

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wehrman, J. Gregory	2. Court or Organization U.S. District Court, E. D. of Ky.	3. Date of Report 5/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 35 West Fifth Street, Room 310 Covington, KY 41011		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Kentucky Retirement Sysms	\$2,675.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Huntington Bancshares, common stock	B	Dividend	M	T					
2. Fifth Third - bank acct	A	Interest	J	T					
3. Fifth Third - bank acct	A	Interest	J	T					
4. Caldwell Securities Wedbush Morgan IRA	D	Interest	N	T					
5. - Wedbush, Inc.									
6. - Nasdaq Stock									
7. - Qualstar Corp.									
8. - Babson Capital									
9. - Capital Southwest Corp.									
10. - Bank of Utica									
11. - Leadis Tech Inc.									
12. - Sadlier William H. Inc.									
13. -First Opportunity Fund									
14. -Mission West Pptys Inc.					Distributed	9/1/2013	J		
15. -Nicholas Financial Inc.									
16. -Steel Partners Holdings									
17. - HMG Courtland					Buy	08/05/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Summit Hills CC, Edgewood, KY- membership stock		None	J	U					
19. Ky Federal Savings & Loan	A	Interest	J	T					
20. Ky Retirement Systems-retirement	C	Interest	J	T					
21. Heritage Bank - common stock	A	Dividend	J	T					
22. Perry Park, KY-house/lot 1/2 int cnty ass'd 90,500 1/98 100%		None	K	S					
23. Fidelity Investment-IRA (formerly Bank of America)	B	Dividend	L	T					
24. -Columbia Cash Reserves									
25. -Procter & Gamble Co.									
26. Fidelity Investment-IRA (formerly Bank of America)	E	Dividend	O	T					
27. -Abbott Labs-common stock									
28. -Hospira, Inc. - common stock									
29. -Motorola - common stock									
30. -Oncothyreon, Inc.									
31. -Pfizer, Inc. - common stock									
32. -Monsanto Co. - common stock									
33. -Huntington Bancshares -common stock									
34. -Fifth Third Bancorp - common stock									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -US Bancorp - common stock									
36. -Piper Jaffey Co. - common stock									
37. -P&G - common stock									
38. - Cash Reserves									
39. - Abbvie, Inc.-common stock					Spinoff (from line 27)	01/02/13	M		
40. Fifth Third Bank - common stock	A	Dividend	J	T					
41. Perry Park, KY, lot assessed 100%-\$18,000		None	K	S					
42. CBA Pharma, Inc. - common stock		None	J	T					
43. Allstate Insurance - common stock	B	Dividend	L	T					
44. US Bancorp - common stock	C	Dividend	N	T					
45. Piper Jaffey Cos - common stock	A	Dividend	J	T					
46. Conestoga Energy Partners	D	Dividend	M	T					
47. - Fifth Third Bank									
48. - US Bancorp									
49. - Huntington Bancshares									
50. - First Energy									
51.									

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52.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ J. Gregory Wehrman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544