

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stinnett, Matthew A.	2. Court or Organization U.S. District Court, Eastern District of Kentucky	3. Date of Report 08/10/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Lexington Courthouse 101 Barr Street Lexington, KY 40507		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____	_____
2.	_____	_____
3.	_____	_____

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Name of Person Reporting

Stinnett, Matthew A.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Chrysalis House - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. JPMorgan Chase Bank (Cash)		None	K	T					
3. NW Mutual Life Ins Policy (whole)	A	Dividend	J	T					
4. IRA #1 (H)									
5. Berkshire Hathaway Inc (BRKB)		None	J	T					
6. RETIREMENT ACCT #1 (H)									
7. Lincoln Mgd Prin Protect Portfolio		None	J	T					
8. Stadion Mgd Max Growth SATT		None	K	T					
9. IRA #2 (H)									
10. AMG MANAGERS FAIRPOINTE MID CAP FUND CLASS I (ABMIX)	A	Dividend			Sold	11/25/19	J		
11. BROWN CAPITAL MANAGEMENT SMALL COMPANY FUND INST CL (BCSSX)		None	J	T					
12. COHEN & STEERS REALTY INCOME FUND INSTITUTIONAL CL (CSDIX)	A	Dividend	J	T	Sold (part)	11/25/19	J	A	
13. DEUTSCHE ENHANCED COMDTY STRAT INST (SKIRX)	A	Dividend	J	T					
14. FMI FDS INC INTL FD INSTL (FMIYX)	A	Dividend	J	T					
15. GOLDMAN SACHS INTERNATIONAL SMALL CAP INSIGHTS FUND CLASS I (GICIX)	A	Dividend	J	T					
16. OAKMARK SELECT I (OAKLX)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. NEW WORLD FUND-F2 (NFFFX)	A	Dividend	J	T					
18. PARNASSUS MID CAP FUND INSTITUTIONAL CLASS (PFPMX)	A	Dividend	J	T	Buy	08/19/19	J		
19. PIMCO INCOME FUND CLASS P (PONPX)	A	Dividend	J	T	Sold (part)	08/19/19	J		
20. PIMCO COMMODITIES PLUS STRATEGY FUND CLASS P (PCLPX)	A	Dividend	J	T					
21. ISHARES TR CORE S&P500 ETF (IVV)	B	Dividend	L	T	Sold (part)	08/19/19	J	B	
22.					Sold (part)	11/25/19	J	A	
23. ISHARES TR CORE S&P MCP ETF (IJH)	A	Dividend	J	T					
24. ISHARES TR CORE S&P SMALL-CAP ETF (IJR)	A	Dividend	J	T	Buy	08/19/19	J		
25.					Buy (add'l)	11/25/19	J		
26. SCHWAB STRATEGIC TR INTL EQTY ETF (SCHF)	A	Dividend	K	T					
27. VANGUARD BD INDEX FD INC TOTAL BND MRKT (BND)	A	Dividend	J	T					
28. VANGUARD MALVERN FDS SHORT- TERM INFLATION PROTECTED SECS INDEX (VTIP)	A	Dividend	J	T	Buy	08/19/19	J		
29. VANGUARD INTL EQUITY INDEX F FTSE EMR MKT ETF (VWO)	A	Dividend	J	T					
30. IRA #3 (H)									
31. VAN ECK FDS VAN ECK INDX Y (CMCYX)	A	Dividend	J	T					
32. ISHARES TR IBOXX USD INVT GRADE CORP BD ETF (LQD)	A	Dividend			Sold	03/18/19	J	A	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
33. ISHARES TR CORE S&P MCP ETF (IJH)	A	Dividend	K	T					
34. ISHARES TR CORE S&P SCP ETF (IJR)	A	Dividend	J	T	Buy (add'l)	08/19/19	J		
35.					Buy (add'l)	11/25/19	J		
36. ISHARES TR CORE TOTAL USD BD MKT ETF (IUSB)	A	Dividend	J	T	Buy	03/18/19	J		
37.					Sold (part)	08/19/19	J	A	
38. SPDR S&P 500 ETF TR TR UNIT (SPY)	A	Dividend	L	T	Sold (part)	08/19/19	J	B	
39.					Sold (part)	11/25/19	J	B	
40. VANGUARD BD INDEX FD INC TOTAL BND MARKET (BND)	A	Dividend			Sold	03/18/19	J	A	
41. VANGUARD FTSE DEVELOPED MARKET ETF (VEA)	B	Dividend	K	T					
42. VANGUARD MALVERN FDS SHORT- TERM INFLATION PROTECTED (VTIP)	A	Dividend	J	T	Buy	08/19/19	J		
43. VANGUARD INTL EQUITY INDEX F FTSE EMR MKT ETF (VWO)	A	Dividend	K	T					
44. VANGUARD INDEX FDS REAL ESTATE ETF (VNQ)	A	Dividend	J	T	Sold (part)	11/25/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 7 and 8: These items have no underlying investments.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Matthew A. Stinnett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544