div.	K	T	Buy (add'l)	01/02/13	J		
56.					Buy (add'l)	02/01/13	J		
57.					Buy (add'l)	03/01/13	J		
58.					Buy (add'l)	04/01/13	J		
59.					Sold (part)	04/17/13	J		
60.					Sold (part)	04/26/13	J		
61.					Buy (add'l)	05/01/13	J		
62.					Sold (part)	05/03/13	K		
63.					Buy (add'l)	06/03/13	J		
64.					Buy (add'l)	07/01/13	J		
65.					Sold (part)	07/05/13	J		
66.					Buy (add'l)	08/01/13	J		
67.					Buy (add'l)	09/03/13	J		
68.					Buy (add'l)	10/01/13	J		

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69.					Buy (add'l)	11/01/13	J		
70.					Buy (add'l)	12/02/13	J		
71.					Buy (add'l)	01/02/14	J		
72.					Sold (part)	01/31/14	J		
73.					Buy (add'l)	02/03/14	J		
74.					Buy (add'l)	03/03/14	J		
75.					Buy (add'l)	04/01/14	J		
76. Nicholas F.	A	Dividend	N	T					
77. Berkshire Hathaway Cl. B.		None	K	T					
78. M&I Bank Baird M.M.F.	A	Interest	J	T					
79. Charles Schwab M.M.F.	A	Interest	J	T					
80. Chase Bank Checking	A	Interest	J	T					
81. Fidelity Div. Growth IRA	D	Distribution	J	T	Redeemed (part)	12/31/13	J		
82. Gabelli Global Telecom M.F.	A	Distribution	J	T					
83. Wells Fargo Co.	B	Distribution	M	T					
84. Wells Fargo Common Stock Mutual Fund	A	Dividend	L	T					
85. Eli Lilly & Co.	B	Dividend	L	T					

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86. Fiserv Inc.		None	J	T					
87. Market Corp.		None	J	T	Buy (add'l)	01/08/13	J		
88.					Sold (part)	07/09/13	J		
89. Sigma Aldrich Corp.	A	Dividend	J	T					
90. Chevron Corp. C.S.	A	Dividend	J	T					
91. Roche Holding Limited Sponsored ADR	A	Dividend	K	T					
92. Microsoft Corp		None			Sold	01/11/13	J		
93. Oracle	A	Dividend			Buy (add'l)	01/31/13	J		
94.					Sold	04/03/14	K		
95. Proctor & Gamble Co	A	Dividend			Sold	12/31/13	J		
96. Quidel Corp		None	J	T	Buy (add'l)	04/03/14	J		
97. Abbot Laboratories	A	Dividend	J	T	Buy (add'l)	02/08/13	J		
98. Visa Inc. Cl A	A	Dividend	K	T					
99. Medtronic Inc.	A	Dividend			Sold	04/15/13	J		
100. Google Inc. Cl A		None	J	T					
101. CME Group Inc. Class A	A	Dividend	K	T	Buy (add'l)	01/14/13	J		
102. Qualcomm Inc.	A	Dividend			Buy	01/08/13	J		

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103.					Buy (add'l)	01/31/13	J		
104.					Sold	09/17/13	K		
105. XL Group PLC	A	Dividend			Buy	04/09/13	J		
106.					Buy (add'l)	07/09/13	J		
107.					Sold	01/09/14	J		
108. Bard C R Inc.	A	Dividend	K	T	Buy	04/15/13	J		
109.					Buy (add'l)	04/25/13	J		
110. Williams Cos Inc. Del	A	Dividend	K	T	Buy	04/16/13	J		
111.					Buy (add'l)	07/05/13	J		
112. American Tower Corp New	A	Dividend	K	T	Buy	05/03/13	J		
113.					Buy (add'l)	10/11/13	J		
114. Fleetcor Technologies Inc.		None	K	T	Buy	08/06/13	J		
115.					Buy (add'l)	09/17/13	J		
116. ITC Holdings Corp.	A	Dividend	K	T	Buy	09/11/13	J		
117.					Buy (add'l)	09/17/13	J		
118. Taiwan Semiconductor	A	Dividend	K	T	Buy	10/11/13	J		
119.					Buy (add'l)	01/31/13	J		

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120. Nestle S A Spnsd ADR	A	Dividend	K	T	Buy	12/31/13	J		
121.					Buy (add'l)	01/09/13	J		
122. Philip Morris Int'l Inc.	A	Dividend	K	T	Buy	12/31/13	J		
123.					Buy (add'l)	01/09/14	J		
124.					Buy (add'l)	01/31/14	J		
125. ASML Holding	A	Dividend	J	T	Buy	04/03/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Nordberg, John A.

Date of Report

07/11/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

#20. Trust # 1 -- sole assets: cash and partial interest in oil and gas rights in Texas County, Oklahoma. As of April 21, 2014, I was one of the remaining 4 beneficiaries with approximately 1/4 interest in the Trust.

Dreyfus W.W.D.M.M.F. was sold in full on October 15, 2012, for a total amount less than \$15,000, and this transaction was inadvertently omitted from that report.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Nordberg, John A.

Date of Report

07/11/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John A. Nordberg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544