

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Katsas, Gregory G.	2. Court or Organization U.S. Court of Appeals for the D.C. Circuit	3. Date of Report 08/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S Courthouse 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1 (reportable holdings are included in Part VII)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2009	Jones Day - defined benefit pension plan
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Katsas, Gregory G.

Date of Report

08/12/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federalist Society	February 1-3, 2019	Orlando, FL	Speak at conference	Transportation, meals, lodging
2.	Federalist Society	February 23-24, 2019	Chicago, IL	Speak at law school	Transportation, meals, lodging
3.	Federalist Society	February 25-26, 2019	New York, NY	Speak at law school	Transportation, meals, lodging
4.	Federalist Society	February 26-27, 2019	New Haven, CT	Speak at law school	Transportation, meals, lodging
5.	Federalist Society	March 19, 2019	Charlottesville, VA	Speak at law school	Transportation, meals, lodging
6.	Federalist Society	May 27-30, 2019	Phoenix, AZ	Attend conference	Transportation, meals, lodging
7.	Federalist Society	September 30-October 1, 2019	South Bend, IN	Speak at law school	Transportation, meals, lodging

FINANCIAL DISCLOSURE REPORT
Page 3 of 15

Name of Person Reporting Katsas, Gregory G.	Date of Report 08/12/2020
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8.	Federalist Society	October 15-16, 2019	Houston, TX	Speech	Transportation, meals, lodging
9.	Federalist Society	November 20-22, 2019	Cambridge, MA	Speak at law school	Transportation, meals, lodging

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Katsas, Gregory G.	08/12/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Schwab Bank (cash)	A	Interest	L	T					
3. United Trust (cash)	A	Interest	K	T					
4. KS 529 Acct #1 (H)									
5. Schwab Aggressive Growth Index Portfolio		None	M	T	Sold (part)	02/21/19	M		
6.					Buy (add'l)	05/13/19	M		
7. Schwab 529 Money Market Portfolio	A	Dividend			Buy	02/21/19	M		
8.					Sold	05/13/19	M		
9. KS 529 Acct #2 (H)									
10. Schwab Aggressive Growth Index Portfolio		None	M	T	Sold (part)	02/21/19	M		
11.					Buy (add'l)	05/13/19	M		
12. Schwab 529 Money Market Portfolio	A	Dividend			Buy	02/21/19	M		
13.					Sold	05/13/19	M		
14. VA 529 Acct #3 (H)									
15. 529 Virginia Prepay - tuition plan		None	L	T					
16. VA 529 Acct #4 (H)									
17. Vanguard Total Stock Index Fund (VSMPX)		None	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. VA 529 Acct #5 (H)									
19. Vanguard Total Stock Index Fund (VSMPX)		None	J	T					
20. Invesco Advisors Stable Value Portfolio		None	J	T					
21. VA 529 Acct #6 (H)									
22. 529 Virginia Prepay - tuition plan		None	L	T					
23. VA 529 Acct #7 (H)									
24. Vanguard Total Stock Index Fund (VSMPX)		None	J	T					
25. VA 529 Acct #8 (H)									
26. Vanguard Total Stock Index Fund (VSMPX)		None	K	T					
27. Invesco Advisors Stable Value Portfolio		None	J	T					
28. Brokerage Acct #1 (H)									
29. Fidelity Core Cash Account (cash)	C	Interest	N	T					
30. Gateway Fund Class Y (GTEYX)	D	Dividend	N	T					
31. Schwab Fundamental US Large Co Index (SFLNX)	E	Dividend	N	T	Buy (add'l)	06/03/19	L		
32. iShares Core S&P ETF (IVV)	E	Dividend	P1	T	Buy (add'l)	05/13/19	L		
33.					Buy (add'l)	06/04/19	K		
34.					Buy (add'l)	06/04/19	K		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	06/04/19	K		
36.					Buy (add'l)	06/04/19	L		
37. iShares Russell 2000 ETF (IWM)	D	Dividend	N	T	Buy (add'l)	05/13/19	L		
38.					Buy (add'l)	06/04/19	L		
39. IRA #1 (H)									
40. Schwab Bank Sweep Account (cash)	A	Interest	M	T					
41. Charles Schwab US MC ETF (SCHM)	A	Dividend	K	T	Sold (part)	07/05/19	K	D	
42. Schwab US Broad Market ETF (SCHB)	B	Dividend	M	T	Sold (part)	09/13/19	K	E	
43.					Buy (add'l)	12/01/19	L		
44.					Buy (add'l)	12/03/19	K		
45. Schwab US Dividend Equity ETF (SCHD)	D	Dividend	N	T	Buy (add'l)	05/13/19	L		
46.					Buy (add'l)	08/07/19	K		
47.					Sold (part)	09/13/19	M	G	
48.					Buy (add'l)	12/03/19	L		
49. Schwab US Large Cap Growth ETF (SCHG)	B	Dividend	N	T	Buy (add'l)	05/13/19	L		
50.					Buy (add'l)	08/14/19	L		
51. Schwab US Large-Cap ETF (SCHX)	B	Dividend	L	T	Buy (add'l)	08/14/19	L		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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52.					Sold (part)	09/17/19	M	G	
53. First TST NASDAQ 100 Tec ID Fund (QTEC)	A	Dividend			Sold	06/13/19	K	D	
54. Boston Partners Long Short Res I (BPIRX)		None			Sold	04/12/19	M		
55. IRA #2 (H)									
56. Schwab Bank Sweep (cash) (X)	A	Interest	K	T					
57. Schwab US Dividend Equity Fund (SCHD)	B	Dividend	K	T					
58. Brokerage Acct #2 (H)									
59. iShares Core S&P 500 ETF (IVV)	B	Dividend	M	T					
60. Vanguard Dividend Appreciation Fund (VIG)	A	Dividend	K	T	Sold (part)	07/30/19	J	C	
61.					Sold (part)	07/30/19	K	D	
62. FMI Large Cap Fund (FMIHX)	D	Dividend	M	T					
63. Schwab Fundamental Intl Large Co Index (SFNNX)	B	Dividend	K	T	Sold (part)	04/12/19	K		
64.					Sold (part)	07/30/19	K		
65. Vanguard 500 Index Fd Admiral SHRS (VFIAX)	B	Dividend	M	T					
66. Brokerage Acct #3 (H)									
67. Schwab Cash Sweep (SWXXX) (cash equivalent) (Y)									
68. Ishares Core S&P ETF (IJH)	C	Dividend	N	T	Buy	01/02/19	M		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Katsas, Gregory G.

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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69.					Buy (add'l)	05/13/19	L		
70. Schwab US Broad Market ETF (SCHB)	C	Dividend	M	T	Buy (add'l)	05/13/19	L		
71. Schwab US Large-Cap Value ETF (SCHV)	D	Dividend	M	T	Buy (add'l)	05/13/19	J		
72.					Sold (part)	07/30/19	K	C	
73.					Buy (add'l)	05/13/19	L		
74. Schwab US Small-Cap ETF (SCHA)	A	Dividend	L	T					
75. Diamond Hill Long Short Fund Cl I (DHL SX)	E	Dividend	N	T					
76. Vanguard 500 Index Fd Admiral SHRS (VFIAX)	C	Dividend	N	T	Buy (add'l)	05/13/19	K		
77. Boston Partners Long Short Research Inst Fund (BPIRX)		None			Sold (part)	02/19/19	L		
78.					Sold (part)	04/12/19	M		
79.					Sold (part)	04/24/19	K		
80.					Sold	07/05/19	L	A	
81. Parametric Tax Mgd. Emerging Mkts. Instl. Fund (EITEX)		None			Sold (part)	02/19/19	L	B	
82.					Sold	10/10/19	L	A	
83. IRA #3 (H)									
84. Schwab Bank Sweep (cash)	A	Interest	L	T					
85. iShares Core High (HDV)	A	Dividend	L	T	Buy	10/03/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. iShares Core S&P 500 (IVV)	D	Dividend	O	T	Buy (add'l)	05/13/19	L		
87.					Sold (part)	07/05/19	M	E	
88.					Buy (add'l)	10/03/19	L		
89. Diamond Hill Long Short Fund (DHLSX)	C	Dividend	L	T					
90. Schwab Fundamental Intl Large Co Index (SFNNX)	B	Dividend	K	T					
91. Boston Partners Long Short Research Inst (BPIRX)		None			Sold (part)	04/12/19	L		
92.					Sold	04/24/19	L		
93. IRA #4 (H)									
94. Schwab Bank Sweep (cash)	A	Interest	M	T					
95. iShares Core S&P 500 ETF (IVV)	D	Dividend	N	T	Buy (add'l)	05/09/19	L		
96.					Sold (part)	09/13/19	M	F	
97.					Buy (add'l)	12/03/19	L		
98. Schwab US Large Cap Value ETF (SCHV)	D	Dividend	N	T	Buy (add'l)	05/09/19	K		
99. Vanguard Dividend Appreciation ETF (VIG)	B	Dividend	N	T	Sold (part)	09/13/19	M	F	
100.					Buy (add'l)	12/03/19	M		
101. Gateway Fund CL Y (GTEYX)	C	Dividend	N	T					
102. Harding Loevner Inst Emrg Mkts Cl I (HLMEX)		None			Sold	04/12/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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FINANCIAL DISCLOSURE REPORT

Page 11 of 15

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103. Natixis Vaughan Nelson Value Opportunity Fund (VNVYX)	A	Dividend			Sold (part)	02/19/19	K		
104.					Sold	04/12/19	K		
105. Brokerage Acct #4 (H)									
106. Large Cap Equity Managed Pool (SCGE1)		None	K	T	Buy (add'l)	01/03/19	J		
107.					Sold (part)	02/21/19	K	B	
108.					Sold (part)	04/25/19	J	A	
109.					Sold (part)	04/26/19	J	A	
110.					Buy (add'l)	04/29/19	J		
111.					Buy (add'l)	08/02/19	J		
112.					Sold (part)	08/21/19	J	A	
113. Schwab Money Market Pool (SCGM1)		None	L	T	Buy (add'l)	01/03/19	J		
114.					Sold (part)	01/28/19	J	A	
115.					Sold (part)	01/31/19	J	A	
116.					Buy (add'l)	02/21/19	L		
117.					Sold (part)	04/26/19	J	A	
118.					Buy (add'l)	04/29/19	J		
119.					Sold (part)	05/17/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	05/23/19	J	A	
121.					Sold (part)	05/30/19	J	A	
122.					Sold (part)	07/24/19	J	A	
123.					Buy (add'l)	08/02/19	J		
124.					Sold (part)	08/21/19	J	A	
125.					Sold (part)	11/08/19	J	A	
126. Schwab Fundamental US Large Co Index (SFLNX)		None			Sold (part)	01/02/19	J	C	
127.					Sold	08/01/19	K	E	
128. Total Market Equity Index Pool (SCBP1)		None	K	T	Buy (add'l)	01/03/19	J		
129.					Sold (part)	02/21/19	K	B	
130.					Sold (part)	04/26/19	J	A	
131.					Buy (add'l)	04/29/19	J		
132.					Buy (add'l)	08/02/19	J		
133.					Sold (part)	08/21/19	J	A	
134. Brokerage Acct #5 (H)									
135. Gateway Fund CL Y (GTEYX)	C	Dividend	N	T					
136. Schwab Fundamental US Large Co Index (SFLNX)	E	Dividend	O	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Vanguard 500 Index FD Admiral Shrs (VFIAX)	C	Dividend	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Katsas, Gregory G.

Date of Report

08/12/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Katsas, Gregory G.

Date of Report

08/12/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gregory G. Katsas**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544