

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stranch, Jane B.	2. Court or Organization Sixth Circuit Court of Appeals	3. Date of Report 08/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 701 Broadway, Room 330 Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	BF Partners
2. Co-Executor	Estate #1
3. Co-Executor	Estate #2
4. Partner	BL Partners
5. Co-Trustee	G-G Trust - No Ownership
6. Trustee	G-S Trust

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Seigenthaler-Sutherland Cup Nat. First Amendment Moot Court	03/22/2019 - 03/23/2019	Washington, DC	Moot Court Competition	Transportation, lodging, food
2.	NYU's Marden Moot Court Competition	03/25/2019 - 03/26/2019	New York, NY	Moot Court Competition	Transportation, lodging, food
3.	Justice Donald Corbin Appellate Symposium	03/28/2019 - 03/29/2019	Fayetteville, AR	Symposium - Appellate Practice	Transportation, lodging, food
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Accounts	A	Interest	M	T					
2. CapStar Bank Account	A	Interest	L	T					
3. UBS Financial Services, Inc. Accounts (H)									
4. - UBS Bank USA Deposit Account	A	Interest	J	T					
5. - American Funds Capital Income Builder Fund	D	Dividend	M	T					
6. - Virtus Emerging Mkts Opportunities Fund	A	Dividend	K	T					
7. - Virtus Multi-Sector Short-Term Bond Fund	A	Dividend	K	T					
8. - First Eagle Global Fund Class A	E	Dividend	N	T					
9. - Lincoln Life Deferred Income Annuity		None	N	T					
10. CapStar Financial Holdings, Inc. Stock (CSTR)	B	Dividend	M	T					
11. John Hancock USA Pension Plan #2 (H)									
12. - American Funds 2025 TD		None	N	T					
13. - American Funds 2035 TD		None	N	T					
14. - American Funds Capital Income Builder		None	N	T					
15. - Parnassus Core Equity Fund		None	M	T					
16. - Undiscovered Mgr Behavioral Value Fund		None	M	T					
17. John Hancock USA Pension Plan #4 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. - Oppenheimer Developing Mkt Fund		None	N	T					
19.					Buy (add'l)	07/05/19	J		
20.					Sold (part)	11/04/19	K		
21. - American Funds 2020 TD		None	O	T					
22.					Buy (add'l)	07/05/19	J		
23. - American Funds American Balanced Fund		None	O	T					
24.					Buy (add'l)	07/05/19	J		
25.					Sold (part)	11/04/19	J		
26. - Undiscovered Mgr Behavioral Value Fund		None	N	T					
27.					Buy (add'l)	07/05/19	J		
28.					Sold (part)	11/04/19	J		
29. - T. Rowe Price Sci & Tech Fund		None	N	T					
30.					Buy (add'l)	07/05/19	J		
31.					Sold (part)	11/04/19	K		
32. BF Partners (H)									
33. - Pinnacle Bank Account	A	Interest	M	T					
34. - Investment Property, Nashville, TN (Appraisal 4/09)		None	P1	Q					

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35. Northwestern Mutual: Whole Life Policy	C	Dividend	M	T					
36. Pinnacle Bank Accounts	B	Interest	N	T					
37. Estate #1 (H)									
38. - UBS Bank USA Bus. Acct.		None			Distributed	04/08/19	J		
39. -CapStar Financial Holdings, Inc. Stock (X)		None			Distributed	02/04/19	L		
40. -Metlife Stock (X)		None			Sold	03/13/19	J		
41. IRA #3 (H)									
42. - Delaware Value Fund Institutional Class I	A	Dividend	K	T					
43. - RMB Mendon Financial Services Fund Class A	A	Dividend	J	T					
44. - First Eagle Global Funds Class I	A	Dividend	K	T					
45.					Sold (part)	01/28/19	J	A	
46.					Sold (part)	02/06/19	J	A	
47.					Sold (part)	06/04/19	J	A	
48.					Sold (part)	07/10/19	J	A	
49.					Sold (part)	11/25/19	J	A	
50. - The Income Fund of America Fund Class F2	A	Dividend	K	T					
51.					Sold (part)	01/28/19	J	A	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Sold (part)	02/06/19	J	A	
53.					Sold (part)	06/04/19	J	A	
54.					Sold (part)	07/10/19	J	A	
55.					Sold (part)	11/25/19	J	A	
56.	- Loomis Sayles Global Equity and Income Fund CL Y	A	Dividend	K	T				
57.					Sold (part)	02/06/19	J	A	
58.					Sold (part)	06/04/19	J	A	
59.					Sold (part)	07/10/19	J	A	
60.					Sold (part)	11/25/19	J	A	
61.	- Thornburg Income Builder CL I	A	Dividend	K	T				
62.					Sold (part)	02/06/19	J	A	
63.					Sold (part)	06/04/19	J	A	
64.					Sold (part)	07/10/19	J	A	
65.					Sold (part)	11/25/19	J	A	
66.	- Victory Trivalent Int'l Sm Cap	A	Dividend	J	T				
67.	- Alpha Centric Inc. Opport Fund Class A	B	Dividend	K	T				
68.	- UBS Bank USA Deposit Account	A	Interest	J	T				

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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69. -Pimco Income Fund Class 12	A	Dividend	J	T					
70. -Virtus Small Cap Class 1	A	Dividend	J	T					
71. Blackrock Health Sciences Fund Institutional Class	A	Dividend	J	T	Buy	02/05/19	J		
72.					Buy (add'l)	11/22/19	J		
73. Cohen & Steers Real Est Sec Fund	A	Dividend	J	T	Buy	06/03/19	J		
74. IRA #4 (H)									
75. - Delaware Value Fund Institutional Class I	A	Dividend	J	T					
76. - RMB Mendon Financial Services Fund Class A	A	Dividend	J	T					
77. - First Eagle Global Funds Class I	A	Dividend	J	T					
78.					Sold (part)	02/06/19	J	A	
79.					Sold (part)	06/04/19	J	A	
80.					Sold (part)	11/25/19	J	A	
81. - The Income Fund of America Fund Class F2	A	Dividend	J	T					
82.					Sold (part)	02/09/19	J	A	
83.					Sold (part)	06/04/19	J	A	
84.					Sold (part)	11/25/19	J	A	
85. - Loomis Sayles Global Allocation Fund Class Y	A	Dividend	J	T					

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86.					Sold (part)	02/06/19	J	A	
87.					Sold (part)	06/04/19	J	A	
88. - Thornburg Income Builder Class I	A	Dividend	J	T					
89.					Sold (part)	02/06/19	J	A	
90.					Sold (part)	06/04/19	J	A	
91.					Sold (part)	11/25/19	J	A	
92. -Victory Trivalent Int'l Small Cap Fund Class Y	A	Dividend	J	T					
93. -UBS Bank USA Deposit Account	A	Interest	J	T					
94. -Pimco Income Fund Class 12	A	Dividend	J	T					
95. -Alpha Cenetric Inc. Opportunities Fund	A	Dividend	J	T					
96. -Virtus Kar Small Cap Core Fund	A	Dividend	J	T					
97. Blackrock Health Sciences Fund Institutional Class	A	Dividend	J	T	Buy	02/05/19	J		
98.					Buy (add'l)	11/25/19	J		
99. Cohen & Steers Real Est Sec Fund	A	Dividend	J	T	Buy	06/04/19	J		
100. Estate #2 (H)									
101. -Pinnacle Bank Account		None			Closed	04/08/19	J		
102. -Pinnacle Bank Account		None	J	T					

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103. -UBS Bank USA Deposit Account	A	Interest	J	T					
104. -Synovus Financial Corp (X)		None			Sold	03/14/19	J		
105. Trust #1 (H) G-S Trust									
106. -UBS Bank USA Deposit Account	A	Interest	J	T					
107. -AIG Focused Div Strategy Class W	B	Dividend	K	T					
108. -Blackrock Health Sciences Fund Institutional Class	B	Dividend	K	T					
109.					Sold (part)	11/25/19	J	A	
110. -Touchstone Inv Mid Cap Class Y	B	Dividend	K	T					
111.					Sold (part)	11/25/19	J	A	
112. -Victory Trivalent Int'l Small Cap Fund Class Y	A	Dividend	K	T					
113. -Alger Spectra Class Z	B	Dividend	K	T					
114. -Alpha Centric Income Opport Class I	B	Dividend	K	T					
115. -Nuveen Short Duration Hy Muni Bnd Class I	B	Dividend	K	T					
116.					Sold (part)	11/25/19	J	A	
117. -First Eagle Global Funds Class I (X)	B	Dividend	K	T					
118.					Buy (add'l)	06/04/19	J		
119.					Sold (part)	11/25/19	J	A	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Janus Henderson Bal Class I	B	Dividend	K	T					
121.					Sold (part)	06/04/19	J	A	
122.					Sold (part)	11/25/19	J	A	
123. -Loomis Sayles Global Allocation Fund Class Y	B	Dividend	K	T					
124.					Sold (part)	06/04/19	J	A	
125.					Sold (part)	11/25/19	J	A	
126. -Thornburg Income Builder Class I	B	Dividend	K	T					
127.					Sold (part)	06/04/19	J	A	
128.					Sold (part)	11/25/19	J	A	
129. -8012 Nashville TN Appraisal 11-3-17		None	O	Q					
130. -Land Nashville TN Appraisal 2-8-18		None	L	Q					
131. -Rental property Nashville TN Appraisal 2-22-18	E	Rent	M	Q					
132. -24.75% owner BL Partners, Nashville, TN	C	Rent	O	W					
133. -CapStar Financial Holdings, Inc. Stock (X)	A	Dividend	K	T					
134. -Cohen & Steers Real Est Sec Fund	B	Dividend	K	T	Buy	06/04/19	K		
135. -BF Partners (X) 5.62%	A	Interest	L	W					
136. College America 529 Cap Inc Bldr CIB A		None	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. BL Partners, Nashville, TN	A	Rent	J	W					
138. BP, Inc. Stock (X)		None	J	W					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Stranch, Jane B.

Date of Report

08/12/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All Part VII, references by line listed below:

1. Line 11, John Hancock USA Pension Plan #2 continuing through Line 16 contain units in a pooled investment account that is controlled by and held in the custody of John Hancock. No information is maintained at the participant level that applies to Column B of this report.
2. Line 17, John Hancock USA Pension Plan #4 continuing through Line 31 is for spouse and contain units in a pooled investment account that is controlled by and held in the custody of John Hancock. No information is maintained at the participant level that applies to Column B of this report.
3. Line 32, Branstetter Partners name has been updated to BF Partners. BF Partners assets are reported at total value as there are multiple beneficiaries.

Part I - Positions -

Line 1 - Partner, BF Partners. As per #3 above, Branstetter Partners name has been updated to BF Partners.

Line 5 - Co-Trustee G-G Trust - No Ownership. In accordance with page 31 of the filing instructions, assets of the trust are not required to be reported in Part VII as the filer and her spouse, who have no dependent children, did not receive income from the trust, have no beneficial interest in principal or income from the trust and were not involved in the creation of the trust.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Stranch, Jane B.

Date of Report

08/12/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jane B. Stranch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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