

FINANCIAL DISCLOSURE REPORT
FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SEGAL, SUZANNE H.	2. Court or Organization US DIST CRT-CENTRAL DIST CA	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US MAGISTRATE JUDGE-FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 1/1/2019 to 1/23/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address ROYBAL FEDERAL BUILDING 255 EAST TEMPLE STREET, NO 5100 LOS ANGELES, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	CO-TRUSTEE	TRUST #1
2.	CO-TRUSTEE	TRUST #2
3.	CO-TRUSTEE	TRUST #3
4.	CO-TRUSTEE	TRUST #4
5.	TRUSTEE	TRUST #6

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2019	Suzanne Segal will join Signature Resolution Inc's panel of alternative dispute resolution professionals as an independent contractor in 2020
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	UNIVERSITY OF CALIFORNIA, TEACHING INCOME	\$6,443.99
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	STATE OF CALIFORNIA
2. 2019	COUNTY OF LOS ANGELES
3. 2019	WEST PUBLISHING CORPORATION
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Sedona Global E-Discovery Conference	January 13-16, 2019	Tampa, FL	Annual Seminar	Hotel and transportation
2.	Association of Business Trial Lawyers	April 26-28, 2019	Palos Verdes, CA	Joint Board Retreat	Hotel and transportation
3.	American Conference Institute	June 23-24, 2019	New York, NY	Wage and Hour Law Conference	Hotel and transportation
4.	Assoication of Business Trial Lawyers	October 2-6, 2019	Maui, HI	Annual Seminar	Hotel and transportation
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 (H)									
2. -NEUBERGER BERMAN SUSTAINABLE EQUITY (FKA SOCIALLY RESPONSIVE) FUND	E	Dividend	M	T					
3. -NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	A	Dividend	J	T	Sold (part)	08/09/19	J	D	
4. -CALIFORNIA ST FOR PREVIOUS ISS GO BONDS	A	Interest			Sold	02/05/19	K	A	
5. -CALIFORNIA ST PUB WKS BRD LEASE REV BOND 2014A	A	Interest			Sold	04/12/19	K	A	
6. -DREYFUS GOVERNMENT CASH MGMT ADMIN MONEY MARKET ACCT	A	Dividend	J	T					
7. -CALIFORNIA ST VETERANS GO (FKA CALIFORNIA ST FOR PREVIOUS ISSUE) BOND	A	Interest	K	T					
8. -OXNARD CALIF SCH DIST GO REF BOND	A	Interest			Sold	01/11/19	K	A	
9. -SAN FRANCISCO CALIF BAY AREA RAPID TRANSIT BOND	A	Interest	K	T					
10. -METROPOLITAN WTR DIST SOUTH CALIF BOND	A	Interest	K	T					
11. -NEBRASKA INVT FIN AUTH SINGLE FAMILY BOND	A	Interest	K	T					
12. -OREGON ST HSG & CMNTY SVCS DEPT MTG	A	Interest	K	T					
13. -NEUBERGER BERMAN GUARDIAN FD INSTL CL	D	Dividend	M	T					
14. -TRUMBULL CONN GO BOND	A	Interest	K	T	Buy	02/04/19	K		
15. -UNION CNTY KY SCH DIST FIN CORP SCH BONDS		None	K	T	Buy	12/26/19	K		
16. US BANK INTEREST CHECKING	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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17. US BANK INTEREST CHECKING		None	J	T					
18. SOUTHLAND CREDIT UNION SAVINGS ACCOUNT	A	Interest	J	T					
19. SOUTHLAND CREDIT UNION CERTIFICATE ACCOUNT	A	Interest	K	T					
20. AMERICAN ELECTRIC POWER CO INC COMMON STOCK	A	Dividend	J	T					
21. HONEYWELL INTERNATIONAL INC COMMON STOCK	A	Dividend	J	T					
22. TRUST #1 (H)									
23. -FIDELITY GOVERNMENT MONEY MARKET ACCOUNT	A	Dividend	J	T					
24. -FIDELITY CONTRAFUND	A	Dividend			Sold (part)	01/02/19	J	A	
25.					Sold (part)	03/04/19	J	A	
26.					Sold (part)	07/01/19	J	A	
27.					Sold (part)	09/09/19	J	A	
28.					Sold (part)	10/11/19	J	A	
29.					Sold (part)	11/01/19	J	A	
30.					Sold	11/04/19	L	A	
31. -FIDELITY FOUR IN ONE INDEX FUND	C	Dividend	L	T	Sold (part)	01/02/19	J	A	
32.					Sold (part)	03/04/19	J	A	
33.					Sold (part)	07/01/19	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34.					Sold (part)	09/09/19	J	A	
35.					Sold (part)	10/11/19	J	A	
36.					Sold (part)	11/01/19	J	A	
37.					Sold (part)	01/07/20	J	A	
38. -FIDELITY 500 INDEX FUND	A	Dividend	L	T	Buy	11/04/19	L		
39.					Sold (part)	01/07/20	J	A	
40. TRUST #2 (H)									
41. -FIDELITY TREASURY MONEY MARKET ACCOUNT	A	Dividend	J	T					
42. -FIDELITY CONTRAFUND	A	Dividend			Sold (part)	04/15/19	K	A	
43.					Sold	11/04/19	K	A	
44. -FIDELITY FOUR IN ONE INDEX FUND	B	Dividend	K	T	Sold (part)	04/15/19	K	A	
45. -FIDELITY 500 INDEX FUND	A	Dividend	K	T	Buy	11/04/19	K		
46. -RENTAL PROPERTY, LOS ANGELES, CA (2018, \$100,000)	B	Rent	L	R					
47. TRUST #3 (H)									
48. -NEUBERGER BERMAN SUSTAINABLE EQUITY (FKA SOCIALLY RESPONSIVE) FUND	D	Dividend	L	T					
49. -NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	C	Dividend	K	T					

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50. -NEUBERGER & BERMAN EQUITY FD GUARDIAN FD INSTL CL	B	Dividend	K	T	Buy (add'l)	04/29/19	J		
51. -DREYFUS GOVERNMENT CASH MGMT ADMIN MONEY MARKET ACCOUNT	A	Dividend	J	T					
52. TRUST #4 (H)									
53. -NEUBERGER BERMAN SUSTAINABLE EQUITY (FKA SOCIALLY RESPONSIVE) FUND	D	Dividend	L	T	Sold (part)	07/23/19	J	A	
54. -NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	A	Dividend			Sold (part)	04/29/19	J	A	
55.					Sold (part)	04/30/19	J	A	
56.					Sold	05/01/19	J	A	
57. -NEUBERGER & BERMAN EQUITY FD GUARDIAN FD INSTL CL	D	Dividend	L	T	Buy (add'l)	02/01/19	J		
58. -DREYFUS GOVERNMENT CASH MGMT ADMIN MONEY MARKET ACCOUNT	A	Dividend	J	T					
59. -RENTAL PROPERTY, LOS ANGELES, CA (2018, \$100,000)	B	Rent	L	R					
60. TRUST #5 (H)									
61. -NEUBERGER BERMAN SUSTAINABLE EQUITY (FKA SOCIALLY RESPONSIVE) FUND	D	Dividend	L	T					
62. -NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	C	Dividend	K	T	Sold (part)	12/17/19	J	A	
63.					Sold (part)	01/09/20	J	B	
64. -NEUBERGER & BERMAN EQUITY FD GUARDIAN FD INSTL CL	C	Dividend	L	T	Buy (add'l)	02/01/19	J		
65. -DREYFUS GOVERNMENT CASH MGMT MONEY MARKET ACCOUNT	A	Dividend	J	T					

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66. INDIVIDUAL RETIREMENT ACCOUNT #1 (H)									
67. -MORGAN STANLEY PRIVATE BANK NA (FKA LIQUID ASSET FUND)	A	Interest	K	T					
68. -VOYA FINANCIAL ING GOLDENSELECT OPPORTUNITIES VARIABLE ANNUITY (H)				T					
69. -VY JPMORGAN EMERGING MARKETS EQUITY	A	Dividend	J	T					
70. -VY (FKA DIVERSIFIED) MID CAP OPPORTUNITIES PORTFOLIO	A	Dividend	J	T					
71. -VY T. ROWE PRICE CAPITAL APPRECIATION	A	Dividend	J	T					
72. -VY CLARION REAL ESTATE PORTFOLIO	A	Dividend	J	T					
73. -VOYA LARGE CAP VALUE PORFOLIO	A	Dividend	J	T					
74. -VOYA INTERNATIONAL INDEX PORTFOLIO	A	Dividend	J	T					
75. -INVESCO DIVERSIFIED DIVIDEND A	A	Dividend	J	T					
76. INDIVIDUAL RETIREMENT ACCOUNT #2 (H)									
77. -NEUBERGER BERMAN SUSTAINABLE EQUITY (FKA SOCIALLY RESPONSIVE) FUND	E	Dividend	N	T					
78. -NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FUND INSTL CL	D	Dividend	M	T					
79. -NEUBERGER & BERMAN EQUITY FD GUARDIAN FD INSTL CL	E	Dividend	N	T	Buy (add'l)	02/01/19	L		
80. -DREYFUS GOVERNMENT CASH MGMT MONEY MARKET ACCOUNT	B	Dividend	J	T					
81. -ILLINOIS ST GO BOND	A	Interest			Matured	01/01/19	K	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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82. -NEW YORK GO BOND	A	Interest			Matured	03/01/19	K	A	
83. -AUSTIN TEXAS PUB IMPT BOND	B	Interest			Matured	09/01/19	K	A	
84. -CALIFORNIA ST GO BOND	B	Interest			Matured	10/01/19	K	A	
85. -VIRGINIA COLLEGE BLDG AUTH BOND	B	Interest	K	T					
86. -WILMINGTON DEL GO BOND	A	Interest	K	T					
87. -CONNECTICUT ST GO BOND	A	Interest	K	T					
88. -COLUMBUS OHIO VARIOUS PURP LTD TAX BOND	A	Interest	K	T					
89. -NEW YORK ST DORM AUTH REVS BOND	A	Interest	K	T					
90. -RANCHO CALIF WTR DIST FING AUTH REV BOND	A	Interest	K	T					
91. -ARKANSAS ST GO TAXABLE REF BOND	A	Interest	K	T					
92. -MET GOVT NASHVILLE & DAVIDSON CNTY TENN BOND	A	Interest	K	T					
93. -NEW HAMPSHIRE ST GO CAP IMPT BOND	A	Interest	K	T					
94. -UNIV PITTSBURGH OF THE CMWLTH SYS BOND	A	Interest	K	T					
95. -UNIVERSITY CALIF REVS LT PROJ REV BOND	A	Interest	K	T					
96. -US TREASURY BILLS ZERO CPN 5/9/19		None			Matured	05/09/19	K	A	
97. -US TREASURY BILLS ZERO CPN 5/16/19		None			Matured	05/16/19	K	A	
98. -UNIVERSITY COLO ENTERPRISE SYS REV BOND	A	Interest	K	T	Buy	05/14/19	K		

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
99. -NEW YORK ST DORM AUTH REVS ST PERS INCOME BOND	A	Interest	K	T	Buy	05/21/19	K		
100. -US TREASURY BILLS ZERO CPN 7/2/19		None			Buy	05/31/19	L		
101.					Matured	07/02/19	L	A	
102. -US TREASURY BILLS ZERO CPN 11/14/19		None			Buy	05/16/19	K		
103.					Matured	11/14/19	K	A	
104. -US TREASURY BILLS ZERO CPN 11/7/19		None			Buy	05/08/19	K		
105.					Matured	11/07/19	K	A	
106. -US TREASURY BILLS ZERO CPN 12/26/19		None			Buy	07/02/19	L		
107.					Matured	12/26/19	L	A	
108. -US TREASURY BILLS ZERO CPN 5/7/20		None	K	T	Buy	11/06/19	K		
109. -US TREASURY BILLS ZERO CPN 6/25/20		None	L	T	Buy	12/27/19	L		
110. -US TREASURY BILLS ZERO CPN 11/5/20		None	K	T	Buy	11/13/19	K		
111. -US TREASURY BILLS ZERO CPN 12/3/20		None	L	T	Buy	12/27/19	L		
112. CHASE BANK CASH ACCOUNTS	A	Interest	K	T					
113. FIDELITY INVESTMENT ACCOUNT (H)									
114. -FIDELITY ASSET MANAGER 50% FUND	A	Dividend	J	T	Sold (part)	04/16/19	J	A	
115. -FIDELITY STRATEGIC DIVIDEND & INCOME FUND	A	Dividend	J	T	Sold (part)	04/16/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEGAL, SUZANNE H.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
116. -FIDELITY CASH ACCOUNT	A	Interest	J	T	Open	04/15/19	J		
117. MORGAN STANLEY INVESTMENT ACCOUNT (H)									
118. -MORGAN STANLEY BANK NA (FORMERLY LIQUID ASSET FUND)	A	Interest	J	T					
119. -VOYA FINANCIAL ING GOLDENSELECT OPPORTUNITIES ANNUITY (H)									
120. -VY T. ROWE PRICE CAPITAL APPRECIATION	A	Dividend	J	T					
121. -VY INVESCO GROWTH AND INCOME PORTFOLIO	A	Dividend	J	T					
122. FIDELITY INVESTMENT ACCOUNT (H)									
123. -FIDELITY GOVERNMENT MONEY MARKET ACCT		None	J	T					
124. -FIDELITY CALIFORNIA MUNI MONEY MARKET ACCT		None	J	T					
125. BROKERAGE ACCOUNT #2 (H)									
126. -DREYFUS GOVERNMENT CASH MGMT ADMIN MONEY MARKET ACCOUNT	A	Dividend	J	T					
127. -NEUBERGER BERMAN SHT DURATION BOND INSTL MUTAL FUND	A	Dividend	J	T	Sold (part)	12/18/19	K	A	
128. -NEUBERGER BERMAN GUARDIAN FD INSTL CL	A	Dividend	J	T					
129. -NEUBERGER BERMAN SUSTAINABLE EQUITY FUND	A	Dividend	J	T					
130. BROKERAGE ACCOUNT #3 (H)									
131. -DREYFUS GOVERNMENT CASH MGMT ADMIN MONEY MARKET ACCOUNT	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SEGAL, SUZANNE H.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
132. -NEUBERGER BERMAN SHT DURATION BOND INSTL MUTUAL FUND	C	Dividend	M	T	Sold (part)	01/25/19	L	A	
133. -NEUBERGER BERMAN GUARDIAN FD INSTL CL	C	Dividend	K	T					
134. -NEUBERGER BERMAN SUSTAINABLE EQUITY FUND	C	Dividend	K	T					
135. INDIVIDUAL RETIREMENT ACCOUNT #3 (H)									
136. -DREYFUS GOVERNMENT CASH MGMT ADMIN MONEY MARKET ACCOUNT	A	Dividend	J	T					
137. -NEUBERGER BERMAN EQUITY INCOME INSTL MUTUAL FUND	B	Dividend	K	T					
138. -NEUBERGER BERMAN GUARDIAN FD INSTL CL MUTUAL FUND	B	Dividend	K	T					
139. - NEUBERGER BERMAN SUSTAINABLE EQUITY (FKA SOC RESPONSIVE) INSTL FD	B	Dividend	K	T					
140. BERNSTEIN CASH ACCOUNT	A	Interest	J	T					
141. BERNSTEIN AB HIGH INCOME FIXED INCOME FUND	C	Dividend	M	T	Buy	01/07/19	J		
142.					Buy (add'l)	02/04/19	J		
143.					Buy (add'l)	03/04/19	K		
144.					Buy (add'l)	03/27/19	K		
145.					Buy (add'l)	04/02/19	J		
146.					Sold (part)	04/24/19	J	A	
147.					Buy (add'l)	05/02/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

SEGAL, SUZANNE H.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
148.					Buy (add'l)	06/04/19	J		
149.					Buy (add'l)	06/25/19	J		
150.					Buy (add'l)	07/02/19	J		
151.					Sold (part)	07/24/19	J	A	
152.					Buy (add'l)	08/02/19	J		
153.					Buy (add'l)	08/08/19	J		
154.					Buy (add'l)	09/04/19	J		
155.					Buy (add'l)	10/02/19	J		
156.					Sold (part)	10/23/19	J	A	
157.					Buy (add'l)	11/04/19	J		
158.					Buy (add'l)	11/12/19	J		
159.					Buy (add'l)	12/03/19	J		
160.					Buy (add'l)	12/23/19	K		
161.					Buy (add'l)	01/02/20	J		
162.					Buy (add'l)	01/03/20	J		
163.					Sold (part)	01/22/20	J	A	
164. RENTAL PROPERTY, LOS ANGELES, CA (2018, \$600,000)	B	Rent	O	R					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

SEGAL, SUZANNE H.

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
165. BROKERAGE ACCOUNT #4 (H)									
166. -BERNSTEIN CASH ACCOUNT	A	Interest	J	T					
167. -BERNSTEIN AB HIGH INCOME FIXED INCOME FUND	D	Dividend	M	T	Buy	01/23/19	M		
168.					Buy (add'l)	01/31/19	J		
169.					Buy (add'l)	02/04/19	J		
170.					Buy (add'l)	03/04/19	J		
171.					Buy (add'l)	04/02/19	J		
172.					Sold (part)	04/24/19	J	A	
173.					Buy (add'l)	05/02/19	J		
174.					Buy (add'l)	06/04/19	J		
175.					Buy (add'l)	07/02/19	J		
176.					Sold (part)	07/24/19	J	A	
177.					Buy (add'l)	08/02/19	J		
178.					Buy (add'l)	09/04/19	J		
179.					Buy (add'l)	10/02/19	J		
180.					Sold (part)	10/23/19	J	A	
181.					Buy (add'l)	11/04/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
182.					Buy (add'l)	12/03/19	J		
183.					Buy (add'l)	12/17/19	J		
184.					Buy (add'l)	01/03/20	J		
185.					Sold (part)	01/22/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

SEGAL, SUZANNE H.

Date of Report

05/14/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

SEGAL, SUZANNE H.

Date of Report

05/14/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ SUZANNE H. SEGAL

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544