

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>TRAUGER, ALETA A.                                                                                                                    | <b>2. Court or Organization</b><br><br>U.S. DISTRICT COURT (MD TENN)                                                                                                                                                                                                                                | <b>3. Date of Report</b><br><br>08/11/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. District Court Judge - Active                                            | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2019<br>to<br>12/31/2019 |
| <b>7. Chambers or Office Address</b><br><br>825 U.S. Courthouse<br>801 Broadway<br>Nashville, Tennessee 37203                                                                                             |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 12

Name of Person Reporting

TRAUGER, ALETA A.

Date of Report

08/11/2021

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                                              |
|-------------|-------------------------------------------------------------------------------------|
| 1. 2019     | Income from law firm partnership - [REDACTED] is attorney partner in Trauger & Tuke |
| 2.          |                                                                                     |
| 3.          |                                                                                     |
| 4.          |                                                                                     |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| TRAUGER, ALETA A.        | 08/11/2021     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

# FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

TRAUGER, ALETA A.

Date of Report

08/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Acc'ts at SunTrust Bank, Nashville, TN                                                                                  | A                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. The Equitable A.B.A. Group IRA                                                                                          |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 3. - AXA Moderate Alloc.Portfolio                                                                                          |                                        | None                                          | K                                              | T                                         | Distributed<br>(part)                           | 10/22/19                | J                               |                                |                                                                   |
| 4. - EQ Money Market                                                                                                       |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. - Multimanager Aggressive Equity                                                                                        |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. - EQ/Common Stock Index                                                                                                 |                                        | None                                          | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. - EQ/International Equity Index                                                                                         |                                        | None                                          | J                                              | T                                         | Distributed<br>(part)                           | 10/22/19                | J                               |                                |                                                                   |
| 8. Victory Funds - RS Small Cap Growth Fund<br>CLA                                                                         |                                        | None                                          | O                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Guilford County, North Carolina farmland                                                                                | C                                      | Rent                                          | N                                              | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. Cash surr. value NW Life policy                                                                                        |                                        | None                                          | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. Cash surr. value of 2 NW Life policies                                                                                 |                                        | None                                          | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. Parnassus Mid Cap Growth Fund                                                                                          | C                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Profit sharing plan - Divers. Trust                                                                                    |                                        | None                                          | P1                                             | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. Moore County, North Carolina timber land                                                                               | B                                      | Rent                                          | N                                              | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. Bank of America Corporation                                                                                            | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Exxon Mobil Corp.                                                                                                      | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. Elizabethton, TN water & sewer revenue<br>bonds                                                                        |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

TRAUGER, ALETA A.

Date of Report

08/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Lincoln National Corp.                                                                                                 | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. MGT Capital Investments Inc.                                                                                           |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 20. The Providence Service Corp.                                                                                           |                                        | None                                          | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 21. Rental Property - Nashville, Tennessee,<br>Davidson County                                                             | A                                      | Rent                                          | O                                              | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 22. Regions Bank money mkt. acct. (Morgan<br>Keegan/Raymond James)                                                         | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 23. Pinnacle Nat. Bank accounts                                                                                            | A                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 24. Hirtle, Callaghan Investment Funds                                                                                     |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 25. - HCCT Commodities                                                                                                     | C                                      | Dividend                                      | M                                              | T                                         | Sold<br>(part)                                  | 01/23/19                | J                               | A                              |                                                                   |
| 26. - HCCT Emerging Mkts.                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 27. - HCCT Fixed Income Opportunity                                                                                        | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 28. - HCCT Intermed. Muni                                                                                                  | D                                      | Dividend                                      | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 29. - HCCT Intermed.Muni. II                                                                                               | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 30. - HCCT International                                                                                                   | D                                      | Dividend                                      | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 31. - HCCT Large Cap Growth                                                                                                | C                                      | Dividend                                      | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 32. - HCCT Large Cap Value                                                                                                 | C                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 33. - HCCT Small Cap                                                                                                       | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 34. - HCCT Core Fixed Income                                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

TRAUGER, ALETA A.

Date of Report

08/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. - HCCT Institutional International Equity                                                                              | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 07/26/19         | J                        | A                       |                                                            |
| 36. - HCCT Institutional Large Cap. Growth                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 37. - HCCT Insttutional Large Cap. Value                                                                                   | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 07/26/19         | J                        |                         |                                                            |
| 38. Nelson's Green Brier Distillery, LLC                                                                                   |                                        | None                                   | L                                              | U                                  | Sold<br>(part)                            | 05/16/19         | N                        | G                       |                                                            |
| 39. Shelby Co. TN Health EDL & HSG Facs<br>Rev. Bonds                                                                      | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 40. Edward Jones Advisory Solutions                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 41. - Amer. Funds-Fundamental Investors                                                                                    |                                        | None                                   | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 42. - Amer. Funds-Internat. Growth & Income                                                                                |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 43. - Artisan-Internat. Value Instl.                                                                                       |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 44. - BridgeBuilder-Core Bond                                                                                              |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 45. - BridgeBuilder-Internat. Equity                                                                                       |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 46. - BridgeBuilder-Large Cap Growth                                                                                       |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 47. - BridgeBuilder-Small/Mid-Cap Value                                                                                    |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 48. - BridgeBuilder-Small/Mid-Cap Growth                                                                                   |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 49. - Delaware Funds by Macquarie-Small Cap<br>Value Instl.                                                                |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 50. - Delaware Funds by Macquarie - U.S.<br>Growth Instl.                                                                  |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 51. - Dodge & Cox - Stock                                                                                                  |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

TRAUGER, ALETA A.

Date of Report

08/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. - Hotchkis & Wiley-Mid-Cap Value I                                                                                     |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 53. - Invesco - Comstock R6                                                                                                |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 54. - MFS-Value R6                                                                                                         |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 55. - PIMCO-High Yield Instl.                                                                                              |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 56. - PRIMECAP Odyssey Funds - Stock                                                                                       |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 57. - T. Rowe Price - Internat. Discovery                                                                                  |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 58. - T. Rowe Price - Small-Cap Value I                                                                                    |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 59. JPM U.S. Govt' Money Mkt. Cap.                                                                                         |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 60. Edward Jones IRA                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 61. - Amer. Funds-Amer. Mut. A                                                                                             |                                        | None                                   | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 62. - Amer. Funds - Invest. Co. of Amer.                                                                                   |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 63. - Franklin Templeton Investments - Income A1                                                                           |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 64. - Franklin Templeton Investments-Mut. Global Discovery A                                                               |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 65. - Franklin Templeton Investments-Mut. Shares A                                                                         |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 66. - Franklin Templeton Investments-Rising Dividends A                                                                    |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 67. - Franklin Templeton Investments-Global Bond A                                                                         |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 68. Edward Jones Investment Acc't.                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

TRAUGER, ALETA A.

Date of Report

08/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. - Money market acc't.                                                                                                  |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 70. - AmGen, Inc.                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/18/19                | J                               | B                              |                                                                   |
| 71. - Gen. Electric Co.                                                                                                    | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/18/19                | J                               | A                              |                                                                   |
| 72. - Johnson & Johnson                                                                                                    | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/18/19                | J                               | C                              |                                                                   |
| 73. - Merck & Co., Inc.                                                                                                    | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/18/19                | J                               | D                              |                                                                   |
| 74. - Pepsico, Inc.                                                                                                        | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/18/19                | J                               | D                              |                                                                   |
| 75. - Wal-Mart Stores, Inc.                                                                                                | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/18/19                | K                               | D                              |                                                                   |
| 76. - Amer. Balanced Fund                                                                                                  | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 77. - Amer. Mut. Fund                                                                                                      | C                                      | Dividend                                      | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 78. - Cap Income Bldr. Fund                                                                                                | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 79. - Cap World Growth & Income Fund                                                                                       | B                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 80. - Eaton Vance Tax Managed Div. Income Fund                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/18/19                | K                               | D                              |                                                                   |
| 81. - Franklin, TN munic. bond fund                                                                                        | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/18/19                | L                               | A                              |                                                                   |
| 82. - Fundamental Investors Fund                                                                                           | B                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. - Growth Fund of Amer.                                                                                                 | C                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 84. - Income Fund of Amer.                                                                                                 | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 85. - Franklin Mut. Global Discovery Fund                                                                                  | A                                      | Dividend                                      | K                                              | T                                         | Sold (part)                                     | 03/18/19                | L                               | D                              |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

TRAUGER, ALETA A.

Date of Report

08/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. - New Perspective Fund                                                                                                 | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 87. - Nuveen Intermed. Duration Munic. Bond Fund                                                                           | A                                      | Dividend                               |                                                |                                    | Sold                                      | 03/18/19         | K                        | A                       |                                                            |
| 88. - Franklin Fed. Inter-term tax free income fund - Class A                                                              | A                                      | Dividend                               |                                                |                                    | Sold                                      | 03/18/19         | K                        | A                       |                                                            |
| 89. - Cigna Corp. New                                                                                                      |                                        | None                                   |                                                |                                    | Sold                                      | 03/18/19         | J                        | A                       |                                                            |
| 90. Lincoln Finan. Group Annuity-Amer. Legacy III View                                                                     |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 91. - Amer. Funds IS Asset Alloc. 2                                                                                        |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 92. - Amer. Funds IS Bond 2                                                                                                |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 93. - Amer. Funds IS Growth-Income 2                                                                                       |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 94. - Amer. Funds IS Asset 2/Growth                                                                                        |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 95. - Amer. Funds IS High Income Bond 2                                                                                    |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 96. - Amer. Funds IS Internat. 2                                                                                           |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 97. Hirtle, Callaghan Investment Funds                                                                                     |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 98. - DWS Gov't. Cash Fund                                                                                                 | C                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 99. - HCCT Real Estate                                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 100. - HCCT Institutional Small Cap                                                                                        | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 101. - ISHARES MSCI EAFE                                                                                                   | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 102. - ISHARES MSCI Emerging Mkt.                                                                                          | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. Jumstart Foundry 2017 Fund                                                                                            |                                        | None                                   | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 104. Jumpstart Foundry 2018 Fund                                                                                           |                                        | None                                   | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 105. Fillmore Co., Nebr farmland                                                                                           | D                                      | Rent                                   | M                                              | W                                  |                                           |                  |                          |                         |                                                            |
| 106. Hirtle Callaghan Investment Funds                                                                                     |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 107. - Vanguard FTSE Dev. Mkt. ETF                                                                                         | D                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 108. - Vanguard FTSE Emerg. Mkt. ETF                                                                                       |                                        | None                                   | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 109. - ISHARES TRSH TR Corp, ETF                                                                                           | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 110. - SPDR TR Portfolio Short ETF                                                                                         | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 111. -Vanguard Short Term Corp. Bond ETF                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 112. Silicon Ranch Corp.                                                                                                   |                                        | None                                   | O                                              | T                                  | Buy                                       | 03/29/19         | O                        |                         |                                                            |
| 113. Slice Wireless Solutions, Inc.                                                                                        |                                        | None                                   | K                                              | T                                  | Buy                                       | 05/19/19         | K                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

TRAUGER, ALETA A.

Date of Report

08/11/2021

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Lines 24, 97, 106 This fund is a widely-held investment fund whose assets are widely diversifed and over whose financial interests we neither can nor do exercise control [see § 315.30(c)].

Part II Loan to Rosemary Paschall has been repaid.

Part VI The Nashville Bank & Trust line of credit was transferred to Renasant Bank and was closed in May 2019.

# FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

TRAUGER, ALETA A.

Date of Report

08/11/2021

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ ALETA A. TRAUGER**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544