

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) MILLING, JR., BERT W.	2. Court or Organization U.S. DISTRICT COURT - SOUTHERN	3. Date of Report 07/09/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL TIME MAGISTRATE JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 113 ST JOSEPH STREET MOBILE, AL 36602		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: MILLING, JR., BERT W., 07/09/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

Table with 3 columns: DATE, SOURCE AND TYPE, INCOME (yours, not spouse's). Rows 1-4 are empty.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

Table with 2 columns: DATE, SOURCE AND TYPE. Rows 1-4 are empty.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

Table with 5 columns: SOURCE, DATES, LOCATION, PURPOSE, ITEMS PAID OR PROVIDED. Rows 1-5 are empty.

Name of Person Reporting	Date of Report
MILLING, JR., BERT W.	07/09/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

MILLING, JR., BERT W.

Date of Report

07/09/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BBVA COMPASS CHECKING ACCOUNT	A	Interest	J	T					
2. BBVA COMPASS MONEY MARKET ACCT	A	Interest	K	T					
3. PITMAN PROPERTIES, LLC - FAIRHOPE, AL	B	Distribution	J	U					
4. TD AMERITRADE - BROKERAGE ACCOUNT - HAYEK KALLEN									ALL ACCOUNTS LISTED BELOW
5. - A T & T INC	B	Dividend	K	T					
6. - ABBVIE INC	B	Dividend	L	T					
7. - ACCENTURE LTD CL A	A	Dividend	L	T	Sold (part)	04/22/19	J	H2	
8. - ALPHABET INC, CL A		None	K	T					
9. - ALTRIA GROUP	C	Dividend	K	T					
10. - APPLE INC	B	Dividend	M	T					
11. - BERKSHIRE HATHAWAY - CL B		None	L	T	Sold (part)	04/12/19	J	D	
12. - CATERPILLAR INC	A	Distribution	K	T	Buy	04/22/19	K		
13. - CHEVRONTExICO CORP	B	Dividend	K	T					
14. - COCA COLA	A	Dividend	K	T					
15. - DOMINION RESOURCES INC COM	A	Distribution	J	T					
16. - EXXON MOBIL	B	Dividend	K	T					
17. - ICON PLC		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - INTEL CORP	B	Dividend	L	T	Sold (part)	11/21/19	J	D	
19. - JOHNSON & JOHNSON	B	Dividend	K	T					
20. - JP MORGAN ULTRA-SHORT	A	Dividend	K	T	Buy	11/21/19	L		
21. - MCDONALDS CORP	A	Dividend	K	T					
22. - MERCK & CO INC	A	Dividend	K	T					
23. - MICROSOFT	B	Dividend	M	W	Sold (part)	04/22/19	K	E	
24. - MOLSON COORS BREWING CL B	A	Dividend	K	T					
25. - NOVARTIS A G	A	Dividend	K	T					
26. - NOVO-NORDISK A S ADR	A	Dividend	K	T					
27. - ORACLE CORP COM	A	Dividend	K	T					
28. - PEPSICO INC CO	B	Dividend	L	T	Sold (part)	11/21/19	J	C	
29. - PFIZER INC	B	Dividend	K	T					
30. - PHILLIP MORRIS	B	Dividend	K	T					
31. - SOUTHERN COMPANY	A	Dividend	K	T					
32. - STARBUCKS CORP	A	Dividend	L	T					
33. - THERMO FISHER SCIENTIFIC	A	Dividend	L	T	Sold (part)	04/12/19	J	D	
34.					Sold (part)	11/21/19	K	E	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

MILLING, JR., BERT W.

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - UNION PACIFIC CORP COM	A	Dividend	K	T					
36. - UNITED TECH CORP	A	Dividend	K	T					
37. - VANGUARD HIGH DIVIDEND			K	T	Buy	11/21/19	K		
38. - VANGUARD SHORT TERM TREASURY INDEX			K	T	Buy	11/21/19	K		
39. - VERIZON COMMUNICATIONS	B	Dividend	L	T					
40. - VISA	A	Dividend	L	T	Sold (part)	04/22/19	J	D	
41.					Sold (part)	11/21/19	J	D	
42. - WAL-MART	A	Dividend	K	T					
43. - WASTE MANAGEMENT	A	Dividend	K	T					
44. - 3M COMPANY SENIOR MED TERM	A	Interest	K	T	Buy	04/03/19	K		
45. - AMAZON COM INC SENIOR NOTE	A	Interest	K	T	Buy	03/21/19	K		
46. - BRANDYWINE FUND		None	K	T					
47. - FRANKLIN FED TAX FREE INCOME CL	B	Dividend	L	T					
48. - ALASKA MUN BD BK AUTH	A	Interest	K	T					
49. - AMGEN INC SENIOR NOTE	B	Interest	K	T					
50. - BREVARD CNTY FLA SCH BRD	A	Interest	K	T					
51. - COLGATE-PALMOLIVE CO SENIOR ME	A	Interest	K	T	Buy	01/11/19	K		

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52. - DISCOVER BK CD	A	Interest	K	T					
53. - EDGECOMBE CNTY NC LMTD OBLIG	B	Interest	K	T					
54. - FEDERATED FTD PM CASH OBLIGATIONS	A	Interest			Buy	10/15/19	M		
55.					Sold	11/21/19	M	A	
56. - FED GOVT OBLIGS FD	A	Interest	K	T					
57. - FOLEY ALA UTILS BRD	A	Interest	K	T					
58. - GOLDMAN SACHS BK USA NY CD	A	Interest			Sold	05/31/19	K	A	
59. - GRAND FORKS N D PK	A	Interest	K	T					
60. - HEWLETT PACKARD CO SR UNSECURED	A	Interest	J	T					
61. - J P MORGAN CHASE BANK NA SR UNSECURED	A	Interest	K	T					
62. - J P MORGAN CHASE BANK NA CD		None			Sold	03/29/19	K	B	
63. - LEWISVILLE TX GO BDS 2/15/22	A	Interest	K	T					
64. - LLOYDS TSB BANK PLC SR MED	A	Interest			Sold	02/27/19	K	A	
65. - MCDONALDS CORP SENIOR MEDIUM	A	Interest	K	T	Buy	07/02/19	K		
66. - MICHIGAN MUNI BD AUTH REV	C	Interest			Sold	10/01/19	L	A	
67. - NAVIGATORS GROUP INC SENIOR	B	Interest			Sold	09/18/19	K	B	
68. - ORACLE CORP SENIOR NOTE	A	Interest	K	T	Buy	02/05/19	K		

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69. - SOCIETE GENERALE SR UNSEC		None	K	T					
70. - TIME WARNER CABLE INC 8.25%	A	Interest			Sold	04/01/19	J	A	
71. - TROY ALA GO WTS	A	Interest	K	T					
72. - TRUSSVILLE ALA GO WTS CALLABLE 5%	A	Interest	K	T					
73. - US TREAS SENIOR NOTE	A	Interest	K	T					
74. - UNIVERSITY CONN REV BDS	A	Interest	K	T					
75. - VISA INC	A	Interest	K	T	Buy	04/12/19	K		
76. - WAL-MART STORES	A	Interest	K	T	Buy	03/21/19	K		
77. - WALT DISNEY CO SENIOR MEDIUM		None	K	T					
78. - WESTERN UNION SR UNSECURED	B	Interest			Sold	12/27/19	K	A	
79. - CASH - HAYEK KALLEN		None	J	T					
80. - TD BANK USA FDIC INSRD DEPOSIT	A	Interest	L	T					
81.									
82.									

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

MILLING, JR., BERT W.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **BERT W. MILLING, JR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544